

Edgar Filing: JAPAN EQUITY FUND INC - Form NSAR-B

JAPAN EQUITY FUND INC

Form NSAR-B

January 02, 2002

PAGE 1  
000 B000000 10/31/2001  
000 C000000 0000866095  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 THE JAPAN EQUITY FUND, INC.  
001 B000000 811-06152  
001 C000000 2019153020  
002 A000000 ONE EVERTRUST PLAZA, 9TH FLOOR  
002 B000000 JERSEY CITY  
002 C000000 NJ  
002 D010000 07302  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
008 A000001 DAIWA SB INVESTMENTS LTD.  
008 B000001 A  
008 C000001 801-000000  
008 D010001 TOKYO  
008 D050001 JAPAN  
008 A000002 DAIWA SB INVESTMENTS (USA) LTD.  
008 B000002 S  
008 C000002 801-159480  
008 D010002 NEW YORK,  
008 D050002 NEW YORK  
010 A000001 DAIWA SECURITIES TRUST COMPANY  
010 C010001 JERSEY CITY  
010 C020001 NJ  
010 C030001 07302  
010 A000002 DELETE  
011 A000001 DAIWA SECURITIES AMERICA, INC.  
011 B000001 8-37095  
011 C010001 NEW YORK  
011 C020001 NY  
PAGE 2  
011 C030001 10005  
012 A000001 PFPC INC.  
012 B000001 85-1196  
012 C010001 PROVIDENCE  
012 C020001 RI  
012 C030001 02940

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|     |         |                                     |
|-----|---------|-------------------------------------|
| 012 | C040001 | 3027                                |
| 013 | A000001 | PRICEWATERHOUSECOOPERS LLP          |
| 013 | B010001 | NEW YORK                            |
| 013 | B020001 | NY                                  |
| 013 | B030001 | 10036                               |
| 014 | A000001 | DAIWA SECURITIES AMERICA, INC.      |
| 014 | B000001 | 8-37095                             |
| 015 | A000001 | DAIWA SECURITIES TRUST COMPANY      |
| 015 | B000001 | C                                   |
| 015 | C010001 | JERSEY CITY                         |
| 015 | C020001 | NJ                                  |
| 015 | C030001 | 07302                               |
| 015 | E010001 | X                                   |
| 015 | A000002 | SUMITOMO MITSUI BANKING CORPORATION |
| 015 | B000002 | S                                   |
| 015 | C010002 | TOKYO                               |
| 015 | D010002 | JAPAN                               |
| 015 | E040002 | X                                   |
| 018 | 000000  | Y                                   |
| 019 | A000000 | N                                   |
| 019 | B000000 | 0                                   |
| 020 | A000001 | MORGAN STANLEY                      |
| 020 | C000001 | 35                                  |
| 020 | A000002 | MERRILL LYNCH                       |
| 020 | C000002 | 24                                  |
| 020 | A000003 | DAIWA SECURITIES AMERICA INC.       |
| 020 | C000003 | 23                                  |
| 020 | A000004 | GOLDMAN SACHS                       |
| 020 | C000004 | 21                                  |
| 020 | A000005 | DEUTSCHE SECURITIES LTD.            |
| 020 | C000005 | 17                                  |
| 020 | A000006 | WESTLB SECURITIES PACIFIC           |
| 020 | C000006 | 11                                  |
| 020 | A000007 | HSBC SECURITIES JAPAN LTD.          |
| 020 | C000007 | 7                                   |
| 020 | A000008 | CS FIRST BOSTON SECURITIES          |
| 020 | C000008 | 6                                   |
| 020 | A000009 | SALOMON SMITH BARNEY                |
| 020 | C000009 | 4                                   |
| 020 | A000010 | OTHERS                              |
| 020 | C000010 | 10                                  |
| 021 | 000000  | 158                                 |
| 022 | C000001 | 0                                   |
| 022 | D000001 | 0                                   |
| 022 | C000002 | 0                                   |
|     | PAGE    | 3                                   |
| 022 | D000002 | 0                                   |
| 022 | C000003 | 0                                   |
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| 022 | C000004 | 0                                   |
| 022 | D000004 | 0                                   |
| 022 | C000005 | 0                                   |
| 022 | D000005 | 0                                   |
| 022 | C000006 | 0                                   |
| 022 | D000006 | 0                                   |
| 022 | C000007 | 0                                   |
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| 022 | D000008 | 0                                   |
| 022 | C000009 | 0                                   |
| 022 | D000009 | 0                                   |
| 022 | C000010 | 0                                   |

