

APPLERA CORP
Form 4
February 06, 2008

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
WINGER DENNIS L

(Last) (First) (Middle)

APPLERA CORPORATION, 301 MERRITT 7

(Street)

NORWALK, CT 06851-1070

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
APPLERA CORP [ABI/CRA]

3. Date of Earliest Transaction (Month/Day/Year)
02/04/2008

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)

Senior Vice President and CFO

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (D) | Price |
| Applied Biosystems Group Common Stock | 02/04/2008 | | M | | 12,500 | A | \$ 16,484 |
| Applied Biosystems Group Common Stock | 02/04/2008 | | S ⁽¹⁾ | | 50 | D | \$ 31.96 |
| Applied Biosystems Group Common Stock | 02/04/2008 | | S ⁽¹⁾ | | 100 | D | \$ 31.95 |

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| | | | | | | | | |
|---|------------|------------------------|-----|---|----------|-------------|---|--|
| Group Common Stock | | | | | | | | |
| Applied Biosystems Group Common Stock | 02/04/2008 | <u>S⁽¹⁾</u> | 383 | D | \$ 31.94 | 78,854.2555 | D | |
| Applied Biosystems Group Common Stock | 02/04/2008 | <u>S⁽¹⁾</u> | 400 | D | \$ 31.93 | 78,454.2555 | D | |
| Applied Biosystems Group Common Stock | 02/04/2008 | <u>S⁽¹⁾</u> | 450 | D | \$ 31.92 | 78,004.2555 | D | |
| Applied Biosystems Group Common Stock | 02/04/2008 | <u>S⁽¹⁾</u> | 642 | D | \$ 31.91 | 77,362.2555 | D | |
| Applied Biosystems Group Common Stock | 02/04/2008 | <u>S⁽¹⁾</u> | 321 | D | \$ 31.9 | 77,041.2555 | D | |
| Applied Biosystems Group Common Stock | 02/04/2008 | <u>S⁽¹⁾</u> | 304 | D | \$ 31.89 | 76,737.2555 | D | |
| Applied Biosystems Group Common Stock | 02/04/2008 | <u>S⁽¹⁾</u> | 67 | D | \$ 31.88 | 76,670.2555 | D | |
| Applied Biosystems Group Common Stock | 02/04/2008 | <u>S⁽¹⁾</u> | 317 | D | \$ 31.87 | 76,353.2555 | D | |
| Applied Biosystems Group | 02/04/2008 | <u>S⁽¹⁾</u> | 227 | D | \$ 31.86 | 76,126.2555 | D | |

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| | | | | | | | |
|---|------------|------------------|-----|---|----------|-------------|---|
| Common Stock | | | | | | | |
| Applied Biosystems Group Common Stock | 02/04/2008 | S ⁽¹⁾ | 361 | D | \$ 31.85 | 75,765.2555 | D |
| Applied Biosystems Group Common Stock | 02/04/2008 | S ⁽¹⁾ | 278 | D | \$ 31.84 | 75,487.2555 | D |
| Applied Biosystems Group Common Stock | 02/04/2008 | S ⁽¹⁾ | 100 | D | \$ 31.83 | 75,387.2555 | D |
| Applied Biosystems Group Common Stock | 02/04/2008 | S ⁽¹⁾ | 17 | D | \$ 31.81 | 75,370.2555 | D |
| Applied Biosystems Group Common Stock | 02/04/2008 | S ⁽¹⁾ | 350 | D | \$ 31.8 | 75,020.2555 | D |
| Applied Biosystems Group Common Stock | 02/04/2008 | S ⁽¹⁾ | 362 | D | \$ 31.79 | 74,658.2555 | D |
| Applied Biosystems Group Common Stock | 02/04/2008 | S ⁽¹⁾ | 414 | D | \$ 31.78 | 74,244.2555 | D |
| Applied Biosystems Group Common Stock | 02/04/2008 | S ⁽¹⁾ | 661 | D | \$ 31.77 | 73,583.2555 | D |
| Applied Biosystems Group Common | 02/04/2008 | S ⁽¹⁾ | 145 | D | \$ 31.76 | 73,438.2555 | D |

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| | | | | | | | |
|---------------------------------------|------------|-------------|-----|---|----------|-------------|---|
| Stock | | | | | | | |
| Applied Biosystems Group Common Stock | 02/04/2008 | <u>S(1)</u> | 385 | D | \$ 31.75 | 73,053.2555 | D |
| Applied Biosystems Group Common Stock | 02/04/2008 | <u>S(1)</u> | 477 | D | \$ 31.74 | 72,576.2555 | D |
| Applied Biosystems Group Common Stock | 02/04/2008 | <u>S(1)</u> | 709 | D | \$ 31.73 | 71,867.2555 | D |
| Applied Biosystems Group Common Stock | 02/04/2008 | <u>S(1)</u> | 588 | D | \$ 31.72 | 71,279.2555 | D |
| Applied Biosystems Group Common Stock | 02/04/2008 | <u>S(1)</u> | 814 | D | \$ 31.71 | 70,465.2555 | D |
| Applied Biosystems Group Common Stock | 02/04/2008 | <u>S(1)</u> | 862 | D | \$ 31.7 | 69,603.2555 | D |
| Applied Biosystems Group Common Stock | 02/04/2008 | <u>S(1)</u> | 398 | D | \$ 31.69 | 69,205.2555 | D |
| Applied Biosystems Group Common Stock | 02/04/2008 | <u>S(1)</u> | 485 | D | \$ 31.68 | 68,720.2555 | D |
| Applied Biosystems Group Common Stock | 02/04/2008 | <u>S(1)</u> | 203 | D | \$ 31.66 | 68,517.2555 | D |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| Applied Biosystems Group Employee Stock Options-Right to Buy | \$ 16.484 | 02/04/2008 | | M | 12,500 | 03/30/2000 03/30/2008 | Applied Biosystems Group Common Stock |
| Celera Group Employee Stock Options-Right to Buy | \$ 6.7201 | 02/04/2008 | | M | 3,125 | 03/30/2000 03/30/2008 | Celera Group Common Stock |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| WINGER DENNIS L APPLERA CORPORATION 301 MERRITT 7 NORWALK, CT 06851-1070 | | | Senior Vice President and CFO | |

Signatures

/s/ Thomas P. Livingston, Attorney-In-Fact for Dennis L. Winger 02/06/2008

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects sale by independent third-party administrator under the issuer's Insider Diversification Program.

