

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

FRANKLIN UNIVERSAL TRUST

Form NSAR-B

October 27, 2017

PAGE 1  
000 B000000 08/31/2017  
000 C000000 0000833040  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 FRANKLIN UNIVERSAL TRUST  
001 B000000 811-05569  
001 C000000 6503122000  
002 A000000 ONE FRANKLIN PARKWAY  
002 B000000 SAN MATEO  
002 C000000 CA  
002 D010000 94403  
002 D020000 1906  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 FRANKLIN ADVISERS, INC.  
008 B000001 A  
008 C000001 801-26292  
008 D010001 SAN MATEO  
008 D020001 CA  
008 D030001 94403  
008 D040001 1906  
010 A000001 FRANKLIN TEMPLETON SERVICES, LLC  
010 B000001 801-31192  
010 C010001 SAN MATEO  
010 C020001 CA  
010 C030001 94403  
010 C040001 1906  
011 A000001 UBS FINANCIAL SERVICES INC  
011 B000001 8-16267  
011 C010001 WEEHAWKEN  
011 C020001 NJ  
011 C030001 07086  
011 A000002 A.G. EDWARDS & SONS INC.  
011 B000002 8-13580  
011 C010002 ST. LOUIS  
011 C020002 MO  
011 C030002 63103  
011 A000003 CITIGROUP GLOBAL MARKETS INC  
011 B000003 8-00541  
011 C010003 NEW YORK  
011 C020003 NY  
PAGE 2  
011 C030003 10022  
011 A000004 RBS CAPITAL MARKETS CORPORATION  
011 B000004 8-45411  
011 C010004 NEW YORK  
011 C020004 NY  
011 C030004 10006

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

011 C040004 1404  
011 A000005 RAYMOND JAMES & ASSOCIATES  
011 B000005 8-04393  
011 C010005 ST. PETERSBURG  
011 C020005 FL  
011 C030005 33733  
011 C040005 4508  
011 A000006 BEAR STEARNS & CO. INC  
011 B000006 8-35008  
011 C010006 NEW YORK  
011 C020006 NY  
011 C030006 10179  
011 A000007 FIRST BOSTON CORP  
011 B000007 8-91836  
011 C010007 NEW YORK  
011 C020007 NY  
011 C030007 10055  
011 A000008 ALEX BROWN INVESTMENT MANAGEMENT LLC  
011 B000008 8-04401  
011 C010008 BALTIMORE  
011 C020008 MD  
011 C030008 21202  
011 A000009 DONALDSON LUFKIN & JENRETTE INC  
011 B000009 8-96058  
011 C010009 NEW YORK  
011 C020009 NY  
011 C030009 10005  
011 A000010 DREXEL BURNHAM LAMBERT REAL ESTATE  
011 B000010 8-5829  
011 C010010 GREENVILLE  
011 C020010 SC  
011 C030010 29602  
011 A000011 GOLDMAN, SACHS & CO  
011 B000011 8-00129  
011 C010011 NEW YORK  
011 C020011 NY  
011 C030011 10004  
012 A000001 AMERICAN STOCK TRANSFER AND TRUST COMPANY LLC  
012 B000001 84-00416  
012 C010001 WALL STREET STATION  
012 C020001 NY  
012 C030001 10269  
012 C040001 0560  
013 A000001 PRICewaterhouseCOOPERS LLP  
013 B010001 SAN FRANCISCO  
PAGE 3  
013 B020001 CA  
013 B030001 94111  
013 B040001 4004  
015 A000001 THE BANK OF NEW YORK MELLON  
015 B000001 C  
015 C010001 NEW YORK  
015 C020001 NY  
015 C030001 10286  
015 C040001 0001  
015 E010001 X  
015 A000002 FRANKLIN TEMPLETON INVESTOR SERVICES LLC  
015 B000002 C  
015 C010002 SAN MATEO  
015 C020002 CA  
015 C030002 94403  
015 C040002 1906