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|     |         |      |
|-----|---------|------|
| 022 | D000010 | 0    |
| 023 | C000000 | 0    |
| 023 | D000000 | 0    |
| 024 | 000000  | N    |
| 025 | D000001 | 0    |
| 025 | D000002 | 0    |
| 025 | D000003 | 0    |
| 025 | D000004 | 0    |
| 025 | D000005 | 0    |
| 025 | D000006 | 0    |
| 025 | D000007 | 0    |
| 025 | D000008 | 0    |
| 026 | A000000 | N    |
| 026 | B000000 | N    |
| 026 | C000000 | N    |
| 026 | D000000 | Y    |
| 026 | E000000 | N    |
| 026 | F000000 | N    |
| 026 | G010000 | N    |
| 026 | G020000 | N    |
| 026 | H000000 | N    |
| 027 | 000000  | N    |
| 028 | A010000 | 0    |
| 028 | A020000 | 0    |
| 028 | A030000 | 0    |
| 028 | A040000 | 0    |
| 028 | B010000 | 0    |
| 028 | B020000 | 0    |
| 028 | B030000 | 0    |
| 028 | B040000 | 0    |
| 028 | C010000 | 0    |
| 028 | C020000 | 0    |
| 028 | C030000 | 0    |
| 028 | C040000 | 0    |
| 028 | D010000 | 0    |
|     | PAGE    | 4    |
| 028 | D020000 | 0    |
| 028 | D030000 | 0    |
| 028 | D040000 | 0    |
| 028 | E010000 | 0    |
| 028 | E020000 | 0    |
| 028 | E030000 | 0    |
| 028 | E040000 | 0    |
| 028 | F010000 | 0    |
| 028 | F020000 | 0    |
| 028 | F030000 | 0    |
| 028 | F040000 | 0    |
| 028 | G010000 | 0    |
| 028 | G020000 | 0    |
| 028 | G030000 | 0    |
| 028 | G040000 | 0    |
| 028 | H000000 | 0    |
| 030 | A000000 | 0    |
| 030 | B000000 | 0.00 |
| 030 | C000000 | 0.00 |
| 031 | A000000 | 0    |
| 031 | B000000 | 0    |
| 032 | 000000  | 0    |
| 033 | 000000  | 0    |
| 035 | 000000  | 0    |
| 036 | B000000 | 0    |
| 038 | 000000  | 0    |

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|     |         |        |
|-----|---------|--------|
| 042 | A000000 | 0      |
| 042 | B000000 | 0      |
| 042 | C000000 | 0      |
| 042 | D000000 | 0      |
| 042 | E000000 | 0      |
| 042 | F000000 | 0      |
| 042 | G000000 | 0      |
| 042 | H000000 | 0      |
| 043 | 000000  | 0      |
| 044 | 000000  | 0      |
| 045 | 000000  | Y      |
| 046 | 000000  | Y      |
| 047 | 000000  | Y      |
| 048 | 000000  | 0.000  |
| 048 | A010000 | 60000  |
| 048 | A020000 | 0.200  |
| 048 | B010000 | 40000  |
| 048 | B020000 | 0.150  |
| 048 | C010000 | 0      |
| 048 | C020000 | 0.000  |
| 048 | D010000 | 0      |
| 048 | D020000 | 0.000  |
| 048 | E010000 | 0      |
| 048 | E020000 | 0.000  |
| 048 | F010000 | 0      |
|     | PAGE    | 5      |
| 048 | F020000 | 0.000  |
| 048 | G010000 | 0      |
| 048 | G020000 | 0.000  |
| 048 | H010000 | 0      |
| 048 | H020000 | 0.000  |
| 048 | I010000 | 0      |
| 048 | I020000 | 0.000  |
| 048 | J010000 | 0      |
| 048 | J020000 | 0.000  |
| 048 | K010000 | 100000 |
| 048 | K020000 | 0.100  |
| 049 | 000000  | N      |
| 050 | 000000  | N      |
| 051 | 000000  | N      |
| 052 | 000000  | N      |
| 053 | A000000 | N      |
| 054 | A000000 | Y      |
| 054 | B000000 | Y      |
| 054 | C000000 | Y      |
| 054 | D000000 | N      |
| 054 | E000000 | N      |
| 054 | F000000 | N      |
| 054 | G000000 | Y      |
| 054 | H000000 | Y      |
| 054 | I000000 | N      |
| 054 | J000000 | Y      |
| 054 | K000000 | N      |
| 054 | L000000 | N      |
| 054 | M000000 | Y      |
| 054 | N000000 | N      |
| 054 | O000000 | N      |
| 055 | A000000 | N      |
| 055 | B000000 | N      |
| 056 | 000000  | Y      |
| 057 | 000000  | N      |
| 058 | A000000 | N      |