Remarks:

This is the first of three forms being filed by the reporting person on February 6, 2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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`Opt" align="right">`No Opt. Call

| | |
|--|---------|
| | AA |
| | 144,190 |
| | 1,960 |

5.000%, 9/01/36

| |
|----------------|
| 9/21 at 100.00 |
| AA |
| 2,136,949 |

Miami University of Ohio, General Receipts Bonds, Series 2012:

480

4.000%, 9/01/32

| |
|----------------|
| 9/22 at 100.00 |
| AA |
| 498,624 |
| 1,000 |

4.000%, 9/01/33

| |
|----------------|
| 9/22 at 100.00 |
| AA |
| 1,032,990 |
| 3,150 |

Ohio Higher Education Facilities Commission, General Revenue Bonds, Kenyon College, Series

| |
|----------------|
| 7/16 at 100.00 |
| A+ |
| 3,215,804 |

2006, 5.000%, 7/01/41

Ohio Higher Education Facilities Commission, Revenue Bonds, Wittenberg University, Series 2005:

1,150

5.000%, 12/01/24

12/15 at 100.00

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| | |
|---|---|
| | B1 1,118,870 1,000 |
| 5.000%, 12/01/29 | 12/15 at 100.00 B1 947,290 2,420 |
| Ohio Higher Educational Facilities Commission, General Revenue Bonds, University of Dayton, | 12/16 at 100.00 A 2,605,953 |
| 2006 Project, Series 2006, 5.000%, 12/01/30 – AMBAC Insured | |
| Ohio Higher Educational Facilities Commission, Revenue Bonds, Denison University Project, Series 2012: | 120 |
| 5.000%, 11/01/27 | 5/22 at 100.00 AA 138,478 590 |
| 5.000%, 11/01/32 | 5/22 at 100.00 AA 661,785 5,000 |
| Ohio Higher Educational Facilities Commission, Revenue Bonds, University of Dayton, Series | 12/22 at 100.00 A 5,370,450 |
| 2013, 5.000%, 12/01/43 | 1,075 |
| Ohio Higher Educational Facilities Commission, Revenue Bonds, Wittenberg University, Series | 6/14 at 100.00 B1 1,076,430 |
| 2001, 5.500%, 12/01/15 | |

| | |
|--|-----------------|
| | 2,250 |
| Ohio State Higher Education Facilities, Revenue Bonds, Case Western Reserve University, Series | 12/16 at 100.00 |
| | AA- |
| | 2,422,890 |
| 2006, 5.000%, 12/01/44 – NPMG Insured | |
| | 3,000 |
| Ohio State Higher Educational Facility Commission, Higher Education Facility Revenue Bonds, | 11/18 at 100.00 |
| | A- |
| | 3,499,530 |
| Xavier University 2008C, 5.750%, 5/01/28 | |
| | 950 |
| Ohio State, Higher Educational Facility Revenue Bonds, Otterbein College Project, Series | 12/18 at 100.00 |
| | A3 |
| | 1,038,654 |
| 2008A, 5.500%, 12/01/28 | |
| | 1,000 |
| Ohio University at Athens, General Receipts Bonds, Series 2013, 5.000%, 12/01/39 | 12/22 at 100.00 |
| | Aa3 |
| | 1,086,120 |
| | 29,650 |
| Total Education and Civic Organizations | 32,014,357 |
| Health Care – 32.1% (21.9% of Total Investments) | |
| | 65 |
| Akron, Bath and Copley Joint Township Hospital District, Ohio, Hospital Facilities Revenue | 11/14 at 100.00 |
| | Baa1 |
| | 65,486 |
| Bonds, Summa Health System, Series 2004A, 5.500%, 11/15/34 – RAAI Insured | |
| | 3,000 |
| Explanation of Responses: | 8 |

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| | | |
|--|-----------------|-----------|
| Akron, Bath and Copley Joint Township Hospital District, Ohio, Hospital Revenue Bonds, | 5/23 at 100.00 | |
| | A1 | |
| | | 3,223,950 |
| Children's Hospital Medical Center, Improvement Series 2013, 5.000%, 11/15/38 | | |
| | | 1,950 |
| Allen County, Ohio, Hospital Facilities Revenue Bonds, Catholic Healthcare Partners, Series | 6/20 at 100.00 | |
| | AA- | |
| | | 2,114,093 |
| 2010A, 5.250%, 6/01/38 | | |
| | | 3,500 |
| Butler County, Ohio, Hospital Facilities Revenue Bonds, UC Health, Series 2010, | 11/20 at 100.00 | |
| | A- | |
| | | 3,838,100 |
| 5.500%, 11/01/40 | | |
| | | 6,575 |
| Butler County, Ohio, Hospital Facilities Revenue Bonds, Cincinnati Children's Medical Center | 5/16 at 100.00 | |
| | A3 | |
| | | 6,831,491 |
| Project, Series 2006K, 5.000%, 5/15/31 – FGIC Insured | | |
| | | 2,400 |
| Fairfield County, Ohio, Hospital Facilities Revenue Bonds, Fairfield Medical Center Project, | 6/23 at 100.00 | |
| | Baa2 | |
| | | 2,521,032 |
| Series 2013, 5.000%, 6/15/43 | | |
| Franklin County, Ohio, Hospital Revenue Bonds, Nationwide Children's Hospital Project, | | |
| Improvement Series 2009: | | |
| | | 250 |
| 5.000%, 11/01/34 | | |
| Explanation of Responses: | | 9 |

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| | |
|---|--|
| | 11/19 at 100.00 Aa2 270,218 2,615 |
| 5.250%, 11/01/40 | |
| | 11/19 at 100.00 Aa2 2,826,318 2,470 |
| Franklin County, Ohio, Hospital Revenue Bonds, Nationwide Children's Hospital Project, Series | |
| | 11/18 at 100.00 Aa2 2,581,792 |
| 2008A, 5.000%, 11/01/40 | |
| | 250 |
| Franklin County, Ohio, Hospital Revenue Bonds, OhioHealth Corporation, Series 2011A, | |
| | 11/21 at 100.00 AA+ 269,910 |
| 5.000%, 11/15/41 | |
| | 4,480 |
| Franklin County, Ohio, Hospital Revenue Bonds, OhioHealth Corporation, Tender Option Bond | |
| | 11/21 at 100.00 AA+ 5,193,574 |
| Trust 11-21B, 9.344%, 11/15/41 (IF) (4) | |
| | 3,225 |
| Hancock County, Ohio, Hospital Revenue Bonds, Blanchard Valley Regional Health Center, Series | |
| | 6/21 at 100.00 A2 3,717,522 |
| 2011A, 6.250%, 12/01/34 | |
| | 1,865 |
| Lake County, Ohio, Hospital Facilities Revenue Bonds, Lake Hospital System, Inc., Refunding | |
| | 8/18 at 100.00 A3 2,011,776 |
| Explanation of Responses: | 10 |

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Series 2008C, 6.000%, 8/15/43

Lucas County, Ohio, Hospital Revenue Bonds, ProMedica Healthcare Obligated Group, Series 2008D:

90

5.000%, 11/15/38

11/18 at 100.00
AA
94,672
40

5.125%, 11/15/40

11/18 at 100.00
AA
42,230
3,965

Lucas County, Ohio, Hospital Revenue Bonds, ProMedica Healthcare Obligated Group, Series

11/21 at 100.00
AA
4,600,788

2011A, 6.000%, 11/15/41

1,500

Miami County, Ohio, Hospital Facilities Revenue Refunding Bonds, Upper Valley Medical Center

5/16 at 100.00
A
1,613,295

Inc., Series 2006, 5.250%, 5/15/21

820

Middleburg Heights, Ohio, Hospital Facilities Revenue Bonds, Southwest General Health Center

8/21 at 100.00
A2
872,259

Project, Refunding Series 2011, 5.250%, 8/01/41

Montgomery County, Ohio, Revenue Bonds, Catholic Health Initiatives, Series 2004A:

3,700

5.000%, 5/01/30

No Opt. Call
A+

Explanation of Responses:

11

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| | |
|---|---|
| | 3,709,694 2,500 |
| 5.000%, 5/01/32 | |
| | No Opt. Call A+ 2,503,425 6,105 |
| Muskingum County, Ohio, Hospital Facilities Revenue Bonds, Genesis HealthCare System | |
| | 2/23 at 100.00 BB+ 6,011,655 |
| Obligated Group Project, Series 2013, 5.000%, 2/15/44 | |
| | 95 |
| Ohio Higher Educational Facilities Commission, Revenue Bonds, University Hospitals Health | |
| | 1/17 at 100.00 AA+ 103,265 |
| System Inc., Series 2007A, 5.250%, 1/15/46 – BHAC Insured | |
| Ohio State Higher Educational Facilities Commission, Hospital Revenue Bonds, Cleveland Clinic | |
| Health System Obligated Group, Series 2008A: | |
| | 3,000 |
| 5.000%, 1/01/25 | |
| | 1/18 at 100.00 Aa2 3,304,980 240 |
| 5.250%, 1/01/33 | |
| | 1/18 at 100.00 Aa2 264,710 1,100 |
| Ohio State Higher Educational Facilities Commission, Hospital Revenue Bonds, Cleveland Clinic | |
| | 1/22 at 100.00 Aa2 1,207,910 |
| Health System Obligated Group, Series 2012A, 5.000%, 1/01/38 | |
| Ohio State Higher Educational Facilities Commission, Hospital Revenue Bonds, Summa Health | |
| Explanation of Responses: | 12 |

System Project, Series 2010:

1,500

5.750%, 11/15/40 – AGM Insured

5/20 at 100.00

AA

1,644,570

1,520

5.250%, 11/15/40 – AGM Insured

5/20 at 100.00

AA

1,624,804

8,050

Ohio State, Hospital Facility Revenue Refunding Bonds, Cleveland Clinic Health System

1/19 at 100.00

Aa2

9,003,038

Obligated Group, Series 2009A, 5.500%, 1/01/39 (WI/DD, Settling 6/05/14)

Ohio State, Hospital Facility Revenue Refunding Bonds, Cleveland Clinic Health System

Obligated Group, Tender Option Bond Trust 3551:

5,350

20.335%, 1/01/33 (IF)

1/19 at 100.00

Aa2

7,883,544

875

20.218%, 1/01/17 (IF)

No Opt. Call

Aa2

1,253,875

Ohio State, Hospital Revenue Bonds, University Hospitals Health System, Inc., Series 2013A:

1,000

5.000%, 1/15/28

1/23 at 100.00

A

1,120,290

2,000

Explanation of Responses:

13

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| | |
|---|---|
| 5.000%, 1/15/29 | 1/23 at 100.00 A 2,227,900 2,300 |
| Richland County, Ohio, Hospital Revenue Bonds, MidCentral Health System Group, Series 2006, | 11/16 at 100.00 A- 2,373,163 |
| 5.250%, 11/15/36 | |
| Ross County, Ohio, Hospital Revenue Refunding Bonds, Adena Health System Series 2008: | 1,425 |
| 5.750%, 12/01/28 | 12/18 at 100.00 A- 1,578,145 1,385 |
| 5.750%, 12/01/35 | 12/18 at 100.00 A- 1,514,982 1,000 |
| 5.750%, 12/01/35 – AGC Insured | 12/18 at 100.00 AA 1,086,900 |
| Wood County, Ohio, Hospital Facilities Refunding and Improvement Revenue Bonds, Wood County Hospital Project, Series 2012: | 2,635 |
| 5.000%, 12/01/37 | No Opt. Call Baa2 2,704,748 4,920 |
| 5.000%, 12/01/42 | No Opt. Call Baa2 5,019,040 89,760 |

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| | | |
|--|-----------------|------------|
| Total Health Care | | 98,829,144 |
| Housing/Multifamily – 3.2% (2.2% of Total Investments) | | 1,375 |
| Clermont County, Ohio, GNMA Collateralized Mortgage Revenue Bonds, S.E.M. Villa II Project, | 8/14 at 100.00 | |
| | Aaa | 1,377,558 |
| Series 1994A, 5.950%, 2/20/30 | | 1,165 |
| Cleveland-Cuyahoga County Port Authority, Ohio, Student Housing Facility Revenue Bonds, | 8/15 at 100.00 | |
| | N/R | 1,165,571 |
| Euclid Avenue Housing Corporation – Fenn Tower Project, Series 2005, 5.000%, 8/01/23 – | | |
| AMBAC Insured | | 755 |
| Franklin County, Ohio, GNMA Collateralized Multifamily Housing Mortgage Revenue Bonds, Agler | No Opt. Call | |
| | Aaa | 756,389 |
| Project, Series 2002A, 5.550%, 5/20/22 (Alternative Minimum Tax) | | 1,600 |
| Montgomery County, Ohio, GNMA Guaranteed Multifamily Housing Revenue Bonds, Canterbury | 10/18 at 101.00 | |
| | Aa1 | 1,692,448 |
| Court Project, Series 2007, 5.500%, 10/20/42 (Alternative Minimum Tax) | | 1,190 |
| Ohio Housing Finance Agency, FHA-Insured Multifamily Housing Mortgage Revenue Bonds, | 6/16 at 102.00 | |
| | AA+ | 1,205,387 |
| Explanation of Responses: | | 15 |

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Madonna Homes, Series 2006M, 4.900%, 6/20/48 (Alternative Minimum Tax)

3,390

Summit County Port Authority, Ohio, Multifamily Housing Revenue Bonds, Callis Tower Apartments

9/17 at 102.00

AA+

3,526,176

Project, Series 2007, 5.250%, 9/20/47 (Alternative Minimum Tax)

9,475

Total Housing/Multifamily

9,723,529

Industrials – 2.4% (1.6% of Total Investments)

2,055

Cleveland-Cuyahoga County Port Authority, Ohio, Common Bond Fund Revenue Bonds, Cleveland

No Opt. Call

BBB+

2,061,083

Christian Home Project, Series 2002C, 5.950%, 5/15/22

735

Cleveland-Cuyahoga County Port Authority, Ohio, Development Revenue Bonds, Bond Fund

11/15 at 100.00

BBB+

738,969

Program – Columbia National Group Project, Series 2005D, 5.000%, 5/15/20 (Alternative

Minimum Tax)

3,495

Toledo-Lucas County Port Authority, Ohio, Revenue Refunding Bonds, CSX Transportation Inc.,

No Opt. Call

Baa2

4,404,084

Series 1992, 6.450%, 12/15/21

1,600

Explanation of Responses:

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| | |
|---|-------------------------------------|
| Western Reserve Port Authority, Ohio, Solid Waste Facility Revenue Bonds, Central Waste Inc., | 7/17 at 102.00 N/R 52,640 |
| Series 2007A, 6.350%, 7/01/27 (Alternative Minimum Tax) (7) | 7,885 |
| Total Industrials | 7,256,776 |
| Long-Term Care – 1.0% (0.8% of Total Investments) | 895 |
| Franklin County, Ohio, Healthcare Facilities Revenue Bonds, Ohio Presbyterian Retirement | 7/21 at 100.00 BBB– 971,093 |
| Services, Improvement Series 2010A, 5.625%, 7/01/26 | 2,220 |
| Montgomery County, Ohio, Health Care and Multifamily Housing Revenue Bonds, Saint Leonard, | 4/20 at 100.00 BBB– 2,418,912 |
| Refunding & improvement Series 2010, 6.625%, 4/01/40 | 3,115 |
| Total Long-Term Care | 3,390,005 |
| Materials – 0.6% (0.4% of Total Investments) | 2,000 |
| Toledo-Lucas County Port Authority, Ohio, Port Revenue Bonds, Cargill Inc., Series 2004B, | No Opt. Call A 2,095,080 |
| 4.500%, 12/01/15 | |
| Tax Obligation/General – 30.1% (20.6% of Total Investments) | |
| Explanation of Responses: | 17 |

125

Barberton City School District, Summit County, Ohio, General Obligation Bonds, School

6/18 at 100.00

AA

139,579

Improvement Series 2008, 5.250%, 12/01/31

Central Ohio Solid Waste Authority, General Obligation Bonds, Refunding & Improvements,

Series 2012:

1,140

5.000%, 12/01/26

6/22 at 100.00

Aaa

1,333,162

2,545

5.000%, 12/01/28

6/22 at 100.00

Aaa

2,951,029

1,605

5.000%, 12/01/29

6/22 at 100.00

Aaa

1,848,511

Cincinnati, Ohio, General Obligation Bonds, Various Purpose Series 2012A:

1,960

5.000%, 12/01/31

12/20 at 100.00

Aa2

2,224,071

875

5.000%, 12/01/32

12/20 at 100.00

Aa2

989,993

2,000

Cleveland, Ohio, General Obligation Bonds, Series 2011, 5.000%, 12/01/29

No Opt. Call

Explanation of Responses:

18

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| | |
|--|-----------------|
| | AA |
| | 2,249,440 |
| | 1,140 |
| Columbia Local School District, Lorain County, Ohio, General Obligation Bonds, School | |
| | 11/21 at 100.00 |
| | A2 |
| | 1,241,141 |
| Facilities Improvement Series 2011, 5.000%, 11/01/39 – AGM Insured | |
| Columbus City School District, Franklin County, Ohio, General Obligation Bonds, Series 2006: | |
| | 4,310 |
| 0.000%, 12/01/27 – AGM Insured | |
| | No Opt. Call |
| | AA+ |
| | 2,738,660 |
| | 5,835 |
| 0.000%, 12/01/28 – AGM Insured | |
| | No Opt. Call |
| | AA+ |
| | 3,558,066 |
| | 1,730 |
| Franklin County, Ohio, General Obligation Bonds, Refunding Series 2014, 5.000%, 6/01/31 | |
| | 12/23 at 100.00 |
| | AAA |
| | 2,049,600 |
| Franklin County, Ohio, General Obligation Bonds, Series 2007: | |
| | 3,355 |
| 5.000%, 12/01/27 | |
| | 12/17 at 100.00 |
| | AAA |
| | 3,762,163 |
| | 1,840 |
| 5.000%, 12/01/28 | |
| | 12/17 at 100.00 |
| | AAA |
| | 2,058,500 |
| Greenville City School District, Drake County, Ohio, General Obligation Bonds, School | |
| Improvement Series 2013: | |

| | |
|--|--|
| | 555 |
| 5.250%, 1/01/38 | 1/22 at 100.00 AA 613,947 1,355 |
| 5.250%, 1/01/41 | 1/22 at 100.00 AA 1,491,367 1,355 |
| Grove City, Ohio, General Obligation Bonds, Construction & Improvement Series 2009, | 12/19 at 100.00 Aa1 1,483,142 |
| 5.125%, 12/01/36 | 12,750 |
| Hamilton City School District, Ohio, General Obligation Bonds, Series 2007, 5.000%, 12/01/34 – | 6/17 at 100.00 AA 13,860,778 |
| AGM Insured | 6,580 |
| Indian Lake Local School District, Logan and Auglaize Counties, Ohio, School Facilities | 6/17 at 100.00 AA– 7,165,291 |
| Improvement and Refunding Bonds, Series 2007, 5.000%, 12/01/34 – NPMG Insured | 2,160 |
| Kenston Local School District, Geauga County, Ohio, General Obligation Bonds, Series 2011, | No Opt. Call Aa1 1,837,728 |
| 0.000%, 12/01/21 | |
| Lakewood City School District, Cuyahoga County, Ohio, General Obligation Bonds, Series 2007: | 1,010 |
| Explanation of Responses: | 20 |

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| | |
|---|--|
| 5.000%, 12/01/25 – FGIC Insured | 12/17 at 100.00 Aa2 1,138,856 775 |
| 5.000%, 12/01/30 – FGIC Insured | 12/17 at 100.00 Aa2 854,469 2,620 |
| Lucas County, Ohio, General Obligation Bonds, Various Purpose Series 2010, 5.000%, 10/01/40 | 10/18 at 100.00 Aa2 2,857,739 1,130 |
| Marysville Exempted Village School District, Union County, Ohio, General Obligation Bonds, Series 2006, 5.000%, 12/01/25 – AGM Insured | 12/15 at 100.00 AA 1,205,235 4,500 |
| Middletown City School District, Butler County, Ohio, General Obligation Bonds, Refunding | No Opt. Call A2 5,448,105 |
| Series 2007, 5.250%, 12/01/31 – AGM Insured | 1,500 |
| Milford Exempted Village School District, Ohio, General Obligation Bonds, Series 2008, 5.250%, 12/01/36 | 12/18 at 100.00 Aa3 1,685,115 1,305 |
| Monroe Local School District, Butler County, Ohio, General Obligation Bonds, Series 2006, | No Opt. Call Baa1 1,559,984 |

Explanation of Responses:

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5.500%, 12/01/24 – AMBAC Insured

725

Napoleon City School District, Henry County, Ohio, General Obligation Bonds, Facilities

6/22 at 100.00

Aa3

788,459

Construction & Improvement Series 2012, 5.000%, 12/01/36

2,500

New Albany Plain Local School District, Franklin County, Ohio, General Obligation Bonds,

12/22 at 100.00

AA+

2,517,050

Refunding School Improvement Series 2013, 4.000%, 12/01/43

985

Newark City School District, Licking County, Ohio, General Obligation Bonds, Series 2005,

12/15 at 100.00

AA-

1,039,352

5.000%, 12/01/28 – NPFG Insured

2,300

Northmor Local School District, Morrow County, Ohio, General Obligation School Facilities

11/18 at 100.00

Aa2

2,518,638

Construction and Improvement Bonds, Series 2008, 5.000%, 11/01/36

500

Oak Hills Local School District, Hamilton County, Ohio, General Obligation Bonds, Refunding

12/15 at 100.00

AA

531,850

Series 2005, 5.000%, 12/01/24 – AGM Insured

3,000

Ohio State, General Obligation Bonds, Highway Capital Improvement, Series 2014R, 5.000%,

5/24 at 100.00

Explanation of Responses:

22

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| | | |
|---|-----------------------|-----------|
| | AAA | 3,569,550 |
| 5/01/29 (WI/DD, Settling 6/11/14) | | 1,000 |
| Ohio State, General Obligation Highway Capital Improvement Bonds, Series 2012Q, 5.000%, 5/01/28 | 5/22 at 100.00 AAA | 1,168,550 |
| | | 500 |
| Olentangy Local School District, Delaware and Franklin Counties, Ohio, General Obligation | 6/18 at 100.00 AA+ | 554,565 |
| Bonds, Series 2008, 5.000%, 12/01/36 | | 5,000 |
| South Euclid, Ohio, General Obligation Bonds, Real Estate Acquisition and Urban Redevelopment, | 6/22 at 100.00 Aa2 | 5,430,450 |
| Series 2012, 5.000%, 6/01/42 | | 2,250 |
| South-Western City School District, Franklin and Pickaway Counties, Ohio, General Obligation | 6/22 at 100.00 AA | 2,499,548 |
| Bonds, School Facilities Construction & Improvement Series 2012, 5.000%, 12/01/36 | | 1,500 |
| Springboro Community City School District, Warren County, Ohio, General Obligation Bonds, | No Opt. Call AA | 1,851,825 |
| Refunding Series 2007, 5.250%, 12/01/32 | | 70 |
| Strongsville, Ohio, Limited Tax General Obligation Various Purpose Improvement Bonds, Series | No Opt. Call | |
| Explanation of Responses: | | 23 |

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| | |
|--|---|
| | Aaa 70,330 |
| 1996, 5.950%, 12/01/21 | |
| | 700 |
| Sylvania City School District, Lucas County, Ohio, General Obligation Bonds, School | |
| | 6/17 at 100.00 AA 767,130 |
| Improvement Series 1995, 5.250%, 12/01/36 – AGC Insured | |
| | 1,000 |
| Vandalia Butler City School District, Montgomery County, Ohio, General Obligation Bonds, | |
| | 6/19 at 100.00 Aa3 1,073,310 |
| School Improvement Series 2009, 5.125%, 12/01/37 | |
| | 88,085 |
| Total Tax Obligation/General | |
| | 92,726,278 |
| Tax Obligation/Limited – 25.4% (17.4% of Total Investments) | |
| | 125 |
| Cincinnati City School District, Ohio, Certificates of Participation, School Improvement | |
| | 12/16 at 100.00 AA 134,863 |
| Project, Series 2006, 5.000%, 12/15/32 – AGM Insured | |
| Cleveland, Ohio, Income Tax Revenue Bonds, Bridges & Roadways Improvements, Subordinate Lien | |
| Series 2013A-2: | |
| | 1,315 |
| 5.000%, 10/01/27 | |
| | 10/23 at 100.00 AA 1,524,821 1,520 |
| Explanation of Responses: | 24 |

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| | |
|--|---|
| 5.000%, 10/01/30 | 10/23 at 100.00 AA 1,724,151 1,600 |
| 5.000%, 10/01/31 | 10/23 at 100.00 AA 1,799,840 3,000 |
| Cleveland, Ohio, Income Tax Revenue Bonds, Public Facilities Improvements, Series 2014A-1, | 11/23 at 100.00 AA 3,320,160 |
| 5.000%, 11/15/38 | 500 |
| Columbus-Franklin County Finance Authority, Ohio, Development Revenue Bonds, Hubbard Avenue | 12/19 at 100.00 BBB 493,680 |
| Parking Facility Project, Series 2012A, 5.000%, 12/01/36 | 6,750 |
| Cuyahoga County, Ohio, Recovery Zone Facility Economic Development Revenue Bonds, Medical | 12/20 at 100.00 Aa2 7,474,883 |
| Mart- Convention Center Project, Series 2010F, 5.000%, 12/01/27 | 300 |
| Delaware County District Library, Delaware, Franklin, Marion, Morrow and Union Counties, Ohio, | 12/19 at 100.00 Aa2 323,826 |
| Library Fund Library Facilities Special Obligation Notes, Series 2009, 5.000%, 12/01/34 | 5,000 |
| Franklin County Convention Facilities Authority, Ohio, Excise Tax and Lease Revenue | 12/15 at 100.00 |
| Explanation of Responses: | 25 |

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| | |
|---|--|
| | Aaa 5,305,000 |
| Anticipation Bonds, Series 2005, 5.000%, 12/01/27 – AMBAC Insured | |
| | 1,775 |
| Government of Guam, Business Privilege Tax Bonds, Series 2011A, 5.125%, 1/01/42 | |
| | 1/22 at 100.00 A 1,855,425 1,055 |
| Government of Guam, Business Privilege Tax Bonds, Series 2012B-1, 5.000%, 1/01/42 | |
| | 1/22 at 100.00 A 1,094,383 |
| Greater Cleveland Regional Transit Authority, Ohio, Sales Tax Supported Capital Improvement Bonds, Refunding Series 2012: | |
| | 1,010 |
| 5.250%, 12/01/27 | |
| | 12/21 at 100.00 AAA 1,183,043 1,090 |
| 5.250%, 12/01/28 | |
| | 12/21 at 100.00 AAA 1,264,455 760 |
| 5.250%, 12/01/30 | |
| | 12/21 at 100.00 AAA 870,002 600 |
| 5.000%, 12/01/31 | |
| | No Opt. Call AAA 666,672 |
| Hamilton County Convention Facilities Authority, Ohio, First Lien Revenue Bonds, Series 2004: | |
| | 1,085 |

Explanation of Responses:

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| | |
|--|---|
| 5.000%, 12/01/18 – FGIC Insured | 6/14 at 100.00 AA– 1,088,928 1,415 |
| 5.000%, 12/01/21 – FGIC Insured | 6/14 at 100.00 AA– 1,420,023 7,250 |
| Hamilton County, Ohio, Sales Tax Bonds, Subordinate Lien, Series 2006A, 5.000%, 12/01/32 – | 12/16 at 100.00 A+ 7,788,603 |
| AMBAC Insured | 5,565 |
| Hamilton County, Ohio, Sales Tax Bonds, Subordinate Series 2000B, 0.000%, 12/01/28 – | No Opt. Call AA 3,142,277 |
| AGM Insured | 5,000 |
| Hamilton County, Ohio, Sales Tax Revenue Bonds, Refunding Series 2011A, 5.000%, 12/01/31 | 12/21 at 100.00 A+ 5,427,550 1,750 |
| Hudson City School District, Ohio, Certificates of Participation, Series 2012, 4.000%, 6/01/34 – | 6/22 at 100.00 Aa3 1,776,740 |
| NPFG Insured | 20,700 |
| JobsOhio Beverage System, Ohio, Statewide Liquor Profits Revenue Bonds, Senior Lien Series | 1/23 at 100.00 AA 22,386,424 |
| 2013A, 5.000%, 1/01/38 (Mandatory put 1/01/23) | |

Explanation of Responses:

