#### LIPKIN GERALD H

Form 4 May 29, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB

Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

Issuer

January 31, 2005

0.5

Estimated average burden hours per

response...

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Section 16.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

Symbol

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

LIPKIN GERALD H

1. Name and Address of Reporting Person \*

			VALLEY NATIONAL BANCORP [VLY]					(Check all applicable)			
(Last) (First) (Middle)  1455 VALLEY ROAD			(Month/Day/Year)				X Director 10% Owner Officer (give title below) Other (specify below)				
			Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
WAYNE, NJ 07470-							Ī	Form filed by More than One Reporting Person			
(City)	(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	Execution any		3. Transactic Code (Instr. 8)	4. Securities of Dispose (Instr. 3, 4)  Amount	d of (Ľ	))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock (Roth IRA)	05/25/2018			S	68,000	D	\$ 12.82	889	D		
Common Stock	05/25/2018			S	102,000	D	\$ 12.81	342,760	I	Wife	
Common Stock	05/25/2018			S	90,065	D	\$ 12.81	119,649	D		
Preferred Stock								2,000	I	Wife	
								2,750	I	Wife	

#### Edgar Filing: LIPKIN GERALD H - Form 4

Preferred Stock (Roth IRA with Spouse)			
Preferred Stock (Roth IRA)	1,200	D	
Common Stock (401k Plan)	58	D	
Common Stock	6,946	I	Spouse - Roth IRA
Common Stock (with Spouse)	154	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Tit	le and	8. Price of	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration Date (Month/Day/Year)		Amou	ınt of	Derivative	
Security	or Exercise		any	Code	of			Underlying		Security	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	
	Derivative				Securities				. 3 and 4)		
	Security	ecurity			Acquired						1
	-				(A) or						1
					Disposed						,
					of (D)						
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date Exercisable	Expiration Date	Title	or		
									Number		
									of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Relationships Reporting Owner Name / Address

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Director 10% Owner Officer Other

LIPKIN GERALD H 1455 VALLEY ROAD WAYNE, NJ 07470-

X

## **Signatures**

/s/ GERALD H. LIPKIN 05/29/2018

\*\*Signature of Reporting

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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