

Edgar Filing: CLAY ROBERT N - Form 4

CLAY ROBERT N  
 Form 4  
 January 03, 2003

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549  
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check this box if no longer subject to Section 16.  
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person  
 Robert N. Clay  
 President and Chief Executive Officer  
 Clay Holding Company  
 Three Chimneys Farm  
 KY, Versailles 40383
2. Issuer Name and Ticker or Trading Symbol  
 The PNC Financial Services Group, Inc. (PNC)
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Year  
 1/2/2003
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)  
 (X) Director ( ) 10% Owner ( ) Officer (give title below) ( ) Other  
 (specify below)
7. Individual or Joint/Group Filing (Check Applicable Line)  
 (X) Form filed by One Reporting Person  
 ( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Transaction Code | 4. Securities Acquired (A) or Disposed of (D)<br>Amount | 5. Amount of Securities Beneficially Owned at End of Month |
|----------------------|---------------------|---------------------|---|--|
| \$5 Par Common Stock | 10/24/2002          | J                   | V 209   |  |
| \$5 Par Common Stock | 1/2/2003            | A                   | 115   | 5736   |
| \$5 Par Common Stock |                     | 3                   |   | 3653   |
| \$5 Par Common Stock |                     | 4                   |   | 3652   |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price | 3. Transaction Date | 4. Transaction Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date (Month/Day) | 7. Title and Amount of Underlying Securities | 8. Percentage of Total Ownership |
|---------------------------------|---------------------------------|---------------------|---------------------|--|---|--|----------------------------------|
|---------------------------------|---------------------------------|---------------------|---------------------|--|---|--|----------------------------------|

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|                        | Price of | Deriva- | tive | Secu- | rity | Date  | Code | V | Amount | posed of (D) | Day/Year) | Date | Expir | ation | Title and Number | of Shares |  |
|------------------------|----------|---------|------|-------|------|-------|------|---|--------|--------------|-----------|------|-------|-------|------------------|-----------|--|
|                        |          |         |      |       |      |       |      |   |        |              |           |      |       |       |                  |           |  |
| Phantom Stock Unit (1- |          |         |      |       |      |       |      |   |        |              |           |      |       |       | \$5 Par Commo    |           |  |
| for-1)                 |          |         |      |       |      |       |      |   |        |              |           |      |       |       | n Stock          |           |  |
| Phantom Stock Unit (1- |          |         |      |       |      | 12/31 | A    |   | 450    | A            |           |      |       |       | \$5 Par Commo    | 450       |  |
| for-1)                 |          |         |      |       |      | / 200 | 5    |   |        |              |           |      |       |       | n Stock          |           |  |
|                        |          |         |      |       |      | 2     |      |   |        |              |           |      |       |       |                  |           |  |

Explanation of Responses:

1. Dividend Reinvestment Shares acquired.
2. Annual grant pursuant to Director Share Incentive Plan.
3. Subject assumed control of securities held by LLC upon father's death on 8/21/02. Subject disclaims ownership. This report shall not be deemed an admission that he owns such securities for purposes of Sec. 16 or any other purpose.
4. Subject first assumed investment control over the securities held by this limited liability company upon his father's death on August 21, 2002.
5. Phantom Stock Units issued pursuant to the PNC Deferred Compensation Plan.