

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

FRANKLIN UNIVERSAL TRUST

Form NSAR-B

October 27, 2017

PAGE 1
000 B000000 08/31/2017
000 C000000 0000833040
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 FRANKLIN UNIVERSAL TRUST
001 B000000 811-05569
001 C000000 6503122000
002 A000000 ONE FRANKLIN PARKWAY
002 B000000 SAN MATEO
002 C000000 CA
002 D010000 94403
002 D020000 1906
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
008 A000001 FRANKLIN ADVISERS, INC.
008 B000001 A
008 C000001 801-26292
008 D010001 SAN MATEO
008 D020001 CA
008 D030001 94403
008 D040001 1906
010 A000001 FRANKLIN TEMPLETON SERVICES, LLC
010 B000001 801-31192
010 C010001 SAN MATEO
010 C020001 CA
010 C030001 94403
010 C040001 1906
011 A000001 UBS FINANCIAL SERVICES INC
011 B000001 8-16267
011 C010001 WEEHAWKEN
011 C020001 NJ
011 C030001 07086
011 A000002 A.G. EDWARDS & SONS INC.
011 B000002 8-13580
011 C010002 ST. LOUIS
011 C020002 MO
011 C030002 63103
011 A000003 CITIGROUP GLOBAL MARKETS INC
011 B000003 8-00541
011 C010003 NEW YORK
011 C020003 NY
PAGE 2
011 C030003 10022
011 A000004 RBS CAPITAL MARKETS CORPORATION
011 B000004 8-45411
011 C010004 NEW YORK
011 C020004 NY
011 C030004 10006

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

011 C040004 1404
011 A000005 RAYMOND JAMES & ASSOCIATES
011 B000005 8-04393
011 C010005 ST. PETERSBURG
011 C020005 FL
011 C030005 33733
011 C040005 4508
011 A000006 BEAR STEARNS & CO. INC
011 B000006 8-35008
011 C010006 NEW YORK
011 C020006 NY
011 C030006 10179
011 A000007 FIRST BOSTON CORP
011 B000007 8-91836
011 C010007 NEW YORK
011 C020007 NY
011 C030007 10055
011 A000008 ALEX BROWN INVESTMENT MANAGEMENT LLC
011 B000008 8-04401
011 C010008 BALTIMORE
011 C020008 MD
011 C030008 21202
011 A000009 DONALDSON LUFKIN & JENRETTE INC
011 B000009 8-96058
011 C010009 NEW YORK
011 C020009 NY
011 C030009 10005
011 A000010 DREXEL BURNHAM LAMBERT REAL ESTATE
011 B000010 8-5829
011 C010010 GREENVILLE
011 C020010 SC
011 C030010 29602
011 A000011 GOLDMAN, SACHS & CO
011 B000011 8-00129
011 C010011 NEW YORK
011 C020011 NY
011 C030011 10004
012 A000001 AMERICAN STOCK TRANSFER AND TRUST COMPANY LLC
012 B000001 84-00416
012 C010001 WALL STREET STATION
012 C020001 NY
012 C030001 10269
012 C040001 0560
013 A000001 PRICewaterhouseCOOPERS LLP
013 B010001 SAN FRANCISCO
PAGE 3
013 B020001 CA
013 B030001 94111
013 B040001 4004
015 A000001 THE BANK OF NEW YORK MELLON
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10286
015 C040001 0001
015 E010001 X
015 A000002 FRANKLIN TEMPLETON INVESTOR SERVICES LLC
015 B000002 C
015 C010002 SAN MATEO
015 C020002 CA
015 C030002 94403
015 C040002 1906