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059 000000 Y  
 060 A000000 Y  
 060 B000000 Y  
 061 000000 0  
 062 A000000 N  
 062 B000000 0.0  
 062 C000000 0.0  
 062 D000000 0.0  
 062 E000000 0.0  
 062 F000000 0.0  
 062 G000000 0.0  
 062 H000000 0.0  
 062 I000000 0.0  
 062 J000000 0.0  
 062 K000000 0.0  
 PAGE 6  
 062 L000000 0.0  
 062 M000000 0.0  
 062 N000000 0.0  
 062 O000000 0.0  
 062 P000000 0.0  
 062 Q000000 0.0  
 062 R000000 0.0  
 063 A000000 0  
 063 B000000 0.0  
 066 A000000 Y  
 066 B000000 N  
 066 C000000 Y  
 066 D000000 N  
 066 E000000 N  
 066 F000000 N  
 066 G000000 N  
 067 000000 N  
 068 A000000 N  
 068 B000000 Y  
 069 000000 N  
 070 A010000 Y  
 070 A020000 N  
 070 B010000 N  
 070 B020000 N  
 070 C010000 N  
 070 C020000 N  
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 070 E020000 N  
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 070 J010000 N  
 070 J020000 N  
 070 K010000 Y  
 070 K020000 N  
 070 L010000 Y  
 070 L020000 Y  
 070 M010000 Y  
 070 M020000 Y

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|       |         |    |        |
|-------|---------|----|--------|
| 070   | N010000 | N  |        |
| 070   | N020000 | N  |        |
| 070   | O010000 | N  |        |
| 070   | O020000 | N  |        |
| 070   | P010000 | Y  |        |
|       | PAGE    | 7  |        |
| 070   | P020000 | N  |        |
| 070   | Q010000 | N  |        |
| 070   | Q020000 | N  |        |
| 070   | R010000 | N  |        |
| 070   | R020000 | N  |        |
| 071   | A000000 |    | 46270  |
| 071   | B000000 |    | 45387  |
| 071   | C000000 |    | 71601  |
| 071   | D000000 | 63 |        |
| 072   | A000000 | 12 |        |
| 072   | B000000 |    | 4      |
| 072   | C000000 |    | 443    |
| 072   | D000000 |    | 0      |
| 072   | E000000 |    | 0      |
| 072   | F000000 |    | 293    |
| 072   | G000000 |    | 140    |
| 072   | H000000 |    | 0      |
| 072   | I000000 |    | 16     |
| 072   | J000000 |    | 75     |
| 072   | K000000 |    | 0      |
| 072   | L000000 |    | 57     |
| 072   | M000000 |    | 49     |
| 072   | N000000 |    | 0      |
| 072   | O000000 |    | 0      |
| 072   | P000000 |    | 0      |
| 072   | Q000000 |    | 0      |
| 072   | R000000 |    | 66     |
| 072   | S000000 |    | 58     |
| 072   | T000000 |    | 0      |
| 072   | U000000 |    | 0      |
| 072   | V000000 |    | 0      |
| 072   | W000000 |    | 70     |
| 072   | X000000 |    | 823    |
| 072   | Y000000 |    | 0      |
| 072   | Z000000 |    | -376   |
| 072AA | 0000000 |    | 5023   |
| 072BB | 0000000 |    | 11652  |
| 072CC | 010000  |    | 0      |
| 072CC | 020000  |    | 22770  |
| 072DD | 010000  |    | 0      |
| 072DD | 020000  |    | 0      |
| 072EE | 0000000 |    | 0      |
| 073   | A010000 |    | 0.0000 |
| 073   | A020000 |    | 0.0000 |
| 073   | B000000 |    | 0.0000 |
| 073   | C000000 |    | 0.0000 |
| 074   | A000000 |    | 724    |
| 074   | B000000 |    | 0      |
| 074   | C000000 |    | 0      |
| 074   | D000000 |    | 0      |
| 074   | E000000 |    | 0      |
|       | PAGE    | 8  |        |
| 074   | F000000 |    | 59713  |
| 074   | G000000 |    | 0      |
| 074   | H000000 |    | 0      |
| 074   | I000000 |    | 0      |

