

Edgar Filing: JOHNSON WILLIAM L - Form 4

JOHNSON WILLIAM L  
Form 4  
March 03, 2003

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OMB APPROVAL  
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OMB Number 3235-0287  
Expires: January 31, 2005  
Estimated average burden  
hours per response ..... 0.5  
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U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

Check box if no longer subject of Section 16. Form 4 or Form 5 obligations  
may continue. See Instruction 1(b).

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1. Name and Address of Reporting Person\*  
  
Johnson William Lewis  
-----  
(Last) (First) (Middle)  
  
3546 Mountainview Avenue  
-----  
(Street)  
  
Pasadena CA 91125  
-----  
(City) (State) (Zip)

-----  
2. Issuer Name and Ticker or Trading Symbol  
  
Liquidmetal Technologies (LQMT)  
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3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)  
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4. Statement for Month/Day/Year  
  
February 27, 2003  
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5. If Amendment, Date of Original (Month/Day/Year)  
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6. Relationship of Reporting Person to Issuer  
(Check all applicable)  
  
 Director  10% Owner  
 Officer (give title below)  Other (specify below)  
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Vice Chairman - Technology  
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7. Individual or Joint/Group Filing (Check applicable line)

- Form filed by one Reporting Person  
 Form filed by more than one Reporting Person

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 Table I -- Non-Derivative Securities Acquired, Disposed of,  
 or Beneficially Owned  
 =====

| 1.<br>Title of Security<br>(Instr. 3)    | 2.<br>Trans-<br>action<br>Date<br>(mm/dd/yy) | 2A.<br>Deemed<br>Execution<br>Date,<br>if any<br>(mm/dd/yy) | 3.<br>Transaction<br>Code<br>(Instr. 8) |   | 4.<br>Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |                  |        |
|--|--|---|---|---|--|------------------|--------|
|  |  |   | Code                                    | V | Amount   | (A)<br>or<br>(D) | Price  |
| Liquidmetal Technologies<br>Common Stock | 02/27/03                                     |   | M**                                     |   | 161,291  | A**              | \$1.55 |
| Liquidmetal Technologies<br>Common Stock | 02/27/03                                     |   | F***                                    |   | 88,218   | ***              | \$6.97 |
|  |  |   |   |   |  |                  |        |
|  |  |   |   |   |  |                  |        |
|  |  |   |   |   |  |                  |        |
|  |  |   |   |   |  |                  |        |
|  |  |   |   |   |  |                  |        |
|  |  |   |   |   |  |                  |        |

\* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

\*\* Represents the exercise of a stock option.

\*\*\* Represents Liquidmetal shares that are being used to pay the exercise price of the above stock options and the tax liability associated with exercise. These represent shares that were either beneficially owned by Mr. Johnson prior to the option exercise or that were withheld from the gross number of shares otherwise issuable upon the exercise. This transaction is exempt under Rule 16b-3.

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Responses)

(Over)

(Form 4-07/98)

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1.<br>Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conver-<br>sion<br>or<br>Exer-<br>cise<br>Price<br>of<br>Deriv-<br>ative<br>Secur-<br>ity | 3.<br>Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 3A.<br>Execu-<br>tion<br>Date,<br>if any<br>(Month/<br>Day/<br>Year) | 4.<br>Trans-<br>action<br>Code<br>(Instr.<br>8)<br>-----<br>Code V | 5.<br>Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>of (D)<br>(Instr. 3,<br>4 and 5)<br>-----<br>(A) (D) | 6.<br>Exercisable and<br>Expiration Date<br>(Month/Day/Year)<br>-----<br>Date Expira-<br>tion<br>Date | 7.<br>Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4)<br>-----<br>Amount<br>or<br>Number<br>of<br>Shares<br>Title |
|--|---|--|--|--|--|---|---|
| Option to<br>purchase<br>common stock                  | \$1.55  | 02/27/03   |  | M  | 161,291  | 02/07/97 01/31/07   | Common<br>Stock 161,291   |
| -----  |   |  |  |  |  |   |   |
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Explanation of Responses:

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/s/ Curt P. Creely

March 3, 2003

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Curt P. Creely, as attorney-in-fact  
for Tjoa Thian Song

-----  
Date

\*\* Intentional misstatements or omissions of facts constitute Federal  
Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.

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