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

015 E030002 X
015 A000003 THE HONGKONG AND SHANGHAI BANKING CORP LTD
015 B000003 S
015 C010003 SYDNEY
015 D010003 AUSTRALIA
015 E040003 X
015 A000004 CITIBANK EUROPE PLC (UK BRANCH)
015 B000004 S
015 C010004 LONDON
015 D010004 BELGIUM
015 E040004 X
015 A000005 CITIBANK NA
015 B000005 S
015 C010005 SAO PAULO
015 D010005 BRAZIL
015 E040005 X
015 A000006 CIBC MELLON TRUST CO
015 B000006 S
015 C010006 TORONTO
015 D010006 CANADA
015 E040006 X
015 A000007 HSBC BANK (CHINA) CO LTD
015 B000007 S
015 C010007 SHANGHAI
015 D010007 CHINA
015 E040007 X
015 A000008 HSBC BANK (CHINA) CO LTD
015 B000008 S
015 C010008 SHENZHEN
015 D010008 CHINA
015 E040008 X
015 A000009 SKANDINAVISKA ENSKILDA BANKEN AB
015 B000009 S
015 C010009 COPENHAGEN
015 D010009 DENMARK
015 E040009 X
015 A000010 EUROCLEAR BANK
015 B000010 S
015 C010010 BRUSSELS
015 D010010 EUROMARKET
015 E040010 X
015 A000011 SKANDINAVISKA ENSKILDA BANKEN AB
015 B000011 S
015 C010011 HELSINKI
015 D010011 FINLAND
015 E040011 X
015 A000012 BNP PARIBAS SECURITIES SERVICES
015 B000012 S
015 C010012 PARIS
015 D010012 FRANCE
015 E040012 X
015 A000013 THE BANK OF NEW YORK MELLON SA/NV
015 B000013 S
015 C010013 FRANKFURT
015 D010013 GERMANY
015 E040013 X
015 A000014 HONG KONG AND SHANGHAI BANKING CORPORATION
015 B000014 S
015 C010014 HONGKONG
015 D010014 HONGKONG
015 E040014 X

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

015 A000015 DEUTSCHE BANK AG
015 B000015 S
015 C010015 JAKARTA
015 D010015 INDONESIA
015 E040015 X
015 A000016 THE BANK OF NEW YORK MELLON
015 B000016 S
015 C010016 LONDON
015 D010016 IRELAND
015 E040016 X
015 A000017 THE BANK OF TOKYO-MITSUBISHI UFJ LTD
015 B000017 S
015 C010017 TOKYO
015 D010017 JAPAN
015 E040017 X
015 A000018 THE BANK OF NEW YORK MELLON SA/NV
015 B000018 S
015 C010018 BRUSSELS
015 D010018 ITALY
015 E040018 X
015 A000019 CITIBANAMEX
015 B000019 S
015 C010019 MEXICO CITY
015 D010019 MEXICO
015 E040019 X
PAGE 5
015 A000020 THE BANK OF NEW YORK MELLON SA/NV
015 B000020 S
015 C010020 BRUSSELS
015 D010020 NETHERLANDS
015 E040020 X
015 A000021 NATIONAL NOMINEES LTD
015 B000021 S
015 C010021 WELLINGTON
015 D010021 NEW ZEALAND
015 E040021 X
015 A000022 DEUTSCHE BANK AG
015 B000022 S
015 C010022 MAKATI CITY
015 D010022 PHILIPPINES
015 E040022 X
015 A000023 CITIBANK EUROPE PLC
015 B000023 S
015 C010023 PORTO
015 D010023 PORTUGAL
015 E040023 X
015 A000024 UNITED OVERSEAS BANK LTD
015 B000024 S
015 C010024 SINGAPORE
015 D010024 SINGAPORE
015 E040024 X
015 A000025 THE STANDARD BANK OF SOUTH AFRICA LTD
015 B000025 S
015 C010025 JOHANNESBURG
015 D010025 SOUTH AFRICA
015 E040025 X
015 A000026 BANCO BILBAO VIZCAYA ARGENTARIA SA
015 B000026 S
015 C010026 MADRID
015 D010026 SPAIN
015 E040026 X
015 A000027 THE HONGKONG AND SHANGHAI BANKING CORP LTD

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

015 B000027 S
015 C010027 COLOMBO
015 D010027 SRI LANKA
015 E040027 X
015 A000028 SKANDINAVISKA ENSKILDA BANKEN
015 B000028 S
015 C010028 STOCKHOLM
015 D010028 SWEDEN
015 E040028 X
015 A000029 CREDIT SUISSE AG
015 B000029 S
015 C010029 ZURICH
015 D010029 SWITZERLAND
015 E040029 X
015 A000030 THE HONGKONG AND SHANGHAI BANKING CORP LTD

