

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

FRANKLIN UNIVERSAL TRUST

Form NSAR-B

October 27, 2017

PAGE 1
000 B000000 08/31/2017
000 C000000 0000833040
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 FRANKLIN UNIVERSAL TRUST
001 B000000 811-05569
001 C000000 6503122000
002 A000000 ONE FRANKLIN PARKWAY
002 B000000 SAN MATEO
002 C000000 CA
002 D010000 94403
002 D020000 1906
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
008 A000001 FRANKLIN ADVISERS, INC.
008 B000001 A
008 C000001 801-26292
008 D010001 SAN MATEO
008 D020001 CA
008 D030001 94403
008 D040001 1906
010 A000001 FRANKLIN TEMPLETON SERVICES, LLC
010 B000001 801-31192
010 C010001 SAN MATEO
010 C020001 CA
010 C030001 94403
010 C040001 1906
011 A000001 UBS FINANCIAL SERVICES INC
011 B000001 8-16267
011 C010001 WEEHAWKEN
011 C020001 NJ
011 C030001 07086
011 A000002 A.G. EDWARDS & SONS INC.
011 B000002 8-13580
011 C010002 ST. LOUIS
011 C020002 MO
011 C030002 63103
011 A000003 CITIGROUP GLOBAL MARKETS INC
011 B000003 8-00541
011 C010003 NEW YORK
011 C020003 NY
PAGE 2
011 C030003 10022
011 A000004 RBS CAPITAL MARKETS CORPORATION
011 B000004 8-45411
011 C010004 NEW YORK
011 C020004 NY
011 C030004 10006

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

011 C040004 1404
011 A000005 RAYMOND JAMES & ASSOCIATES
011 B000005 8-04393
011 C010005 ST. PETERSBURG
011 C020005 FL
011 C030005 33733
011 C040005 4508
011 A000006 BEAR STEARNS & CO. INC
011 B000006 8-35008
011 C010006 NEW YORK
011 C020006 NY
011 C030006 10179
011 A000007 FIRST BOSTON CORP
011 B000007 8-91836
011 C010007 NEW YORK
011 C020007 NY
011 C030007 10055
011 A000008 ALEX BROWN INVESTMENT MANAGEMENT LLC
011 B000008 8-04401
011 C010008 BALTIMORE
011 C020008 MD
011 C030008 21202
011 A000009 DONALDSON LUFKIN & JENRETTE INC
011 B000009 8-96058
011 C010009 NEW YORK
011 C020009 NY
011 C030009 10005
011 A000010 DREXEL BURNHAM LAMBERT REAL ESTATE
011 B000010 8-5829
011 C010010 GREENVILLE
011 C020010 SC
011 C030010 29602
011 A000011 GOLDMAN, SACHS & CO
011 B000011 8-00129
011 C010011 NEW YORK
011 C020011 NY
011 C030011 10004
012 A000001 AMERICAN STOCK TRANSFER AND TRUST COMPANY LLC
012 B000001 84-00416
012 C010001 WALL STREET STATION
012 C020001 NY
012 C030001 10269
012 C040001 0560
013 A000001 PRICewaterHOUSECOOPERS LLP
013 B010001 SAN FRANCISCO
PAGE 3
013 B020001 CA
013 B030001 94111
013 B040001 4004
015 A000001 THE BANK OF NEW YORK MELLON
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10286
015 C040001 0001
015 E010001 X
015 A000002 FRANKLIN TEMPLETON INVESTOR SERVICES LLC
015 B000002 C
015 C010002 SAN MATEO
015 C020002 CA
015 C030002 94403
015 C040002 1906

