**KOSS CORP** Form 4 November 06, 2014

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* KOSS MICHAEL J

(Middle)

(7:-

**4129 NORTH PORT** 

**WASHINGTON AVENUE** 

(Street)

(Ctata)

(First)

2. Issuer Name and Ticker or Trading Symbol

KOSS CORP [KOSS]

3. Date of Earliest Transaction (Month/Day/Year) 11/06/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_X\_\_ 10% Owner Director X\_ Officer (give title \_\_ Other (specify below)

President and CEO

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### MILWAUKEE, WI 53212

(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction(A) or Code (Instr. 3)		(A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	11/06/2014		Code V P	Amount 3,000	(D)	Price \$ 1.799	156,122	I	Trust for Children	
Common Stock	11/06/2014		P	96	A	\$ 1.64	156,218	I	Trust for Children	
Common Stock	11/06/2014		P	200	A	\$ 1.65	156,418	I	Trust for Children	
Common Stock	11/06/2014		P	704	A	\$ 1.65	157,122	I	Trust for Children	
Common Stock	11/06/2014		P	500	A	\$ 1.57	157,622	I	Trust for Children	

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Common Stock	11/06/2014	P	500	A	\$ 1.59	158,122	I	Trust for Children
Common Stock						779,246	D	
Common Stock						129,139	I	ESOP
Common Stock						58,461	I	By 401(k)
Common Stock						857,949	Ι	By self as co-trustee of Nancy Koss Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Title	e and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration Da	ite	Amou	nt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/Y	Year)	Under	lying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)
	Derivative				Securities			(Instr.	3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									A	
									Amount	
						Date	Expiration		or	
						Exercisable Date	Date		Number	
				C 1 W	(A) (D)				of	
				Code V	(A) (D)				Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
- coporting of the state of the state of	Director	10% Owner	Officer	Other			
KOSS MICHAEL J 4129 NORTH PORT WASHINGTON AVENUE MILWAUKEE, WI 53212		X	President and CEO				

2 Reporting Owners

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## **Signatures**

Michael J. Koss 11/06/2014

\*\*Signature of
Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3