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| | |
|--|-----------------|
| | 1,000 |
| New Albany Community Authority, Ohio, Community Facilities Revenue Refunding Bonds, Series | |
| | 10/22 at 100.00 |
| | A1 |
| | 1,142,140 |
| 2012C, 5.000%, 10/01/24 | |
| Riversouth Authority, Ohio, Riversouth Area Redevelopment Bonds, Refunding Series 2012A: | |
| | 1,645 |
| 5.000%, 12/01/23 | |
| | 12/22 at 100.00 |
| | AA+ |
| | 1,912,395 |
| | 1,200 |
| 5.000%, 12/01/24 | |
| | 12/22 at 100.00 |
| | AA+ |
| | 1,385,016 |
| Vermilion Local School District, East and Lorain Counties, Ohio, Certificates of | |
| Participation, Series 2012: | |
| | 765 |
| 5.000%, 12/01/24 | |
| | No Opt. Call |
| | Aa3 |
| | 871,939 |
| | 805 |
| 5.000%, 12/01/25 | |
| | 12/20 at 100.00 |
| | Aa3 |
| | 910,294 |
| | 74,580 |
| Total Tax Obligation/Limited | |
| | 78,287,533 |
| Transportation – 6.3% (4.3% of Total Investments) | |
| Cleveland, Ohio, Airport System Revenue Bonds, Series 2012A: | |

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| | |
|---|--|
| | 2,150 |
| 5.000%, 1/01/30 | 1/22 at 100.00 A- 2,347,456 1,500 |
| 5.000%, 1/01/31 – AGM Insured | 1/22 at 100.00 AA 1,639,845 3,475 |
| Dayton, Ohio, Airport Revenue Bonds, James M. Cox International Airport, Series 2003C, 5.250%, 12/01/23 – RAAI Insured (Alternative Minimum Tax) | No Opt. Call A- 3,481,464 3,550 |
| Ohio Turnpike Commission, Revenue Refunding Bonds, Series 1998A, 5.500%, 2/15/18 – FGIC Insured | No Opt. Call AA 4,152,045 2,050 |
| Ohio Turnpike Commission, Turnpike Revenue Bonds, Infrastructure Project, Junior Lien Series 2013A-1, 5.250%, 2/15/39 | 2/23 at 100.00 A+ 2,296,759 |
| Ohio Turnpike Commission, Turnpike Revenue Bonds, Infrastructure Projects, Junior Lien Series 2013A-2: | 11,250 |
| 0.000%, 2/15/38 | No Opt. Call A+ 3,818,363 5,000 |

Explanation of Responses:

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| | |
|---|---|
| 0.000%, 2/15/40 | No Opt. Call A+ 1,526,000 28,975 |
| Total Transportation | 19,261,932 |
| U.S. Guaranteed – 13.9% (9.5% of Total Investments) (5) | 3,000 |
| Centerville City School District, Montgomery County, Ohio, General Obligation Bonds, Series | 6/15 at 100.00 Aa1 (5) 3,144,090 |
| 2005, 5.000%, 12/01/30 (Pre-refunded 6/01/15) – AGM Insured | |
| Central Ohio Solid Waste Authority, General Obligation Bonds, Refunding & Improvements, Series 2012: | 110 |
| 5.000%, 12/01/26 (Pre-refunded 6/01/22) | 6/22 at 100.00 N/R (5) 135,253 245 |
| 5.000%, 12/01/28 (Pre-refunded 6/01/22) | 6/22 at 100.00 N/R (5) 301,245 160 |
| 5.000%, 12/01/29 (Pre-refunded 6/01/22) | 6/22 at 100.00 N/R (5) 196,731 605 |
| Columbus City School District, Franklin County, Ohio, General Obligation Bonds, Series 2004, | 12/14 at 100.00 AA (5) 621,347 |
| 5.500%, 12/01/15 (Pre-refunded 12/01/14) – AGM Insured | |
| Explanation of Responses: | 30 |

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| | |
|--|--|
| | 2,300 |
| Cuyahoga County, Ohio, General Obligation Bonds, Series 2004, 5.000%, 12/01/21 | 12/14 at 100.00 Aa1 (5) 2,356,350 |
| (Pre-refunded 12/01/14) | |
| | 1,000 |
| Dayton, Ohio, Airport Revenue Bonds, James M. Cox International Airport, Series 2005B, 5.000%, | No Opt. Call A- (5) 1,024,500 |
| 12/01/14 – SYNCORA GTY Insured (ETM) | |
| | 1,195 |
| Fairview Park City School District, Cuyahoga County, Ohio, General Obligation Bonds, Series | 6/15 at 100.00 Aa2 (5) 1,253,017 |
| 2005, 5.000%, 12/01/24 (Pre-refunded 6/01/15) – NPFG Insured | |
| | 2,620 |
| Green, Ohio, General Obligation Bonds, Series 2008, 5.500%, 12/01/32 (Pre-refunded 12/01/15) | 12/15 at 100.00 AA+ (5) 2,824,727 1,850 |
| Hilliard City School District, Franklin County, Ohio, General Obligation Bonds, School | 12/15 at 100.00 Aa1 (5) 1,982,442 |
| Construction, Series 2005, 5.000%, 12/01/26 (Pre-refunded 12/01/15) – NPFG Insured | |
| | 3,000 |
| Hilliard City School District, Franklin County, Ohio, General Obligation Bonds, Series 2006A, | 12/16 at 100.00 Aa1 (5) 3,346,230 |
| 5.000%, 12/01/25 (Pre-refunded 12/01/16) – NPFG Insured | |

Explanation of Responses:

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| | |
|--|-----------------|
| | 1,000 |
| Marysville Exempted Village School District, Ohio, Certificates of Participation, School | |
| | 6/15 at 100.00 |
| | N/R (5) |
| | 1,050,530 |
| Facilities Project, Series 2005, 5.250%, 12/01/21 (Pre-refunded 6/01/15) – NPMG Insured | |
| | 1,885 |
| Marysville Exempted Village School District, Union County, Ohio, General Obligation Bonds, | |
| | 12/15 at 100.00 |
| | AA (5) |
| | 2,020,550 |
| Series 2006, 5.000%, 12/01/25 (Pre-refunded 12/01/15) – AGM Insured | |
| | 1,000 |
| Mason City School District, Warren and Butler Counties, Ohio, General Obligation Bonds, Series | |
| | 6/17 at 100.00 |
| | Aa1 (5) |
| | 1,131,490 |
| 2007, 5.000%, 12/01/31 (Pre-refunded 6/01/17) | |
| | 1,920 |
| Montgomery County, Ohio, Revenue Bonds, Miami Valley Hospital, Series 2009A, 6.250%, | |
| | 11/14 at 100.00 |
| | Aa3 (5) |
| | 1,972,685 |
| 11/15/39 (Pre-refunded 11/15/14) | |
| | 2,680 |
| Newark City School District, Licking County, Ohio, General Obligation Bonds, Series 2005, | |
| | 12/15 at 100.00 |
| | AA– (5) |
| | 2,872,719 |
| 5.000%, 12/01/28 (Pre-refunded 12/01/15) – NPMG Insured | |
| Ohio Higher Educational Facilities Commission, Revenue Bonds, Denison University, Series 2004: | |
| | 480 |
| 5.000%, 11/01/21 (Pre-refunded 11/01/14) | |
| Explanation of Responses: | 32 |

