

DUKES LAURA ALLISON  
Form 4  
April 30, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
DUKES LAURA ALLISON

(Last) (First) (Middle)  
303 PEACHTREE STREET, NE  
  
(Street)

ATLANTA, GA 30308

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
SUNTRUST BANKS INC [STI]

3. Date of Earliest Transaction  
(Month/Day/Year)  
04/26/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
Chief Financial Officer

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V Amount (D) Price  |   |  |                                   |
| Common Stock                    | 04/26/2019                           |  | G                              | V 399 D \$ 0  | 23,565.425  | D  |                                   |
| Common Stock                    | 04/29/2019                           |  | G                              | V 3,956 D \$ 0  | 19,609.425  | D  |                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |              |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title        | Amount or Number of Shares |
| Options <u>(2)</u>                         | \$ 27.41   |                                      |  |                                |   | 02/26/2016   | 02/26/2023  | Common Stock | 5,061                      |
| Phantom Stock Units <u>(1)</u>             | <u>(1)</u>   |                                      |  |                                |   | 02/14/2020   | 02/14/2020  | Common Stock | 1,482.504                  |
| Phantom Stock Units <u>(1)</u>             | <u>(1)</u>   |                                      |  |                                |   | 02/13/2020   | 02/13/2020  | Common Stock | 1,840.333                  |
| Phantom Stock Units <u>(1)</u>             | <u>(1)</u>   |                                      |  |                                |   | 02/13/2021   | 02/13/2021  | Common Stock | 1,840.333                  |
| Phantom Stock Units <u>(1)</u>             | <u>(1)</u>   |                                      |  |                                |   | 08/09/2019   | 08/09/2019  | Common Stock | 24,273.897                 |
| Phantom Stock Units <u>(3)</u>             | <u>(3)</u>   |                                      |  |                                |   | 02/08/2020   | <u>(3)</u>  | Common Stock | 10,689.6341                |
| Phantom Stock Units <u>(3)</u>             | <u>(3)</u>   |                                      |  |                                |   | 02/08/2021   | <u>(3)</u>  | Common Stock | 10,689.6341                |
| Phantom Stock Units <u>(3)</u>             | <u>(3)</u>   |                                      |  |                                |   | 02/08/2022   | <u>(3)</u>  | Common Stock | 10,689.6341                |

## Reporting Owners

| Reporting Owner Name / Address                  | Relationships |           |                         |       |
|---|---------------|-----------|-------------------------|-------|
|   | Director      | 10% Owner | Officer                 | Other |
| DUKES LAURA ALLISON<br>303 PEACHTREE STREET, NE |               |           | Chief Financial Officer |       |

ATLANTA, GA 30308

## Signatures

Curt Phillips, Attorney-in-Fact for L. Allison  
Dukes

04/30/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Represents time-vested restricted stock units granted pursuant to the SunTrust Banks, Inc. 2009 Stock Plan. The Plan is exempt under
- (1) Rule 16b-3. Units will be settled in shares of common stock. The award agreements contain tax withholding features that allow us to withhold units to satisfy tax withholding obligations.
  - (2) Granted pursuant to the SunTrust Banks, Inc. 2009 Stock Plan.
- Represents time-vested restricted stock units granted pursuant to the SunTrust Banks, Inc. 2018 Omnibus Incentive Compensation Plan.
- (3) The Plan is exempt under Rule 16b-3. Units will be settled in shares of common stock. The award agreements contain tax withholding features that allow us to withhold units to satisfy tax withholding obligations.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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