

LeClaire Brian P.  
Form 4  
May 08, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
LeClaire Brian P.

(Last) (First) (Middle)  
HUMANA INC., 500 WEST MAIN STREET  
(Street)

LOUISVILLE, KY 40202

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
HUMANA INC [HUM]

3. Date of Earliest Transaction (Month/Day/Year)  
05/06/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
SVP & Chief Service & Info Off

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(D)	Price	
Humana Common	05/06/2013		M		23,706	A	\$ 45.975 28,280	D
Humana Common	05/06/2013		M		5,818	A	\$ 41.83 34,098	D
Humana Common	05/06/2013		F		5,245	D	\$ 76.285 28,853	D
Humana Common	05/06/2013		S		19,000	D	\$ 76.1171 9,853	D
Humana Common								132 I

See Footnote (1)

Edgar Filing: LeClaire Brian P. - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
				Code	V (A) (D)	Date Exercisable Expiration Date	Title	
Options <sup>(2)</sup>	\$ 45.975	05/06/2013		M	23,706	<sup>(3)</sup> 04/01/2015	Humana Common	23,706
Options <sup>(2)</sup>	\$ 41.83	05/06/2013		M	5,818	<sup>(4)</sup> 02/19/2016	Humana Common	5,818
Options <sup>(2)</sup>	\$ 72.84					<sup>(5)</sup> 02/20/2020	Humana Common	6,760
Restricted Stock Units <sup>(6)</sup>	<sup>(8)</sup>					<sup>(9)</sup> <sup>(9)</sup>	Humana Common	6,048
Restricted Stock Units <sup>(6)</sup>	<sup>(8)</sup>					<sup>(10)</sup> <sup>(10)</sup>	Humana Common	5,475
Restricted Stock Units <sup>(6)</sup>	<sup>(8)</sup>					<sup>(11)</sup> <sup>(11)</sup>	Humana Common	6,768
Restricted Stock Units <sup>(7)</sup>	<sup>(8)</sup>					<sup>(12)</sup> <sup>(12)</sup>	Humana Common	2,231
Phantom Stock Units	<sup>(13)</sup>					<sup>(13)</sup> <sup>(13)</sup>	Humana Common	61

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Reporting Owners

