

FUNKE JAMES H
Form 3/A
April 13, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Â FUNKE JAMES H		(Month/Day/Year)	TETRA TECHNOLOGIES INC [TTI]	
(Last)	(First)	(Middle)	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
				05/05/2017
24955 INTERSTATE 45 N			(Check all applicable)	6. Individual or Joint/Group Filing(Check Applicable Line)
(Street)			<input type="checkbox"/> Director <input type="checkbox"/> 10% Owner	<input checked="" type="checkbox"/> Form filed by One Reporting Person
			<input type="checkbox"/> Officer <input type="checkbox"/> Other	<input type="checkbox"/> Form filed by More than One Reporting Person
THE WOODLANDS,Â TXÂ 77380			(give title below) (specify below)	
(City)	(State)	(Zip)	VP	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
---------------------------------	---	--	---

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date			
		Title	Amount or Number of Shares		

Edgar Filing: FUNKE JAMES H - Form 3/A

Stock Options (Right to buy) ⁽¹⁾	Â ⁽²⁾	05/20/2018	Common Stock	35,000	\$ 21.1	D	Â
Stock Options (Right to buy) ⁽¹⁾	Â ⁽³⁾	05/20/2021	Common Stock	9,231	\$ 13	D	Â
Stock Options (Right to buy) ⁽¹⁾	Â ⁽⁴⁾	05/20/2024	Common Stock	9,317	\$ 11.16	D	Â
Stock Options (Right to buy) ⁽¹⁾	Â ⁽⁵⁾	05/20/2023	Common Stock	9,711	\$ 10.3	D	Â
Stock Options (Right to buy) ⁽¹⁾	Â ⁽⁶⁾	05/20/2020	Common Stock	9,800	\$ 10.2	D	Â
Stock Options (Right to buy) ⁽¹⁾	Â ⁽⁷⁾	05/04/2025	Common Stock	23,820	\$ 7.15	D	Â
Stock Options (Right to buy) ⁽¹⁾	Â ⁽⁸⁾	05/02/2026	Common Stock	24,057	\$ 7.14	D	Â
Stock Options (Right to buy) ⁽¹⁾	Â ⁽⁹⁾	05/20/2022	Common Stock	13,175	\$ 6.81	D	Â
Stock Options (Right to buy) ⁽¹⁾	Â ⁽¹⁰⁾	02/22/2027	Common Stock	44,118	\$ 4.51	D	Â
Stock Options (Right to buy) ⁽¹⁾	Â ⁽¹¹⁾	02/12/2019	Common Stock	35,000	\$ 3.78	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
FUNKE JAMES H 24955 INTERSTATE 45 N THE WOODLANDS, TX 77380	Â	Â	Â VP	Â

Signatures

Kimberly M. O'Brien, attorney
in fact

04/13/2018

 **Signature of Reporting Person

 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This amendment is being filed to correct the Derivative holdings of the reporting person. These holdings were inadvertently omitted from the original Form 3, filed May 5, 2017.
- This stock option award was granted pursuant to the TETRA Technologies, Inc. Second Amended and Restated 2011 Long Term Incentive Compensation Plan. One-third of the award vested on February 22, 2018, and the remaining stock options will vest in monthly installments thereafter until February 22, 2020.
- (10) This stock option award was granted pursuant to the TETRA Technologies, Inc. Amended and Restated 2007 Equity Incentive Compensation Plan. Thirty-three percent (33%) of the award vested on February 12, 2010, and the remaining stock options vested in

Edgar Filing: FUNKE JAMES H - Form 3/A

monthly installments thereafter until February 12, 2012.

- (2) This stock option award was granted pursuant to the TETRA Technologies, Inc. Amended and Restated 2007 Equity Incentive Compensation Plan. Twenty percent (20%) of the award vested on May 20, 2009, and the remaining stock options vested in monthly installments thereafter until May 20, 2013.
- (3) This stock option award was granted pursuant to the TETRA Technologies, Inc. 2011 Long Term Incentive Compensation Plan. One-third of the award vested on May 20, 2012, and the remaining stock options vested in monthly installments thereafter until May 20, 2014.
- (4) This stock option award was granted pursuant to the TETRA Technologies, Inc. Amended and Restated 2011 Long Term Incentive Compensation Plan. One-third of the award vested on May 20, 2015, and the remaining stock options vested in monthly installments thereafter until May 20, 2017.
- (5) This stock option award was granted pursuant to the TETRA Technologies, Inc. 2011 Amended and Restated Long Term Incentive Compensation Plan. One-third of the award vested on May 20, 2014, and the remaining stock options vested in monthly installments thereafter until May 20, 2016.
- (6) This stock option award was granted pursuant to the TETRA Technologies, Inc. 2007 Long Term Incentive Compensation Plan. One-third of the award vested on May 20, 2011, and the remaining stock options vested in monthly installments thereafter until May 20, 2013.
- (7) This stock option award was granted pursuant to the TETRA Technologies, Inc. Second Amended and Restated 2011 Long Term Incentive Compensation Plan. One-third of the award vested on May 4, 2016, and the remaining stock options will vest in monthly installments thereafter until May 4, 2018.
- (8) This stock option award was granted pursuant to the TETRA Technologies, Inc. Amended and Restated 2007 Long Term Incentive Compensation Plan. One-third of the award vested on May 2, 2017, and the remaining stock options will vest in monthly installments thereafter until May 2, 2019.
- (9) This stock option award was granted pursuant to the TETRA Technologies, Inc. 2011 Long Term Incentive Compensation Plan. One-third of the award vested on May 20, 2013, and the remaining stock options vested in monthly installments thereafter until May 20, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.