

# Edgar Filing: OBRIEN THOMAS H - Form 4

OBRIEN THOMAS H

Form 4

January 03, 2003

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person

Thomas H. O'Brien

The PNC Financial Services Group, Inc.

One PNC Plaza, 2nd Floor

249 Fifth Avenue

PA, Pittsburgh 15222-2707

2. Issuer Name and Ticker or Trading Symbol

The PNC Financial Services Group, Inc. (PNC)

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

1/2/2003

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

(X) Director ( ) 10% Owner ( ) Officer (give title below) ( ) Other

(specify below)

7. Individual or Joint/Group Filing (Check Applicable Line)

(X) Form filed by One Reporting Person

( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Transaction Code | 4. Securities Acquired (A) or Disposed of (D)<br>Amount | 5. Amount of Securities Beneficially Owned at End of Month |
|----------------------|---------------------|---------------------|---------------------------------------------------------|------------------------------------------------------------|
| \$5 Par Common Stock | 11/26/2002          | G                   | V 7216                                                  | D                                                          |
| \$5 Par Common Stock | 1/2/2003            | A                   | 115                                                     | A                                                          |
| \$5 Par Common Stock | 12/31/2002          | A                   | 250                                                     | A                                                          |
| \$5 Par Common Stock |                     |                     |                                                         |                                                            |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price | 3. Transaction Date | 4. Transaction Code | 5. Number of Derivative Securities Acquired | 6. Date Exercisable and Expiration Date | 7. Title and Amount of Underlying Securities | 8. Put or Call |
|---------------------------------|---------------------------------|---------------------|---------------------|---------------------------------------------|-----------------------------------------|----------------------------------------------|----------------|
|---------------------------------|---------------------------------|---------------------|---------------------|---------------------------------------------|-----------------------------------------|----------------------------------------------|----------------|

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|                              | Acquire    | Dis | Date (Month/Day/Year) | Expiration Date | Exercise Date | Title and Number of Shares |     |
|------------------------------|------------|-----|-----------------------|-----------------|---------------|----------------------------|-----|
|                              |            |     |                       |                 |               |                            |     |
| Phantom Stock Unit (1-for-1) |            |     |                       |                 |               | \$5 Par Common Stock       |     |
| Phantom Stock Unit (1-for-1) | 12/31/2002 | A   | V                     | 898             | A             | \$5 Par Common Stock       | 898 |

## Explanation of Responses:

1. Annual grant pursuant to Director Share Incentive Plan.
2. These shares were acquired during the 3rd and 4th quarter of 2002 pursuant to the PNC Incentive Savings Plan
3. The reporting person disclaims ownership of these securities and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purposes.
4. The reported phantom units were acquired under PNC's Supplemental Incentive Savings Plan during the 3d and 4th quarter of 2002 and will be settled in cash when the reporting person ceases to be a Plan participant