PAGE 6

015 B000030 S
015 C010030 BANGKOK
015 D010030 THAILAND
015 E040030 X
015 A000031 THE BANK OF NEW YORK MELLON
015 B000031 S
015 C010031 LONDON
015 D010031 UNITED KINGDOM
015 E040031 X
015 A000032 CITIBANK ARGENTINA
015 B000032 S
015 C010032 BUENOS AIRES
015 D010032 ARGENTINA
015 E040032 X
018 000000 Y
019 A000000 Y
019 B000000 166
019 C000000 FRNKTEMINV
020 A000001 UBS SECURITIES LLC
020 B000001 13-3873456
020 C000001 2
020 A000002 ELECTRONIC SECURITIES PROCESSING (ESP) LLC
020 B000002 13-4087626
020 C000002 1
020 A000003 JONES & ASSOCIATE
020 B000003 95-3583143
020 C000003 1
020 A000004 MORGAN STANLEY & CO INC
020 B000004 13-2655998
020 C000004 1
020 A000005 LIQUID NET
020 B000005 13-4095933
020 C000005 0
020 A000006 BARCLAYS CAPITAL INC
020 B000006 06-1031656
020 C000006 0
020 A000007 RBC DOMINION SECURITIES INC.
020 C000007 0
020 A000008 KNIGHT EQUITY MARKETS L P
020 B000008 22-3660471
020 C000008 0
020 A000009 GOLDMAN, SACHS & CO
020 B000009 13-5108880
020 C000009 0
020 A000010 COKER & PALMER, INC.
020 B000010 64-0802631

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

020 C000010 0
021 000000 5
022 A000001 JP MORGAN SECURITIES LLC
022 B000001 13-4110995
022 C000001 10925
PAGE 7
022 D000001 5927
022 A000002 GOLDMAN, SACHS & CO
022 B000002 13-5108880
022 C000002 9530
022 D000002 3304
022 A000003 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.
022 C000003 9679
022 D000003 2799
022 A000004 BARCLAYS CAPITAL INC
022 B000004 06-1031656
022 C000004 7535
022 D000004 4939
022 A000005 CREDIT SUISSE HOLDINGS (USA) INC
022 B000005 13-2853402
022 C000005 6657
022 D000005 3857
022 A000006 MORGAN STANLEY & CO INC
022 B000006 13-2655998
022 C000006 4590
022 D000006 4138
022 A000007 CITIGROUP GLOBAL MARKETS INC
022 B000007 11-2418191
022 C000007 2088
022 D000007 3862
022 A000008 DEUTSCHE BANK SECURITIES INC
022 B000008 13-2730828
022 C000008 2510
022 D000008 1461
022 A000009 RBC DOMINION SECURITIES INC.
022 C000009 1015
022 D000009 1623
022 A000010 FIRST UNION SECURITIES
022 B000010 94-1693697
022 C000010 1107
022 D000010 1344
023 C000000 58396
023 D000000 37420
024 000000 Y
025 A000001 JP MORGAN SECURITIES LLC
025 B000001 13-4110995
025 C000001 D
025 D000001 2093
025 A000002 CITIGROUP GLOBAL MARKETS INC
025 B000002 11-2418191
025 C000002 D
025 D000002 1199
025 A000003 MORGAN STANLEY & CO INC
025 B000003 13-2655998
025 C000003 D
025 D000003 624
026 A000000 N
PAGE 8
026 B000000 Y
026 C000000 Y
026 D000000 Y
026 E000000 N

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

| | | | |
|-----|---------|-------|-------|
| 026 | F000000 | N | |
| 026 | G010000 | N | |
| 026 | G020000 | N | |
| 026 | H000000 | N | |
| 027 | 000000 | N | |
| 028 | A010000 | | 0 |
| 028 | A020000 | | 0 |
| 028 | A030000 | | 0 |
| 028 | A040000 | | 0 |
| 028 | B010000 | | 0 |
| 028 | B020000 | | 0 |
| 028 | B030000 | | 0 |
| 028 | B040000 | | 0 |
| 028 | C010000 | | 0 |
| 028 | C020000 | | 0 |
| 028 | C030000 | | 0 |
| 028 | C040000 | | 0 |
| 028 | D010000 | | 0 |
| 028 | D020000 | | 0 |
| 028 | D030000 | | 0 |
| 028 | D040000 | | 0 |
| 028 | E010000 | | 0 |
| 028 | E020000 | | 0 |
| 028 | E030000 | | 0 |
| 028 | E040000 | | 0 |
| 028 | F010000 | | 0 |
| 028 | F020000 | | 0 |
| 028 | F030000 | | 0 |
| 028 | F040000 | | 0 |
| 028 | G010000 | | 0 |
| 028 | G020000 | | 0 |
| 028 | G030000 | | 0 |
| 028 | G040000 | | 0 |
| 028 | H000000 | | 0 |
| 030 | A000000 | | 0 |
| 030 | B000000 | 0.00 | |
| 030 | C000000 | 0.00 | |
| 031 | A000000 | | 0 |
| 031 | B000000 | | 0 |
| 032 | 000000 | | 0 |
| 033 | 000000 | | 0 |
| 035 | 000000 | | 0 |
| 036 | B000000 | | 0 |
| 038 | 000000 | | 0 |
| 042 | A000000 | | 0 |
| 042 | B000000 | | 0 |
| 042 | C000000 | | 0 |
| | PAGE | 9 | |
| 042 | D000000 | | 0 |
| 042 | E000000 | | 0 |
| 042 | F000000 | | 0 |
| 042 | G000000 | | 0 |
| 042 | H000000 | | 0 |
| 043 | 000000 | | 0 |
| 044 | 000000 | | 0 |
| 045 | 000000 | Y | |
| 046 | 000000 | N | |
| 047 | 000000 | Y | |
| 048 | 000000 | | 0.750 |
| 048 | A010000 | | 0 |
| 048 | A020000 | 0.000 | |
| 048 | B010000 | | 0 |