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

015 E030002 X  
015 A000003 THE HONGKONG AND SHANGHAI BANKING CORP LTD  
015 B000003 S  
015 C010003 SYDNEY  
015 D010003 AUSTRALIA  
015 E040003 X  
015 A000004 CITIBANK EUROPE PLC (UK BRANCH)  
015 B000004 S  
015 C010004 LONDON  
015 D010004 BELGIUM  
015 E040004 X  
015 A000005 CITIBANK NA  
015 B000005 S  
015 C010005 SAO PAULO  
015 D010005 BRAZIL  
015 E040005 X  
015 A000006 CIBC MELLON TRUST CO  
015 B000006 S  
015 C010006 TORONTO  
015 D010006 CANADA  
015 E040006 X  
015 A000007 HSBC BANK (CHINA) CO LTD  
015 B000007 S  
015 C010007 SHANGHAI  
015 D010007 CHINA  
015 E040007 X  
015 A000008 HSBC BANK (CHINA) CO LTD  
015 B000008 S  
015 C010008 SHENZHEN  
015 D010008 CHINA  
015 E040008 X  
015 A000009 SKANDINAVISKA ENSKILDA BANKEN AB  
015 B000009 S  
015 C010009 COPENHAGEN  
015 D010009 DENMARK  
015 E040009 X  
015 A000010 EUROCLEAR BANK  
015 B000010 S  
015 C010010 BRUSSELS  
015 D010010 EUROMARKET  
015 E040010 X  
015 A000011 SKANDINAVISKA ENSKILDA BANKEN AB  
015 B000011 S  
015 C010011 HELSINKI  
015 D010011 FINLAND  
015 E040011 X  
015 A000012 BNP PARIBAS SECURITIES SERVICES  
015 B000012 S  
015 C010012 PARIS  
015 D010012 FRANCE  
015 E040012 X  
015 A000013 THE BANK OF NEW YORK MELLON SA/NV  
015 B000013 S  
015 C010013 FRANKFURT  
015 D010013 GERMANY  
015 E040013 X  
015 A000014 HONG KONG AND SHANGHAI BANKING CORPORATION  
015 B000014 S  
015 C010014 HONGKONG  
015 D010014 HONGKONG  
015 E040014 X

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

015 A000015 DEUTSCHE BANK AG  
015 B000015 S  
015 C010015 JAKARTA  
015 D010015 INDONESIA  
015 E040015 X  
015 A000016 THE BANK OF NEW YORK MELLON  
015 B000016 S  
015 C010016 LONDON  
015 D010016 IRELAND  
015 E040016 X  
015 A000017 THE BANK OF TOKYO-MITSUBISHI UFJ LTD  
015 B000017 S  
015 C010017 TOKYO  
015 D010017 JAPAN  
015 E040017 X  
015 A000018 THE BANK OF NEW YORK MELLON SA/NV  
015 B000018 S  
015 C010018 BRUSSELS  
015 D010018 ITALY  
015 E040018 X  
015 A000019 CITIBANAMEX  
015 B000019 S  
015 C010019 MEXICO CITY  
015 D010019 MEXICO  
015 E040019 X  
PAGE 5  
015 A000020 THE BANK OF NEW YORK MELLON SA/NV  
015 B000020 S  
015 C010020 BRUSSELS  
015 D010020 NETHERLANDS  
015 E040020 X  
015 A000021 NATIONAL NOMINEES LTD  
015 B000021 S  
015 C010021 WELLINGTON  
015 D010021 NEW ZEALAND  
015 E040021 X  
015 A000022 DEUTSCHE BANK AG  
015 B000022 S  
015 C010022 MAKATI CITY  
015 D010022 PHILIPPINES  
015 E040022 X  
015 A000023 CITIBANK EUROPE PLC  
015 B000023 S  
015 C010023 PORTO  
015 D010023 PORTUGAL  
015 E040023 X  
015 A000024 UNITED OVERSEAS BANK LTD  
015 B000024 S  
015 C010024 SINGAPORE  
015 D010024 SINGAPORE  
015 E040024 X  
015 A000025 THE STANDARD BANK OF SOUTH AFRICA LTD  
015 B000025 S  
015 C010025 JOHANNESBURG  
015 D010025 SOUTH AFRICA  
015 E040025 X  
015 A000026 BANCO BILBAO VIZCAYA ARGENTARIA SA  
015 B000026 S  
015 C010026 MADRID  
015 D010026 SPAIN  
015 E040026 X  
015 A000027 THE HONGKONG AND SHANGHAI BANKING CORP LTD