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

015 E030002 X
015 A000003 THE HONGKONG AND SHANGHAI BANKING CORP LTD
015 B000003 S
015 C010003 SYDNEY
015 D010003 AUSTRALIA
015 E040003 X
015 A000004 CITIBANK EUROPE PLC (UK BRANCH)
015 B000004 S
015 C010004 LONDON
015 D010004 BELGIUM
015 E040004 X
015 A000005 CITIBANK NA
015 B000005 S
015 C010005 SAO PAULO
015 D010005 BRAZIL
015 E040005 X
015 A000006 CIBC MELLON TRUST CO
015 B000006 S
015 C010006 TORONTO
015 D010006 CANADA
015 E040006 X
015 A000007 HSBC BANK (CHINA) CO LTD
015 B000007 S
015 C010007 SHANGHAI
015 D010007 CHINA
015 E040007 X
015 A000008 HSBC BANK (CHINA) CO LTD
015 B000008 S
015 C010008 SHENZHEN
015 D010008 CHINA
015 E040008 X
015 A000009 SKANDINAVISKA ENSKILDA BANKEN AB
015 B000009 S
015 C010009 COPENHAGEN
015 D010009 DENMARK
015 E040009 X
015 A000010 EUROCLEAR BANK
015 B000010 S
015 C010010 BRUSSELS
015 D010010 EUROMARKET
015 E040010 X
015 A000011 SKANDINAVISKA ENSKILDA BANKEN AB
015 B000011 S
015 C010011 HELSINKI
015 D010011 FINLAND
015 E040011 X
015 A000012 BNP PARIBAS SECURITIES SERVICES
015 B000012 S
015 C010012 PARIS
015 D010012 FRANCE
015 E040012 X
015 A000013 THE BANK OF NEW YORK MELLON SA/NV
015 B000013 S
015 C010013 FRANKFURT
015 D010013 GERMANY
015 E040013 X
015 A000014 HONG KONG AND SHANGHAI BANKING CORPORATION
015 B000014 S
015 C010014 HONGKONG
015 D010014 HONGKONG
015 E040014 X

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

015 A000015 DEUTSCHE BANK AG
015 B000015 S
015 C010015 JAKARTA
015 D010015 INDONESIA
015 E040015 X
015 A000016 THE BANK OF NEW YORK MELLON
015 B000016 S
015 C010016 LONDON
015 D010016 IRELAND
015 E040016 X
015 A000017 THE BANK OF TOKYO-MITSUBISHI UFJ LTD
015 B000017 S
015 C010017 TOKYO
015 D010017 JAPAN
015 E040017 X
015 A000018 THE BANK OF NEW YORK MELLON SA/NV
015 B000018 S
015 C010018 BRUSSELS
015 D010018 ITALY
015 E040018 X
015 A000019 CITIBANAMEX
015 B000019 S
015 C010019 MEXICO CITY
015 D010019 MEXICO
015 E040019 X
PAGE 5
015 A000020 THE BANK OF NEW YORK MELLON SA/NV
015 B000020 S
015 C010020 BRUSSELS
015 D010020 NETHERLANDS
015 E040020 X
015 A000021 NATIONAL NOMINEES LTD
015 B000021 S
015 C010021 WELLINGTON
015 D010021 NEW ZEALAND
015 E040021 X
015 A000022 DEUTSCHE BANK AG
015 B000022 S
015 C010022 MAKATI CITY
015 D010022 PHILIPPINES
015 E040022 X
015 A000023 CITIBANK EUROPE PLC
015 B000023 S
015 C010023 PORTO
015 D010023 PORTUGAL
015 E040023 X
015 A000024 UNITED OVERSEAS BANK LTD
015 B000024 S
015 C010024 SINGAPORE
015 D010024 SINGAPORE
015 E040024 X
015 A000025 THE STANDARD BANK OF SOUTH AFRICA LTD
015 B000025 S
015 C010025 JOHANNESBURG
015 D010025 SOUTH AFRICA
015 E040025 X
015 A000026 BANCO BILBAO VIZCAYA ARGENTARIA SA
015 B000026 S
015 C010026 MADRID
015 D010026 SPAIN
015 E040026 X
015 A000027 THE HONGKONG AND SHANGHAI BANKING CORP LTD

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

015 B000027 S
015 C010027 COLOMBO
015 D010027 SRI LANKA
015 E040027 X
015 A000028 SKANDINAVISKA ENSKILDA BANKEN
015 B000028 S
015 C010028 STOCKHOLM
015 D010028 SWEDEN
015 E040028 X
015 A000029 CREDIT SUISSE AG
015 B000029 S
015 C010029 ZURICH
015 D010029 SWITZERLAND
015 E040029 X
015 A000030 THE HONGKONG AND SHANGHAI BANKING CORP LTD

