Edgar Filing: GENERAL AMERICAN INVESTORS CO INC - Form 4

GENERAL AMERICAN INVESTORS CO INC Form 4 November 04, 2013 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to **SECURITIES** Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading TRUST FBO ALTSCHUL EMILY Issuer Symbol H UW ALTSCHUL HG GENERAL AMERICAN (Check all applicable) **INVESTORS CO INC [GAM]** (Last) (First) (Middle) 3. Date of Earliest Transaction X_ Director Officer (give title (Month/Day/Year) below) C/O OVERBROOK 11/01/2013 MANAGEMENT CORP, 122 EAST **42ND STREET SUITE 2500** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting NEW YORK, NY 10168-2500 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired (A) 5. Amount of 1.Title of 2. Transaction Date 2A. Deemed 3. Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Code (Instr. 3, 4 and 5) anv (Month/Day/Year) (Instr. 8) Owned (A)

Indirect Ownership (Instr. 3) Beneficially Form: Beneficial Direct (D) Ownership or Indirect Following (Instr. 4) Reported (\mathbf{I}) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Common \$ 11/01/2013 S 15,480 D 2,500D 35.3605 Stock 5.95% Preferred D 2,000 Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

OMB APPROVAL

10% Owner _____X___Other (specify

7. Nature of

below)

Chairman

6.

3235-0287

January 31,

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OMB

Number:

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day/	ate	Amor Unde Secur	le and unt of rlying rities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Repo	rting O	wners									
	Reporting Owner Name / Address				Relationships						
			Ι	Director 1	0% Owner	Officer O	Other				
TRUST FBO ALTSCHUL EMILY H UW ALTSCHUL C/O OVERBROOK MANAGEMENT CORP 122 EAST 42ND STREET SUITE 2500 NEW YORK, NY 10168-2500			, HG	Х		С	hairm	ian			
Signa	turos										

Signatures

/s/ Spencer Davidson, Trustee/ Trust FBO Emily H. Altschul U/W H. G. Altschul	11/04/2013		
**Signature of Reporting Person	Date		
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Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.