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

015 B000027 S  
015 C010027 COLOMBO  
015 D010027 SRI LANKA  
015 E040027 X  
015 A000028 SKANDINAVISKA ENSKILDA BANKEN  
015 B000028 S  
015 C010028 STOCKHOLM  
015 D010028 SWEDEN  
015 E040028 X  
015 A000029 CREDIT SUISSE AG  
015 B000029 S  
015 C010029 ZURICH  
015 D010029 SWITZERLAND  
015 E040029 X  
015 A000030 THE HONGKONG AND SHANGHAI BANKING CORP LTD

PAGE 6

015 B000030 S  
015 C010030 BANGKOK  
015 D010030 THAILAND  
015 E040030 X  
015 A000031 THE BANK OF NEW YORK MELLON  
015 B000031 S  
015 C010031 LONDON  
015 D010031 UNITED KINGDOM  
015 E040031 X  
015 A000032 CITIBANK ARGENTINA  
015 B000032 S  
015 C010032 BUENOS AIRES  
015 D010032 ARGENTINA  
015 E040032 X  
018 000000 Y  
019 A000000 Y  
019 B000000 166  
019 C000000 FRNKTEMINV  
020 A000001 UBS SECURITIES LLC  
020 B000001 13-3873456  
020 C000001 2  
020 A000002 ELECTRONIC SECURITIES PROCESSING (ESP) LLC  
020 B000002 13-4087626  
020 C000002 1  
020 A000003 JONES & ASSOCIATE  
020 B000003 95-3583143  
020 C000003 1  
020 A000004 MORGAN STANLEY & CO INC  
020 B000004 13-2655998  
020 C000004 1  
020 A000005 LIQUID NET  
020 B000005 13-4095933  
020 C000005 0  
020 A000006 BARCLAYS CAPITAL INC  
020 B000006 06-1031656  
020 C000006 0  
020 A000007 RBC DOMINION SECURITIES INC.  
020 C000007 0  
020 A000008 KNIGHT EQUITY MARKETS L P  
020 B000008 22-3660471  
020 C000008 0  
020 A000009 GOLDMAN, SACHS & CO  
020 B000009 13-5108880  
020 C000009 0  
020 A000010 COKER & PALMER, INC.  
020 B000010 64-0802631