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

| | | | |
|-----|---------|-------|---|
| 048 | B020000 | 0.000 | |
| 048 | C010000 | | 0 |
| 048 | C020000 | 0.000 | |
| 048 | D010000 | | 0 |
| 048 | D020000 | 0.000 | |
| 048 | E010000 | | 0 |
| 048 | E020000 | 0.000 | |
| 048 | F010000 | | 0 |
| 048 | F020000 | 0.000 | |
| 048 | G010000 | | 0 |
| 048 | G020000 | 0.000 | |
| 048 | H010000 | | 0 |
| 048 | H020000 | 0.000 | |
| 048 | I010000 | | 0 |
| 048 | I020000 | 0.000 | |
| 048 | J010000 | | 0 |
| 048 | J020000 | 0.000 | |
| 048 | K010000 | | 0 |
| 048 | K020000 | 0.000 | |
| 049 | 000000 | N | |
| 050 | 000000 | N | |
| 051 | 000000 | N | |
| 052 | 000000 | N | |
| 053 | A000000 | Y | |
| 053 | B000000 | Y | |
| 053 | C000000 | N | |
| 054 | A000000 | Y | |
| 054 | B000000 | Y | |
| 054 | C000000 | Y | |
| 054 | D000000 | N | |
| 054 | E000000 | N | |
| 054 | F000000 | N | |
| 054 | G000000 | Y | |
| 054 | H000000 | Y | |
| 054 | I000000 | N | |
| 054 | J000000 | Y | |
| 054 | K000000 | N | |
| | PAGE | 10 | |
| 054 | L000000 | Y | |
| 054 | M000000 | Y | |
| 054 | N000000 | N | |
| 054 | O000000 | Y | |
| 055 | A000000 | N | |
| 055 | B000000 | N | |
| 056 | 000000 | Y | |
| 057 | 000000 | N | |
| 058 | A000000 | N | |
| 059 | 000000 | Y | |
| 060 | A000000 | Y | |
| 060 | B000000 | Y | |
| 061 | 000000 | | 0 |
| 062 | A000000 | Y | |
| 062 | B000000 | 0.0 | |
| 062 | C000000 | 0.0 | |
| 062 | D000000 | 0.0 | |
| 062 | E000000 | 0.0 | |
| 062 | F000000 | 0.0 | |
| 062 | G000000 | 0.0 | |
| 062 | H000000 | 0.0 | |
| 062 | I000000 | 0.0 | |
| 062 | J000000 | 0.0 | |
| 062 | K000000 | 0.0 | |

