

BALKIN TIMOTHY P
Form 4
December 07, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BALKIN TIMOTHY P

(Last) (First) (Middle)

SENECA ST AND JAMISON RD

(Street)

EAST AURORA, NY 14052

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
MOOG INC. [MOGA/MOGB]

3. Date of Earliest Transaction
(Month/Day/Year)
12/05/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Treasurer

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Class A Common | | | | | 36 | D | |
| Class B Common | 12/05/2018 | | A ⁽¹⁾ | | 241 | A ⁽¹⁾ | \$ 0 ⁽²⁾ |
| Class B Common ⁽³⁾ | | | | | 1,717 | I | 401 (k) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|----------------------------|
| | | | | Code | V | (A) | (D) | | |
| | | | | | | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| SAR <u>(4)</u> | \$ 26.66 | | | | | <u>(5)</u> | 12/01/2019 | Class A Common | 900 |
| SAR <u>(4)</u> | \$ 36.86 | | | | | <u>(5)</u> | 11/30/2020 | Class A Common | 4,000 |
| SAR <u>(4)</u> | \$ 41.82 | | | | | <u>(5)</u> | 11/30/2021 | Class A Common | 4,000 |
| SAR <u>(4)</u> | \$ 36.41 | | | | | <u>(5)</u> | 11/27/2022 | Class A Common | 4,000 |
| SAR <u>(4)</u> | \$ 61.69 | | | | | <u>(5)</u> | 11/11/2023 | Class A Common | 2,000 |
| SAR <u>(4)</u> | \$ 74.38 | | | | | <u>(5)</u> | 11/11/2024 | Class A Common | 2,000 |
| SAR <u>(6)</u> | \$ 63.04 | | | | | <u>(5)</u> | 11/17/2025 | Class A Common | 667 |
| SAR <u>(6)</u> | \$ 65.9 | | | | | <u>(5)</u> | 11/17/2025 | Class B Common | 1,333 |
| SAR <u>(6)</u> | \$ 71.648 | | | | | <u>(5)</u> | 11/15/2026 | Class B Common | 2,000 |
| SAR <u>(6)</u> | \$ 82.31 | | | | | <u>(5)</u> | 11/14/2027 | Class B Common | 1,611 |
| SAR <u>(6)</u> | \$ 80.19 | | | | | <u>(5)</u> | 11/13/2028 | Class B Common | 1,741 |

Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

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Director 10% Owner Officer Other

BALKIN TIMOTHY P
SENECA ST AND JAMISON RD
EAST AURORA, NY 14052

Treasurer

Signatures

Timothy P.
Balkin

12/07/2018

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Awarded under the 2014 Moog Inc. Long Term Incentive Plan.
 - (2) Stock bonus.
 - (3) Reflects shares held in Moog Inc. Retirement Savings Plan as of the most recent statement to participants.
 - (4) Stock Appreciation Right (SAR) granted under the 2008 Appreciation Rights Plan.
 - (5) SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.
 - (6) Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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