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

020 C000010 0  
021 000000 5  
022 A000001 JP MORGAN SECURITIES LLC  
022 B000001 13-4110995  
022 C000001 10925  
PAGE 7  
022 D000001 5927  
022 A000002 GOLDMAN, SACHS & CO  
022 B000002 13-5108880  
022 C000002 9530  
022 D000002 3304  
022 A000003 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.  
022 C000003 9679  
022 D000003 2799  
022 A000004 BARCLAYS CAPITAL INC  
022 B000004 06-1031656  
022 C000004 7535  
022 D000004 4939  
022 A000005 CREDIT SUISSE HOLDINGS (USA) INC  
022 B000005 13-2853402  
022 C000005 6657  
022 D000005 3857  
022 A000006 MORGAN STANLEY & CO INC  
022 B000006 13-2655998  
022 C000006 4590  
022 D000006 4138  
022 A000007 CITIGROUP GLOBAL MARKETS INC  
022 B000007 11-2418191  
022 C000007 2088  
022 D000007 3862  
022 A000008 DEUTSCHE BANK SECURITIES INC  
022 B000008 13-2730828  
022 C000008 2510  
022 D000008 1461  
022 A000009 RBC DOMINION SECURITIES INC.  
022 C000009 1015  
022 D000009 1623  
022 A000010 FIRST UNION SECURITIES  
022 B000010 94-1693697  
022 C000010 1107  
022 D000010 1344  
023 C000000 58396  
023 D000000 37420  
024 000000 Y  
025 A000001 JP MORGAN SECURITIES LLC  
025 B000001 13-4110995  
025 C000001 D  
025 D000001 2093  
025 A000002 CITIGROUP GLOBAL MARKETS INC  
025 B000002 11-2418191  
025 C000002 D  
025 D000002 1199  
025 A000003 MORGAN STANLEY & CO INC  
025 B000003 13-2655998  
025 C000003 D  
025 D000003 624  
026 A000000 N  
PAGE 8  
026 B000000 Y  
026 C000000 Y  
026 D000000 Y  
026 E000000 N

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

026	F000000	N	
026	G010000	N	
026	G020000	N	
026	H000000	N	
027	000000	N	
028	A010000		0
028	A020000		0
028	A030000		0
028	A040000		0
028	B010000		0
028	B020000		0
028	B030000		0
028	B040000		0
028	C010000		0
028	C020000		0
028	C030000		0
028	C040000		0
028	D010000		0
028	D020000		0
028	D030000		0
028	D040000		0
028	E010000		0
028	E020000		0
028	E030000		0
028	E040000		0
028	F010000		0
028	F020000		0
028	F030000		0
028	F040000		0
028	G010000		0
028	G020000		0
028	G030000		0
028	G040000		0
028	H000000		0
030	A000000		0
030	B000000	0.00	
030	C000000	0.00	
031	A000000		0
031	B000000		0
032	000000		0
033	000000		0
035	000000		0
036	B000000		0
038	000000		0
042	A000000		0
042	B000000		0
042	C000000		0
	PAGE	9	
042	D000000		0
042	E000000		0
042	F000000		0
042	G000000		0
042	H000000		0
043	000000		0
044	000000		0
045	000000	Y	
046	000000	N	
047	000000	Y	
048	000000	0.750	
048	A010000		0
048	A020000	0.000	
048	B010000		0

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

048 B020000 0.000  
 048 C010000 0  
 048 C020000 0.000  
 048 D010000 0  
 048 D020000 0.000  
 048 E010000 0  
 048 E020000 0.000  
 048 F010000 0  
 048 F020000 0.000  
 048 G010000 0  
 048 G020000 0.000  
 048 H010000 0  
 048 H020000 0.000  
 048 I010000 0  
 048 I020000 0.000  
 048 J010000 0  
 048 J020000 0.000  
 048 K010000 0  
 048 K020000 0.000  
 049 000000 N  
 050 000000 N  
 051 000000 N  
 052 000000 N  
 053 A000000 Y  
 053 B000000 Y  
 053 C000000 N  
 054 A000000 Y  
 054 B000000 Y  
 054 C000000 Y  
 054 D000000 N  
 054 E000000 N  
 054 F000000 N  
 054 G000000 Y  
 054 H000000 Y  
 054 I000000 N  
 054 J000000 Y  
 054 K000000 N  
 PAGE 10  
 054 L000000 Y  
 054 M000000 Y  
 054 N000000 N  
 054 O000000 Y  
 055 A000000 N  
 055 B000000 N  
 056 000000 Y  
 057 000000 N  
 058 A000000 N  
 059 000000 Y  
 060 A000000 Y  
 060 B000000 Y  
 061 000000 0  
 062 A000000 Y  
 062 B000000 0.0  
 062 C000000 0.0  
 062 D000000 0.0  
 062 E000000 0.0  
 062 F000000 0.0  
 062 G000000 0.0  
 062 H000000 0.0  
 062 I000000 0.0  
 062 J000000 0.0  
 062 K000000 0.0



Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

062	L000000	0.0
062	M000000	0.0
062	N000000	0.0
062	O000000	0.0
062	P000000	83.0
062	Q000000	0.0
062	R000000	44.8
063	A000000	0
063	B000000	2.9
064	A000000	N
064	B000000	N
066	A000000	N
067	000000	Y
068	A000000	N
068	B000000	N
069	000000	N
070	A010000	Y
070	A020000	N
070	B010000	N
070	B020000	N
070	C010000	N
070	C020000	N
070	D010000	N
070	D020000	N
070	E010000	N
070	E020000	N
070	F010000	N
	PAGE	11
070	F020000	N
070	G010000	N
070	G020000	N
070	H010000	N
070	H020000	N
070	I010000	N
070	I020000	N
070	J010000	Y
070	J020000	Y
070	K010000	Y
070	K020000	Y
070	L010000	Y
070	L020000	Y
070	M010000	Y
070	M020000	N
070	N010000	Y
070	N020000	N
070	O010000	Y
070	O020000	Y
070	P010000	Y
070	P020000	Y
070	Q010000	N
070	Q020000	N
070	R010000	N
070	R020000	N
071	A000000	58918
071	B000000	60845
071	C000000	253458
071	D000000	23
072	A000000	12
072	B000000	10666
072	C000000	2868
072	D000000	0
072	E000000	0

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

072 F000000	1942
072 G000000	0
072 H000000	0
072 I000000	87
072 J000000	2
072 K000000	12
072 L000000	26
072 M000000	9
072 N000000	0
072 O000000	0
072 P000000	1722
072 Q000000	0
072 R000000	49
072 S000000	28
072 T000000	0
072 U000000	0
072 V000000	0
PAGE 12	
072 W000000	103
072 X000000	3980
072 Y000000	16
072 Z000000	9570
072AA000000	4688
072BB000000	6717
072CC010000	16393
072CC020000	0
072DD010000	9651
072DD020000	0
072EE000000	0
073 A010000	0.3800
073 A020000	0.0000
073 B000000	0.0000
073 C000000	0.0000
074 A000000	0
074 B000000	0
074 C000000	0
074 D000000	171898
074 E000000	129
074 F000000	88705
074 G000000	0
074 H000000	0
074 I000000	3803
074 J000000	122
074 K000000	0
074 L000000	3356
074 M000000	0
074 N000000	268013
074 O000000	0
074 P000000	165
074 Q000000	59973
074 R010000	0
074 R020000	0
074 R030000	0
074 R040000	910
074 S000000	0
074 T000000	206965
074 U010000	25132
074 U020000	0
074 V010000	8.24
074 V020000	0.00
074 W000000	0.0000
074 X000000	1308

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-B

074 Y000000 0  
075 A000000 0  
075 B000000 199048  
076 000000 7.24  
077 A000000 Y  
077 B000000 Y  
078 000000 N  
PAGE 13  
080 A000000 ICI Mutual Insurance Company  
080 B000000 None  
080 C000000 100000  
081 A000000 Y  
081 B000000 615  
082 A000000 N  
082 B000000 0  
083 A000000 N  
083 B000000 0  
084 A000000 N  
084 B000000 0  
085 A000000 Y  
085 B000000 N  
086 A010000 0  
086 A020000 0  
086 B010000 0  
086 B020000 0  
086 C010000 0  
086 C020000 0  
086 D010000 0  
086 D020000 0  
086 E010000 0  
086 E020000 0  
086 F010000 0  
086 F020000 0  
087 A010000 COMMON STOCK  
087 A020000 355145103  
087 A030000 FT  
088 A000000 Y  
088 B000000 N  
088 C000000 N  
088 D000000 N  
SIGNATURE KRISTIN CARBONI  
TITLE ASSISTANT TREASURER