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

| | | |
|-----|---------|--------|
| 062 | L000000 | 0.0 |
| 062 | M000000 | 0.0 |
| 062 | N000000 | 0.0 |
| 062 | O000000 | 0.0 |
| 062 | P000000 | 83.0 |
| 062 | Q000000 | 0.0 |
| 062 | R000000 | 44.8 |
| 063 | A000000 | 0 |
| 063 | B000000 | 2.9 |
| 064 | A000000 | N |
| 064 | B000000 | N |
| 066 | A000000 | N |
| 067 | 000000 | Y |
| 068 | A000000 | N |
| 068 | B000000 | N |
| 069 | 000000 | N |
| 070 | A010000 | Y |
| 070 | A020000 | N |
| 070 | B010000 | N |
| 070 | B020000 | N |
| 070 | C010000 | N |
| 070 | C020000 | N |
| 070 | D010000 | N |
| 070 | D020000 | N |
| 070 | E010000 | N |
| 070 | E020000 | N |
| 070 | F010000 | N |
| | PAGE | 11 |
| 070 | F020000 | N |
| 070 | G010000 | N |
| 070 | G020000 | N |
| 070 | H010000 | N |
| 070 | H020000 | N |
| 070 | I010000 | N |
| 070 | I020000 | N |
| 070 | J010000 | Y |
| 070 | J020000 | Y |
| 070 | K010000 | Y |
| 070 | K020000 | Y |
| 070 | L010000 | Y |
| 070 | L020000 | Y |
| 070 | M010000 | Y |
| 070 | M020000 | N |
| 070 | N010000 | Y |
| 070 | N020000 | N |
| 070 | O010000 | Y |
| 070 | O020000 | Y |
| 070 | P010000 | Y |
| 070 | P020000 | Y |
| 070 | Q010000 | N |
| 070 | Q020000 | N |
| 070 | R010000 | N |
| 070 | R020000 | N |
| 071 | A000000 | 58918 |
| 071 | B000000 | 60845 |
| 071 | C000000 | 253458 |
| 071 | D000000 | 23 |
| 072 | A000000 | 12 |
| 072 | B000000 | 10666 |
| 072 | C000000 | 2868 |
| 072 | D000000 | 0 |
| 072 | E000000 | 0 |

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

| | |
|-------------|--------|
| 072 F000000 | 1942 |
| 072 G000000 | 0 |
| 072 H000000 | 0 |
| 072 I000000 | 87 |
| 072 J000000 | 2 |
| 072 K000000 | 12 |
| 072 L000000 | 26 |
| 072 M000000 | 9 |
| 072 N000000 | 0 |
| 072 O000000 | 0 |
| 072 P000000 | 1722 |
| 072 Q000000 | 0 |
| 072 R000000 | 49 |
| 072 S000000 | 28 |
| 072 T000000 | 0 |
| 072 U000000 | 0 |
| 072 V000000 | 0 |
| PAGE 12 | |
| 072 W000000 | 103 |
| 072 X000000 | 3980 |
| 072 Y000000 | 16 |
| 072 Z000000 | 9570 |
| 072AA000000 | 4688 |
| 072BB000000 | 6717 |
| 072CC010000 | 16393 |
| 072CC020000 | 0 |
| 072DD010000 | 9651 |
| 072DD020000 | 0 |
| 072EE000000 | 0 |
| 073 A010000 | 0.3800 |
| 073 A020000 | 0.0000 |
| 073 B000000 | 0.0000 |
| 073 C000000 | 0.0000 |
| 074 A000000 | 0 |
| 074 B000000 | 0 |
| 074 C000000 | 0 |
| 074 D000000 | 171898 |
| 074 E000000 | 129 |
| 074 F000000 | 88705 |
| 074 G000000 | 0 |
| 074 H000000 | 0 |
| 074 I000000 | 3803 |
| 074 J000000 | 122 |
| 074 K000000 | 0 |
| 074 L000000 | 3356 |
| 074 M000000 | 0 |
| 074 N000000 | 268013 |
| 074 O000000 | 0 |
| 074 P000000 | 165 |
| 074 Q000000 | 59973 |
| 074 R010000 | 0 |
| 074 R020000 | 0 |
| 074 R030000 | 0 |
| 074 R040000 | 910 |
| 074 S000000 | 0 |
| 074 T000000 | 206965 |
| 074 U010000 | 25132 |
| 074 U020000 | 0 |
| 074 V010000 | 8.24 |
| 074 V020000 | 0.00 |
| 074 W000000 | 0.0000 |
| 074 X000000 | 1308 |

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

074 Y000000 0
075 A000000 0
075 B000000 199048
076 000000 7.24
077 A000000 Y
077 B000000 Y
078 000000 N
PAGE 13
080 A000000 ICI Mutual Insurance Company
080 B000000 None
080 C000000 100000
081 A000000 Y
081 B000000 615
082 A000000 N
082 B000000 0
083 A000000 N
083 B000000 0
084 A000000 N
084 B000000 0
085 A000000 Y
085 B000000 N
086 A010000 0
086 A020000 0
086 B010000 0
086 B020000 0
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 COMMON STOCK
087 A020000 355145103
087 A030000 FT
088 A000000 Y
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE KRISTIN CARBONI
TITLE ASSISTANT TREASURER