Edgar Filing: EAGLE CAPITAL GROWTH FUND, INC. - Form 5

EAGLE CAPITAL GROWTH FUND, INC.

Form 5

February 14, 2017

FORM	15							OMB AF	PPROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB Number:	3235-0362		
Check this no longer s	ashington, D	shington, D.C. 20549					January 31, 2005				
to Section 16. Form 4 or Form ANNUAL STATEME				ENT OF CHANGES IN BENEFICIAL RSHIP OF SECURITIES				Estimated a burden hou response	verage		
See Instruction 1(b). Form 3 Hore Reported Form 4 Transaction Reported	Filed purs sldings Section 17(a			g Compa	ıny Ao	ct of 1	935 or Section	n			
Tyler Donald G Symbol EAGLE			1				5. Relationship of Reporting Person(s) to Issuer				
			GLE CAPITAL GROWTH ND, INC. [GRF]				(Check all applicable)				
			ement for Issuer's Fiscal Year Ended h/Day/Year) /2016			_	X Director 10% Owner Officer (give title below) Other (specify below)				
225 E. MAS 802	ON STREET SU	ITE									
			mendment, Date Ionth/Day/Year)	endment, Date Original nth/Day/Year)			6. Individual or Joint/Group Reporting (check applicable line)				
MILWAUK	EE, WI 53202					_	X_Form Filed by Merson	One Reporting Po			
(City)	(State)	Zip) Ta	ble I - Non-Der	ivative Sec	urities	Acqui	red, Disposed of	f, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, i any (Month/Day/Yea	Code	4. Securit (A) or Di (D) (Instr. 3,	sposed 4 and 5 (A) or	of	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common Stock	12/30/2016	Â	P4	1,300	A	\$ 7.32	4,100	D	Â		
	ort on a separate line tricially owned directly		contained i	n this for	m are	not re	lection of infor quired to resp lid OMB contro	ond unless	SEC 2270 (9-02)		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: EAGLE CAPITAL GROWTH FUND, INC. - Form 5

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)		4. Transaction Code	5. Number of	6. Date Exerc Expiration Do (Month/Day/	ate	7. Title Amou Under	nt of	8. Price of Derivative Security	
(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	e	. va.,	Securi	, ,	(Instr. 5)	
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

of D

Is Fi

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Tyler Donald G 225 E. MASON STREET SUITE 802 MILWAUKEE, WI 53202	ÂX	Â	Â	Â		

Signatures

Carrie Leahy, Attorney-in-Fact by Power of Attorney

02/14/2017

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2