

CHAVEZ R. MARTIN
Form 4
February 09, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
CHAVEZ R. MARTIN

2. Issuer Name and Ticker or Trading Symbol
GOLDMAN SACHS GROUP INC
[GS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)

____ Director
____ Officer (give title below) _____ 10% Owner
____ Other (specify below)
Executive Vice President - CFO

C/O GOLDMAN SACHS & CO.
LLC, 200 WEST STREET

02/07-05:00/2018

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

NEW YORK, NY 10282

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$0.01 per share	02/07-05:00/2018		S ⁽¹⁾	1,575 D	\$ 257.4576 (2)	85,543	D
Common Stock, par value \$0.01 per share	02/07-05:00/2018		S ⁽¹⁾	1,800 D	\$ 258.3761 (3)	83,743	D
	02/07-05:00/2018		S ⁽¹⁾	3,958 D		79,785	D

Edgar Filing: CHAVEZ R. MARTIN - Form 4

Common Stock, par value \$0.01 per share					\$ 259.4384 (4)			
Common Stock, par value \$0.01 per share	02/07-05:00/2018	S(1)	2,656	D	\$ 260.4378 (5)	77,129	D	
Common Stock, par value \$0.01 per share	02/07-05:00/2018	S(1)	300	D	\$ 261.27 (6)	76,829	D	
Common Stock, par value \$0.01 per share	02/07-05:00/2018	S(1)	540	D	\$ 257.3872 (7)	1,000	I	See footnote (10)
Common Stock, par value \$0.01 per share	02/07-05:00/2018	S(1)	500	D	\$ 259.264 (8)	500	I	See footnote (10)
Common Stock, par value \$0.01 per share	02/07-05:00/2018	S(1)	500	D	\$ 260.432 (9)	0	I	See footnote (10)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu
--	--	--------------------------------------	--	--------------------------------	--	--	---	--	-------

Edgar Filing: CHAVEZ R. MARTIN - Form 4

Reflects a weighted average sale price of \$260.432 per share, at prices ranging from \$260.11 and \$260.75 per share. The Reporting Person will provide, upon request by the staff of the Securities and Exchange Commission, the Issuer, or a security holder of the Issuer, full information regarding the number of shares sold at each separate price.

- (10) Held through a trust, the sole trustee of which is the Reporting Person's spouse and the sole beneficiaries of which are immediate family members of the Reporting Person. The Reporting Person disclaims beneficial ownership of these shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.