PAGE 6

015 B000030 S
015 C010030 BANGKOK
015 D010030 THAILAND
015 E040030 X
015 A000031 THE BANK OF NEW YORK MELLON
015 B000031 S
015 C010031 LONDON
015 D010031 UNITED KINGDOM
015 E040031 X
015 A000032 CITIBANK ARGENTINA
015 B000032 S
015 C010032 BUENOS AIRES
015 D010032 ARGENTINA
015 E040032 X
018 000000 Y
019 A000000 Y
019 B000000 166
019 C000000 FRNKTEMINV
020 A000001 UBS SECURITIES LLC
020 B000001 13-3873456
020 C000001 2
020 A000002 ELECTRONIC SECURITIES PROCESSING (ESP) LLC
020 B000002 13-4087626
020 C000002 1
020 A000003 JONES & ASSOCIATE
020 B000003 95-3583143
020 C000003 1
020 A000004 MORGAN STANLEY & CO INC
020 B000004 13-2655998
020 C000004 1
020 A000005 LIQUID NET
020 B000005 13-4095933
020 C000005 0
020 A000006 BARCLAYS CAPITAL INC
020 B000006 06-1031656
020 C000006 0
020 A000007 RBC DOMINION SECURITIES INC.
020 C000007 0
020 A000008 KNIGHT EQUITY MARKETS L P
020 B000008 22-3660471
020 C000008 0
020 A000009 GOLDMAN, SACHS & CO
020 B000009 13-5108880
020 C000009 0
020 A000010 COKER & PALMER, INC.
020 B000010 64-0802631

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

020 C000010 0
021 000000 5
022 A000001 JP MORGAN SECURITIES LLC
022 B000001 13-4110995
022 C000001 10925
PAGE 7
022 D000001 5927
022 A000002 GOLDMAN, SACHS & CO
022 B000002 13-5108880
022 C000002 9530
022 D000002 3304
022 A000003 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.
022 C000003 9679
022 D000003 2799
022 A000004 BARCLAYS CAPITAL INC
022 B000004 06-1031656
022 C000004 7535
022 D000004 4939
022 A000005 CREDIT SUISSE HOLDINGS (USA) INC
022 B000005 13-2853402
022 C000005 6657
022 D000005 3857
022 A000006 MORGAN STANLEY & CO INC
022 B000006 13-2655998
022 C000006 4590
022 D000006 4138
022 A000007 CITIGROUP GLOBAL MARKETS INC
022 B000007 11-2418191
022 C000007 2088
022 D000007 3862
022 A000008 DEUTSCHE BANK SECURITIES INC
022 B000008 13-2730828
022 C000008 2510
022 D000008 1461
022 A000009 RBC DOMINION SECURITIES INC.
022 C000009 1015
022 D000009 1623
022 A000010 FIRST UNION SECURITIES
022 B000010 94-1693697
022 C000010 1107
022 D000010 1344
023 C000000 58396
023 D000000 37420
024 000000 Y
025 A000001 JP MORGAN SECURITIES LLC
025 B000001 13-4110995
025 C000001 D
025 D000001 2093
025 A000002 CITIGROUP GLOBAL MARKETS INC
025 B000002 11-2418191
025 C000002 D
025 D000002 1199
025 A000003 MORGAN STANLEY & CO INC
025 B000003 13-2655998
025 C000003 D
025 D000003 624
026 A000000 N
PAGE 8
026 B000000 Y
026 C000000 Y
026 D000000 Y
026 E000000 N

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

026	F000000	N	
026	G010000	N	
026	G020000	N	
026	H000000	N	
027	000000	N	
028	A010000		0
028	A020000		0
028	A030000		0
028	A040000		0
028	B010000		0
028	B020000		0
028	B030000		0
028	B040000		0
028	C010000		0
028	C020000		0
028	C030000		0
028	C040000		0
028	D010000		0
028	D020000		0
028	D030000		0
028	D040000		0
028	E010000		0
028	E020000		0
028	E030000		0
028	E040000		0
028	F010000		0
028	F020000		0
028	F030000		0
028	F040000		0
028	G010000		0
028	G020000		0
028	G030000		0
028	G040000		0
028	H000000		0
030	A000000		0
030	B000000	0.00	
030	C000000	0.00	
031	A000000		0
031	B000000		0
032	000000		0
033	000000		0
035	000000		0
036	B000000		0
038	000000		0
042	A000000		0
042	B000000		0
042	C000000		0
	PAGE	9	
042	D000000		0
042	E000000		0
042	F000000		0
042	G000000		0
042	H000000		0
043	000000		0
044	000000		0
045	000000	Y	
046	000000	N	
047	000000	Y	
048	000000		0.750
048	A010000		0
048	A020000		0.000
048	B010000		0

