

Fetterolf Brian S
 Form 3
 August 04, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL
 OMB Number: 3235-0104
 Expires: January 31, 2005
 Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * Fetterolf Brian S (Last) (First) (Middle) 301 GRANT STREET, SUITE 2700 (Street) PITTSBURGH, PA 15219 (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) 07/18/2017	3. Issuer Name and Ticker or Trading Symbol TriState Capital Holdings, Inc. [TSC]	4. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) President, Bank Subsidiary	5. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person
---	--	--	---	--	---

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	85,090	D	^
Common Stock	32,425	D ⁽¹⁾	^
Common Stock	13,334	I	Trust for Donald L. Fetterolf ⁽²⁾
Common Stock	10,750	I	Crosshair Ventures, L.P. ⁽³⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: Fetterolf Brian S - Form 3

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)		
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Stock Options (Right to Buy)	01/27/2012 ⁽⁴⁾	07/27/2019	Common Stock	7,500	\$ 12	D	Â
Stock Options (Right to Buy)	07/01/2013 ⁽⁵⁾	01/01/2021	Common Stock	5,000	\$ 8	D	Â
Stock Options (Right to Buy)	06/30/2014 ⁽⁶⁾	12/31/2021	Common Stock	5,000	\$ 9.32	D	Â
Stock Options (Right to Buy)	06/30/2015 ⁽⁷⁾	12/31/2022	Common Stock	5,000	\$ 10.25	D	Â
Stock Options (Right to Buy)	07/02/2016 ⁽⁸⁾	01/02/2024	Common Stock	10,000	\$ 11.66	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Fetterolf Brian S 301 GRANT STREET SUITE 2700 PITTSBURGH, PA 15219	Â X	Â	Â President, Bank Subsidiary	Â

Signatures

/s/ Keevican Weiss Bauerle & Hirsch LLC by David J. Hirsch, Attorney-in-Fact 08/04/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Held jointly with reporting person's spouse
- (2) The reporting person is one of two trustees and a beneficiary of the Trust
- (3) The reporting person is a limited partner of Crosshair Ventures, L.P. and the President of and has an interest in its general partner.
- (4) 3,750 of these options vested and became exercisable on 1/27/2012, and the remainder vested and became exercisable on 7/27/2014.
- (5) 2,500 of these options vested and became exercisable on 7/1/2013, and the remainder vested and became exercisable on 1/1/2016.
- (6) 2,500 of these options vested and became exercisable on 6/30/2014, and the remainder vested and became exercisable on 12/31/2016.
- (7) 2,500 of these options vested and became exercisable on 6/30/2015, and the remainder will vest and become exercisable on 12/31/2017.
- (8) 5,000 of these options vested and became exercisable on 7/2/2016, and the remainder will vest and become exercisable on 1/2/2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Edgar Filing: Fetterolf Brian S - Form 3

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.