

Edgar Filing: SWIFT A EARL - Form 4

SWIFT A EARL
Form 4
February 27, 2003

FORM 4

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See instruction 1(b).

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act 1940

| | | | | | |
|--|---------|-------|---|--|----------------------------------|
| 1. Name and Address of Reporting Person* | | | 2. Issuer Name and Ticker or Trading Symbol | | 6. Relationship Person (check a) |
| | | | Swift Energy Company (SFY) | | |
| Swift | A. | Earl | 3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary) | 4. Statement for Month/Day/Year | X Director |
| (Last) | (First) | (MI) | | 02/25/03 | X Officer |
| 16825 Northchase Drive, Suite 400 | | | | | --- (give bel |
| (Street) | | | | 5. If Amendment, Date of Original (Month/Day/Year) | Chairman |
| Houston | Texas | 77060 | | | 7. Individual Filing Line) |
| (City) | (State) | (Zip) | | | |
| | | | | | X For |
| | | | | | --- |
| | | | | | For |
| | | | | | --- |
| | | | | | Rep |

Table I - Non-Derivative Securities Acquired, Disposed of or B

| 1. Title of Security (Instr.3) | 2. Transaction Date (Month/Day/Yr) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr.8) | 4. Security Acquired (A) or Disposed of (D) (Inst.3, 4 & 5) | 5. Amount or Price |
|--------------------------------|------------------------------------|--|-------------------------------|---|--------------------|
| | | | Code V | Amount (D) Price | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. *If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr.3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month (Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5 |
|---|--|---------------------------------------|--|--------------------------------|-------|
| Employee Stock option (right to buy) | \$9.50 | 02/25/03 | | A | V |
| ----- | ----- | ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- | ----- | ----- |

| 6. Date Exercisable & Expiration Date (Mon./Day/Year) | 7. Title & Amount of Underlying Securities (Inst. 3 & 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 1 |
|---|--|--|--|-------|
| ----- | ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- | ----- |

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| Date Exer- cisable | Expira- tion Date | Title | Amount or number of Shares | 25,000 |
|--------------------------|-------------------------|--------------|-------------------------------|--------|
| 02/25/04(1) | 02/25/13 | Common Stock | 25,000 | 25,000 |
| ----- | ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- | ----- |

Explanation of Responses:

(1) Options become exercisable as to 20% of the underlying shares on each of the first five anni

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/ Donald W. Bro

**Signature of Re
for A. Earl
pursuant to att

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.