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|           |                 |                                      |
|-----------|-----------------|--------------------------------------|
| 074       | J000000         | 1167                                 |
| 074       | K000000         | 0                                    |
| 074       | L000000         | 172                                  |
| 074       | M000000         | 28                                   |
| 074       | N000000         | 61804                                |
| 074       | O000000         | 1193                                 |
| 074       | P000000         | 0                                    |
| 074       | Q000000         | 0                                    |
| 074       | R010000         | 0                                    |
| 074       | R020000         | 0                                    |
| 074       | R030000         | 0                                    |
| 074       | R040000         | 100                                  |
| 074       | S000000         | 0                                    |
| 074       | T000000         | 60511                                |
| 074       | U010000         | 10816                                |
| 074       | U020000         | 0                                    |
| 074       | V010000         | 5.59                                 |
| 074       | V020000         | 0.00                                 |
| 074       | W000000         | 0.0000                               |
| 074       | X000000         | 272                                  |
| 074       | Y000000         | 0                                    |
| 075       | A000000         | 0                                    |
| 075       | B000000         | 73756                                |
| 076       | 000000          | 4.99                                 |
| 077       | A000000         | Y                                    |
| 077       | B000000         | Y                                    |
| 077       | Q010000         | N                                    |
| 077       | Q020000         | N                                    |
| 077       | Q030000         | N                                    |
| 080       | A000000         | NATIONAL UNION FIRE CO. OF PITT. PA. |
| 080       | C000000         | 40000                                |
| 081       | A000000         | Y                                    |
| 081       | B000000         | 3                                    |
| 082       | A000000         | Y                                    |
| 082       | B000000         | 150                                  |
| 083       | A000000         | N                                    |
| 083       | B000000         | 0                                    |
| 084       | A000000         | N                                    |
| 084       | B000000         | 0                                    |
| 085       | A000000         | Y                                    |
| 085       | B000000         | N                                    |
| 086       | A010000         | 0                                    |
| 086       | A020000         | 0                                    |
| 086       | B010000         | 0                                    |
| 086       | B020000         | 0                                    |
| 086       | C010000         | 0                                    |
| 086       | C020000         | 0                                    |
|           | PAGE            | 9                                    |
| 086       | D010000         | 0                                    |
| 086       | D020000         | 0                                    |
| 086       | E010000         | 0                                    |
| 086       | E020000         | 0                                    |
| 086       | F010000         | 0                                    |
| 086       | F020000         | 0                                    |
| 087       | A010000         | COMMON STOCK                         |
| 087       | A020000         | 471057109                            |
| 087       | A030000         | JEQ                                  |
| 088       | A000000         | N                                    |
| 088       | B000000         | N                                    |
| 088       | C000000         | N                                    |
| 088       | D000000         | N                                    |
| SIGNATURE | JOHN J. O'KEEFE |                                      |

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TITLE

TREASURER