

ENTERGY CORP /DE/
Form 3
November 04, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|---|---|---|--|---|---|
| <p>1. Name and Address of Reporting Person *</p> <p>MITCHELL TIMOTHY G</p> <p>(Last) (First) (Middle)</p> <p>C/O ENTERGY CORPORATION LEGAL DEPARTMENT, 639 LOYOLA AVENUE, 26TH FLOOR</p> <p>(Street)</p> <p>NEW ORLEANS, LA 70113</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>10/30/2015</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>ENTERGY CORP /DE/ [ETR]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input type="checkbox"/> Officer <input checked="" type="checkbox"/> Other (give title below) (specify below) Acting Chief Nuclear Officer</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|---|---|---|--|---|---|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 9,544 | D | ^ |
| Common Stock | 2,560 | I | By 401(k) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|--|--------------------|--|----------------------------------|--|--|--|
| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | |
| Stock Option (Right to Buy) | Â (1) | 01/25/2017 | Common Stock | 6,100 | \$ 91.82 | D | Â |
| Stock Option (Right to Buy) | Â (1) | 01/24/2018 | Common Stock | 8,000 | \$ 108.2 | D | Â |
| Stock Option (Right to Buy) | Â (1) | 01/29/2019 | Common Stock | 6,000 | \$ 77.53 | D | Â |
| Stock Option (Right to Buy) | Â (1) | 01/28/2020 | Common Stock | 14,000 | \$ 77.1 | D | Â |
| Stock Option (Right to Buy) | Â (1) | 01/27/2021 | Common Stock | 8,000 | \$ 72.79 | D | Â |
| Stock Option (Right to Buy) | Â (1) | 01/26/2022 | Common Stock | 10,000 | \$ 71.3 | D | Â |
| Stock Option (Right to Buy) | Â (2) | 01/31/2023 | Common Stock | 11,000 | \$ 64.6 | D | Â |
| Stock Option (Right to Buy) | Â (3) | 01/30/2024 | Common Stock | 11,800 | \$ 63.17 | D | Â |
| Stock Option (Right to Buy) | Â (4) | 01/29/2025 | Common Stock | 12,000 | \$ 89.9 | D | Â |
| Restricted Stock Units | 01/02/2016 | 01/02/2016 | Common Stock | 8,000 (5) | \$ 0 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|---------------------------------|
| | Director | 10% Owner | Officer | Other |
| MITCHELL TIMOTHY G C/O ENTERGY CORPORATION LEGAL DEPARTMENT 639 LOYOLA AVENUE, 26TH FLOOR NEW ORLEANS, LA 70113 | Â | Â | Â | Acting Chief Nuclear Officer |

Signatures

/s/ Daniel T. Falstad by power of attorney
11/04/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person may exercise the options at any time.
- (2) 7,333 of the options may be exercised by the reporting person at any time. The remaining 3,667 options will become exercisable on January 31, 2016.
- (3) 3,933 of the options may be exercised by the reporting person at any time. The remaining 7,867 options will vest in two equal installments on January 30, 2016 and January 30, 2017.
- (4) The options will become exercisable in three equal annual installments on January 29, 2016, 2017 and 2018.
- (5) Each restricted stock unit represents a contingent right to receive for each vested unit the cash equivalent of a share of Entergy common stock.

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Remarks:

ExhibitÂ ListÂ -Â ExhibitÂ 24Â -Â PowerÂ ofÂ Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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