

COOK SCOTT D
Form 4
November 26, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
COOK SCOTT D

2. Issuer Name and Ticker or Trading Symbol
INTUIT INC [INTU]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
C/O INTUIT INC., 2700 COAST AVENUE

3. Date of Earliest Transaction (Month/Day/Year)
11/21/2018

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman of Executive Comm.

(Street)
MOUNTAIN VIEW, CA 94043

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Common Stock | | | | | 9,920,032 | I | By Trusts (1) |
| Common Stock | 11/21/2018 | | S(2) | 4,700 | \$ 195.1932 147,301 | I | By Trust (4) |
| Common Stock | 11/21/2018 | | S(2) | 7,141 | \$ 196.1935 140,160 | I | By Trust (4) |
| Common Stock | 11/21/2018 | | S(2) | 7,762 | \$ 197.0601 132,398 | I | By Trust (4) |

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| | | | | | | | | |
|--------------|------------|------------------|--------|---|-----------------------|---------|---|-----------------|
| Common Stock | 11/21/2018 | S ⁽²⁾ | 20,577 | D | \$ 198,338 (7) | 111,821 | I | By Trust (4) |
| Common Stock | 11/21/2018 | S ⁽²⁾ | 23,287 | D | \$ 199,078 (8) | 88,534 | I | By Trust (4) |
| Common Stock | 11/21/2018 | S ⁽²⁾ | 12,534 | D | \$ 200,075 (9) | 76,000 | I | By Trust (4) |
| Common Stock | 11/23/2018 | S ⁽²⁾ | 34,110 | D | \$ 195,793 (10) | 41,890 | I | By Trust (4) |
| Common Stock | 11/23/2018 | S ⁽²⁾ | 22,250 | D | \$ 196,705 (11) | 19,640 | I | By Trust (4) |
| Common Stock | 11/23/2018 | S ⁽²⁾ | 19,640 | D | \$ 197,473 (12) | 0 | I | By Trust (4) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--------------------------------|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |

COOK SCOTT D
C/O INTUIT INC.
2700 COAST AVENUE
MOUNTAIN VIEW, CA 94043

X

Chairman of Executive Comm.

Signatures

/s/ Tyler Cozzens, by
power-of-attorney

11/26/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held in trusts as follows: 9,876,164 shares held by the Scott D. Cook and Helen Signe Ostby Family Trust UTA 12/30/93 and 43,868 shares held by the Scott D. Cook and Helen Signe Ostby 1994 Charitable Trust UTA 12/30/94. The reporting person is a trustee of each of these trusts.
- (2) Transaction effected pursuant to a 10b5-1 trading plan adopted in September 2018.
- (3) This transaction was executed in multiple trades ranging from \$194.66 to \$195.65. The price reported above reflects the weighted average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (4) Shares held in trust for the benefit of family members, of which the reporting person is not a trustee but retains investment control.
- (5) This transaction was executed in multiple trades ranging from \$195.66 to \$196.65. The price reported above reflects the weighted average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (6) This transaction was executed in multiple trades ranging from \$196.66 to \$197.65. The price reported above reflects the weighted average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (7) This transaction was executed in multiple trades ranging from \$197.68 to \$198.67. The price reported above reflects the weighted average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (8) This transaction was executed in multiple trades ranging from \$198.68 to \$199.67. The price reported above reflects the weighted average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (9) This transaction was executed in multiple trades ranging from \$199.68 to \$200.68. The price reported above reflects the weighted average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (10) This transaction was executed in multiple trades ranging from \$195.26 to \$196.25. The price reported above reflects the weighted average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (11) This transaction was executed in multiple trades ranging from \$196.26 to \$197.25. The price reported above reflects the weighted average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (12) This transaction was executed in multiple trades ranging from \$197.27 to \$197.70. The price reported above reflects the weighted average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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