Gulati Rajeev Form 4 November 21, 2017

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

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Estimated average

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Gulati Rajeev Issuer Symbol DATA I/O CORP [DAIO] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner \_ Other (specify Officer (give title 6645 185TH AVE NE SUITE 100 11/17/2017 below) Vice President CTO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

### REDMOND, WA 98052

(City)	(State) (Z	Table Table	I - Non-De	erivative S	ecuriti	ies Acquire	ed, Disposed of, o	or Beneficially	Owned
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed 3. 4. Securities Acqu (Month/Day/Year) Execution Date, if any Code (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8)  (A) or				(D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
COMMON STOCK	11/17/2017		Code V M	Amount 15,000	(D)	Price \$ 2.09	89,365 (1)	D	
COMMON STOCK	11/17/2017		F	7,441	D	\$ 10.955	81,924	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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### Edgar Filing: Gulati Rajeev - Form 4

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	 4. Transactic Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		Underlying Se	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
			Code V	(A) (E		Date Exercisable	Expiration Date	Title	Amo or Num of Sl	

# **Reporting Owners**

\$ 2.09

Reporting Owner Name / Address Relationships

11/17/2017

Director 10% Owner Officer Other

M

COMMON

**STOCK** 

15,

15,000 07/26/2017 07/26/2019

Gulati Rajeev

**COMMON** 

**STOCK** 

6645 185TH AVE NE SUITE 100 Vice President CTO

REDMOND, WA 98052

## **Signatures**

/s/JOEL S HATLEN, POA

\*\*Signature of Reporting Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) OPTION EXERCISE 11-17-17 AND WITHHOLDING OF SHARES TO COVER TAXES AND EXERCISE PRICE ON A CASHLESS EXERCISE

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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