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| | |
|---|---|
| | 11/14 at 100.00 Aa3 (5) 489,797 935 |
| 5.000%, 11/01/21 (Pre-refunded 11/01/14) | 11/14 at 100.00 AA (5) 954,083 1,320 |
| Ohio Higher Educational Facilities Commission, Revenue Bonds, University of Dayton, Series | 12/14 at 100.00 A (5) 1,352,274 |
| 2004, 5.000%, 12/01/25 (Pre-refunded 12/01/14) – AMBAC Insured | 1,595 |
| Ohio State Building Authority, State Facilities Bonds, Administrative Building Fund Projects, | 4/15 at 100.00 AA (5) 1,659,342 |
| Series 2005A, 5.000%, 4/01/25 (Pre-refunded 4/01/15) – AGM Insured | 2,000 |
| Ohio State Building Authority, State Facilities Bonds, Adult Correctional Building Fund | 4/15 at 100.00 AA (5) 2,080,680 |
| Project, Series 2005A, 5.000%, 4/01/23 (Pre-refunded 4/01/15) – AGM Insured | 3,850 |
| Ohio State Higher Educational Facilities Commission, Hospital Revenue Bonds, University | 1/15 at 100.00 A (5) 4,009,275 |
| Hospitals Health System, Series 2009, 6.750%, 1/15/39 (Pre-refunded 1/15/15) | 1,220 |
| Ohio Water Development Authority, Revenue Bonds, Drinking Water Assistance Fund, State | 6/18 at 100.00 AAA 1,418,567 |
| Explanation of Responses: | 33 |

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Match, Series 2008, 5.000%, 6/01/28 (Pre-refunded 6/01/18) – AGM Insured

Ohio Water Development Authority, Water Pollution Control Loan Fund Revenue Bonds, Water
Quality Project, Series 2005B:

1,225

5.000%, 6/01/25 (Pre-refunded 6/01/15)

6/15 at 100.00
AAA
1,284,474
275

5.000%, 6/01/25 (Pre-refunded 6/01/15)

6/15 at 100.00
AAA
288,351
1,510

Painesville City School District, Ohio, General Obligation Bonds, Series 2004, 5.000%,

12/14 at 100.00
AA– (5)
1,546,920

12/01/22 (Pre-refunded 12/01/14) – FGIC Insured

1,345

Troy City School District, Miami County, Ohio, General Obligation Bonds, Series 2005, 5.000%,

12/14 at 100.00
Aa2 (5)
1,377,953

12/01/28 (Pre-refunded 12/01/14) – AGM Insured

40,325

Total U.S. Guaranteed

42,695,622

Utilities – 4.9% (3.3% of Total Investments)

American Municipal Power Ohio Inc., General Revenue Bonds, Prairie State Energy Campus
Project Series 2008A:

50

Explanation of Responses:

34

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| | |
|--|---|
| 5.000%, 2/15/38 – AGC Insured | 2/18 at 100.00 AA 54,045 5,000 |
| 5.250%, 2/15/43 | 2/18 at 100.00 A1 5,510,950 |
| Cleveland, Ohio, Public Power System Revenue Bonds, Series 2008B: | |
| | 2,000 |
| 0.000%, 11/15/28 – NPFG Insured | No Opt. Call AA– 1,118,540 6,895 |
| 0.000%, 11/15/32 – NPFG Insured | No Opt. Call AA– 2,978,226 2,155 |
| 0.000%, 11/15/34 – NPFG Insured | No Opt. Call AA– 836,420 1,500 |
| Ohio Air Quality Development Authority, Air Quality Revenue Refunding Bonds, Columbus Southern | 12/19 at 100.00 Baa1 1,636,275 |
| Power Company Project, Series 2009B, 5.800%, 12/01/38 (Mandatory put 12/01/19) | 2,025 |
| Ohio Air Quality Development Authority, Ohio, Revenue Bonds, Ohio Valley Electric Corporation | No Opt. Call BBB– 2,296,411 |
| Project, Series 2009E, 5.625%, 10/01/19 | 950 |
| Explanation of Responses: | 35 |

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| | |
|---|--|
| Ohio Municipal Electric Generation Agency, Beneficial Interest Certificates, Belleville | No Opt. Call AA- 536,722 |
| Hydroelectric Project – Joint Venture 5, Series 2001, 0.000%, 2/15/29 – NPFG Insured | 20,575 |
| Total Utilities | 14,967,589 |
| Water and Sewer – 11.5% (7.8% of Total Investments) | 8,150 |
| Cincinnati, Ohio, Water System Revenue Bonds, Series 2012A, 5.000%, 12/01/37 | 12/21 at 100.00 AAA 9,145,276 865 |
| City of Marysville, Ohio, Water System Mortgage Revenue Bonds, Series 2007, 5.000%, 12/01/32 – AMBAC Insured | 12/17 at 100.00 A1 953,083 |
| Cleveland, Ohio, Water Revenue Bonds, Second Lien Series 2012A: 5.000%, 1/01/25 | 2,500 1/22 at 100.00 Aa2 2,939,775 1,975 |
| 5.000%, 1/01/26 | 1/22 at 100.00 Aa2 2,307,531 2,035 |
| Cleveland, Ohio, Water Revenue Bonds, Senior Lien Series 2012X, 5.000%, 1/01/42 | 1/22 at 100.00 Aa1 2,240,332 1,020 |
| Explanation of Responses: | 36 |

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| | | |
|--|-----------------|-----------|
| Cleveland, Ohio, Waterworks First Mortgage Revenue Refunding and Improvement Bonds, Series | No Opt. Call | |
| | Aa1 | |
| | | 1,201,815 |
| 1993G, 5.500%, 1/01/21 – NPMG Insured | | |
| | | 1,220 |
| Hamilton, Ohio, Wastewater System Revenue Bonds, Series 2005, 5.250%, 10/01/22 – AGM Insured | 10/15 at 100.00 | |
| | A1 | |
| | | 1,295,164 |
| | | 2,025 |
| Ironton, Ohio, Sewer System Improvement Revenue Bonds, Series 2011, 5.250%, 12/01/40 – | 12/20 at 100.00 | |
| | A2 | |
| | | 2,195,809 |
| AGM Insured | | |
| | | 225 |
| Marysville, Ohio, Wastewater Treatment System Revenue Bonds, Series 2007, 5.000%, 12/01/37 – | 12/17 at 100.00 | |
| | A– | |
| | | 243,117 |
| SYNCORA GTY Insured | | |
| | | 1,670 |
| Marysville, Ohio, Wastewater Treatment System Revenue Bonds, Series 2006, 5.250%, 12/01/24 – | 12/16 at 100.00 | |
| | A– | |
| | | 1,853,116 |
| SYNCORA GTY Insured | | |
| | | 2,000 |
| Northeast Ohio Regional Sewer District, Wastewater Improvement Revenue Bonds, Series 2013, | 5/23 at 100.00 | |
| | AA+ | |
| | | 2,254,360 |
| 5.000%, 11/15/38 | | |
| | | 4,000 |
| Explanation of Responses: | | 37 |