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

048 B020000 0.000
 048 C010000 0
 048 C020000 0.000
 048 D010000 0
 048 D020000 0.000
 048 E010000 0
 048 E020000 0.000
 048 F010000 0
 048 F020000 0.000
 048 G010000 0
 048 G020000 0.000
 048 H010000 0
 048 H020000 0.000
 048 I010000 0
 048 I020000 0.000
 048 J010000 0
 048 J020000 0.000
 048 K010000 0
 048 K020000 0.000
 049 000000 N
 050 000000 N
 051 000000 N
 052 000000 N
 053 A000000 Y
 053 B000000 Y
 053 C000000 N
 054 A000000 Y
 054 B000000 Y
 054 C000000 Y
 054 D000000 N
 054 E000000 N
 054 F000000 N
 054 G000000 Y
 054 H000000 Y
 054 I000000 N
 054 J000000 Y
 054 K000000 N
 PAGE 10
 054 L000000 Y
 054 M000000 Y
 054 N000000 N
 054 O000000 Y
 055 A000000 N
 055 B000000 N
 056 000000 Y
 057 000000 N
 058 A000000 N
 059 000000 Y
 060 A000000 Y
 060 B000000 Y
 061 000000 0
 062 A000000 Y
 062 B000000 0.0
 062 C000000 0.0
 062 D000000 0.0
 062 E000000 0.0
 062 F000000 0.0
 062 G000000 0.0
 062 H000000 0.0
 062 I000000 0.0
 062 J000000 0.0
 062 K000000 0.0

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

062	L000000	0.0
062	M000000	0.0
062	N000000	0.0
062	O000000	0.0
062	P000000	83.0
062	Q000000	0.0
062	R000000	44.8
063	A000000	0
063	B000000	2.9
064	A000000	N
064	B000000	N
066	A000000	N
067	000000	Y
068	A000000	N
068	B000000	N
069	000000	N
070	A010000	Y
070	A020000	N
070	B010000	N
070	B020000	N
070	C010000	N
070	C020000	N
070	D010000	N
070	D020000	N
070	E010000	N
070	E020000	N
070	F010000	N
	PAGE	11
070	F020000	N
070	G010000	N
070	G020000	N
070	H010000	N
070	H020000	N
070	I010000	N
070	I020000	N
070	J010000	Y
070	J020000	Y
070	K010000	Y
070	K020000	Y
070	L010000	Y
070	L020000	Y
070	M010000	Y
070	M020000	N
070	N010000	Y
070	N020000	N
070	O010000	Y
070	O020000	Y
070	P010000	Y
070	P020000	Y
070	Q010000	N
070	Q020000	N
070	R010000	N
070	R020000	N
071	A000000	58918
071	B000000	60845
071	C000000	253458
071	D000000	23
072	A000000	12
072	B000000	10666
072	C000000	2868
072	D000000	0
072	E000000	0

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

072 F000000	1942
072 G000000	0
072 H000000	0
072 I000000	87
072 J000000	2
072 K000000	12
072 L000000	26
072 M000000	9
072 N000000	0
072 O000000	0
072 P000000	1722
072 Q000000	0
072 R000000	49
072 S000000	28
072 T000000	0
072 U000000	0
072 V000000	0

PAGE 12

072 W000000	103
072 X000000	3980
072 Y000000	16
072 Z000000	9570
072AA000000	4688
072BB000000	6717
072CC010000	16393
072CC020000	0
072DD010000	9651
072DD020000	0
072EE000000	0
073 A010000	0.3800
073 A020000	0.0000
073 B000000	0.0000
073 C000000	0.0000
074 A000000	0
074 B000000	0
074 C000000	0
074 D000000	171898
074 E000000	129
074 F000000	88705
074 G000000	0
074 H000000	0
074 I000000	3803
074 J000000	122
074 K000000	0
074 L000000	3356
074 M000000	0
074 N000000	268013
074 O000000	0
074 P000000	165
074 Q000000	59973
074 R010000	0
074 R020000	0
074 R030000	0
074 R040000	910
074 S000000	0
074 T000000	206965
074 U010000	25132
074 U020000	0
074 V010000	8.24
074 V020000	0.00
074 W000000	0.0000
074 X000000	1308

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

074 Y000000 0
075 A000000 0
075 B000000 199048
076 000000 7.24
077 A000000 Y
077 B000000 Y
078 000000 N
PAGE 13
080 A000000 ICI Mutual Insurance Company
080 B000000 None
080 C000000 100000
081 A000000 Y
081 B000000 615
082 A000000 N
082 B000000 0
083 A000000 N
083 B000000 0
084 A000000 N
084 B000000 0
085 A000000 Y
085 B000000 N
086 A010000 0
086 A020000 0
086 B010000 0
086 B020000 0
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 COMMON STOCK
087 A020000 355145103
087 A030000 FT
088 A000000 Y
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE KRISTIN CARBONI
TITLE ASSISTANT TREASURER