

Fetterolf Brian S
 Form 3
 August 04, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|---|---|--|--|---|--|
| <p>1. Name and Address of Reporting Person *</p> <p>Â Fetterolf Brian S</p> <p>(Last) (First) (Middle)</p> <p>301 GRANT STREET,Â SUITE 2700</p> <p>(Street)</p> <p>PITTSBURGH,Â PAÂ 15219</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>07/18/2017</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>TriState Capital Holdings, Inc. [TSC]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) President, Bank Subsidiary</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|---|---|--|--|---|--|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 85,090 | D | Â |
| Common Stock | 32,425 | D ⁽¹⁾ | Â |
| Common Stock | 13,334 | I | Trust for Donald L. Fetterolf ⁽²⁾ |
| Common Stock | 10,750 | I | Crosshair Ventures, L.P. ⁽³⁾ |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) | | |
|--|--|---|--|---|---|---|---|
| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | |
| Stock Options (Right to Buy) | 01/27/2012 ⁽⁴⁾ | 07/27/2019 | Common Stock | 7,500 | \$ 12 | D | Â |
| Stock Options (Right to Buy) | 07/01/2013 ⁽⁵⁾ | 01/01/2021 | Common Stock | 5,000 | \$ 8 | D | Â |
| Stock Options (Right to Buy) | 06/30/2014 ⁽⁶⁾ | 12/31/2021 | Common Stock | 5,000 | \$ 9.32 | D | Â |
| Stock Options (Right to Buy) | 06/30/2015 ⁽⁷⁾ | 12/31/2022 | Common Stock | 5,000 | \$ 10.25 | D | Â |
| Stock Options (Right to Buy) | 07/02/2016 ⁽⁸⁾ | 01/02/2024 | Common Stock | 10,000 | \$ 11.66 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Fetterolf Brian S 301 GRANT STREET SUITE 2700 PITTSBURGH, PA 15219 | Â X | Â | Â President, Bank Subsidiary | Â |

Signatures

/s/ Keevican Weiss Bauerle & Hirsch LLC by David J. Hirsch, Attorney-in-Fact 08/04/2017

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Held jointly with reporting person's spouse
- (2) The reporting person is one of two trustees and a beneficiary of the Trust
- (3) The reporting person is a limited partner of Crosshair Ventures, L.P. and the President of and has an interest in its general partner.
- (4) 3,750 of these options vested and became exercisable on 1/27/2012, and the remainder vested and became exercisable on 7/27/2014.
- (5) 2,500 of these options vested and became exercisable on 7/1/2013, and the remainder vested and became exercisable on 1/1/2016.
- (6) 2,500 of these options vested and became exercisable on 6/30/2014, and the remainder vested and became exercisable on 12/31/2016.
- (7) 2,500 of these options vested and became exercisable on 6/30/2015, and the remainder will vest and become exercisable on 12/31/2017.
- (8) 5,000 of these options vested and became exercisable on 7/2/2016, and the remainder will vest and become exercisable on 1/2/2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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