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| | |
|---|---|
| Ohio Water Development Authority, Water Pollution Control Loan Fund Revenue Bonds, Series | No Opt. Call AAA 4,965,360 |
| 2014, 5.000%, 12/01/23 | |
| Toledo, Ohio, Sewerage System Revenue Bonds, Refunding Series 2013: | |
| | 820 |
| 5.000%, 11/15/25 | 11/23 at 100.00 Aa3 957,580 605 |
| 5.000%, 11/15/26 | 11/23 at 100.00 Aa3 699,416 1,075 |
| 5.000%, 11/15/27 | 11/23 at 100.00 Aa3 1,235,863 695 |
| 5.000%, 11/15/28 | 11/23 at 100.00 Aa3 794,865 30,880 |
| Total Water and Sewer | 35,282,462 \$ 441,825 |
| Total Long-Term Investments (cost \$421,349,125) | 450,553,666 |
| Variable Rate Demand Preferred Shares, at Liquidation Value – (48.1)% (6) | (148,000,000) |
| Other Assets Less Liabilities – 1.7% | |

Explanation of Responses:

5,306,216

Net Assets Applicable to Common Shares – 100%

\$ 307,859,882

Fair Value Measurements

Fair value is defined as the price that the Fund would receive upon selling an investment or transferring a liability in an orderly transaction to an independent buyer in the principal or most advantageous market for the investment. A three-tier hierarchy is used to maximize the use of observable market data and minimize the use of unobservable inputs and to establish classification of fair value measurements for disclosure purposes. Observable inputs reflect the assumptions market participants would use in pricing the asset or liability. Observable inputs are based on market data obtained from sources independent of the reporting entity. Unobservable inputs reflect the reporting entity's own assumptions about the assumptions market participants would use in pricing the asset or liability. Unobservable inputs are based on the best information available in the circumstances. The following is a summary of the three-tiered hierarchy of valuation input levels.

Level 1 – Inputs are unadjusted and prices are determined using quoted prices in active markets for identical securities.

Level 2 – Prices are determined using other significant observable inputs (including quoted prices for similar securities, interest rates, prepayment speeds, credit risk, etc.).

Level 3 – Prices are determined using significant unobservable inputs (including management's assumptions in determining the fair value of investments).

The inputs or methodologies used for valuing securities are not an indication of the risks associated with investing in those securities. The following is a summary of the Fund's fair value measurements as of the end of the reporting period:

| | Level 1 | Level 2 | Level 3 | Total |
|------------------------|---------|---------------|---------|---------------|
| Long-Term Investments: | | | | |
| Municipal Bonds | \$ — | \$450,553,666 | \$ — | \$450,553,666 |

Income Tax Information

The following information is presented on an income tax basis. Differences between amounts for financial statement and federal income tax purposes are primarily due to timing differences in recognizing taxable market discount, timing differences in recognizing certain gains and losses on investment transactions and the treatment of investments in inverse floating rate securities reflected as financing transactions, if any. To the extent that differences arise that are permanent in nature, such amounts are reclassified within the capital accounts on the Statement of Assets and Liabilities presented in the annual report, based on their federal tax basis treatment; temporary differences do not require reclassification. Temporary and permanent differences do not impact the net asset value of the Fund.

As of May 31, 2014, the cost of investments was \$420,885,330.

Gross unrealized appreciation and gross unrealized depreciation of investments as of May 31, 2014, were as follows:

| | |
|-------------------|--------------|
| Gross unrealized: | |
| Appreciation | \$31,606,811 |
| Depreciation | (1,938,475) |

Explanation of Responses:

Net unrealized appreciation (depreciation) of investments

\$29,668,336

- (1) All percentages shown in the Portfolio of Investments are based on net assets applicable to common shares unless otherwise noted.
 - (2) Optional Call Provisions: Dates (month and year) and prices of the earliest optional call or redemption. There may be other call provisions at varying prices at later dates. Certain mortgage-backed securities may be subject to periodic principal paydowns.
 - (3) Ratings: Using the highest of Standard & Poor's Group ("Standard & Poor's"), Moody's Investors Service, Inc. ("Moody's") or Fitch, Inc. ("Fitch") rating. Ratings below BBB by Standard & Poor's, Baa by Moody's or BBB by Fitch are considered to be below investment grade. Holdings designated N/R are not rated by any of these national rating agencies.
 - (4) Investment, or portion of investment, has been pledged to collateralize the net payment obligations for investments in inverse floating rate transactions.
Backed by an escrow or trust containing sufficient U.S. Government or U.S. Government agency securities,
 - (5) which ensure the timely payment of principal and interest. Certain bonds backed by U.S. Government or agency securities are regarded as having an implied rating equal to the rating of such securities.
Variable Rate Demand Preferred Shares, at Liquidation Value as a percentage of Total Investments is
 - (6) 32.8%.
 - (7) At or subsequent to the end of the reporting period, this security is non-income producing. Non-income producing, in the case of a fixed-income security, generally denotes that the issuer has (1) defaulted on the payment of principal or interest, (2) is under the protection of the Federal Bankruptcy Court or (3) the Fund's Adviser has concluded that the issue is not likely to meet its future interest payment obligations and has directed the Fund's custodian to cease accruing additional income on the Fund's records.
- WI/DD Investment, or portion of investment, purchased on a when-issued or delayed delivery basis.
- (ETM) Escrowed to maturity.
- (IF) Inverse floating rate investment.
-

Item 2. Controls and Procedures.

- a. The registrant's principal executive and principal financial officers, or persons performing similar functions, have concluded that the registrant's disclosure controls and procedures (as defined in Rule 30a-3(c) under the Investment Company Act of 1940, as amended (the "1940 Act") (17 CFR 270.30a-3(c))) are effective, as of a date within 90 days of the filing date of this report that includes the disclosure required by this paragraph, based on their evaluation of the controls and procedures required by Rule 30a-3(b) under the 1940 Act (17 CFR 270.30a-3(b)) and Rule 13a-15(b) or 15d-15(b) under the Securities Exchange Act of 1934 (17 CFR 240.13a-15(b) or 240.15d-15(b)).
- b. There were no changes in the registrant's internal control over financial reporting (as defined in Rule 30a-3(d) under the 1940 Act (17 CFR 270.30a-3(d))) that occurred during the registrant's last fiscal quarter that have materially affected, or are reasonably likely to materially affect, the registrant's internal control over financial reporting.

Item 3. Exhibits.

File as exhibits as part of this Form a separate certification for each principal executive officer and principal financial officer of the registrant as required by Rule 30a-2(a) under the 1940 Act (17 CFR 270.30a-2(a)), exactly as set forth below: See EX-99 CERT attached hereto.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934 and the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

(Registrant) Nuveen Ohio Quality Income Municipal Fund

By (Signature and Title) /s/ Kevin J. McCarthy
Kevin J. McCarthy
Vice President and Secretary

Date: July 30, 2014

Pursuant to the requirements of the Securities Exchange Act of 1934 and the Investment Company Act of 1940, this report has been signed below by the following persons on behalf of the registrant and in the capacities and on the dates indicated.

By (Signature and Title) /s/ Gifford R. Zimmerman
Gifford R. Zimmerman
Chief Administrative Officer (principal executive officer)

Date: July 30, 2014

By (Signature and Title) /s/ Stephen D. Foy
Stephen D. Foy
Vice President and Controller (principal financial officer)

Date: July 30, 2014