

LORES ENRIQUE  
Form 4  
January 19, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
LORES ENRIQUE

(Last) (First) (Middle)  
1501 PAGE MILL RD  
(Street)

PALO ALTO, CA 94304

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
HP INC [HPQ]

3. Date of Earliest Transaction  
(Month/Day/Year)  
01/17/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_\_ Other (specify below)  
President, PSS

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	01/17/2018		M		13,262 A \$ 6.4	D	
Common Stock	01/17/2018		M		222 A \$ 12.47	D	
Common Stock	01/17/2018		M		20,000 A \$ 12.49	D	
Common Stock	01/17/2018		M		20,000 A \$ 17.29	D	
Common Stock	01/17/2018		S		53,484 D \$ 22.49	D	

Edgar Filing: LORES ENRIQUE - Form 4

Common Stock	01/18/2018	M	35,382	A	\$ 6.4	111,021	D
Common Stock	01/18/2018	M	156,754	A	\$ 12.47	267,775	D
Common Stock	01/18/2018	M	7,024	A	\$ 12.49	274,799	D
Common Stock	01/18/2018	M	12,429	A	\$ 17.29	287,228	D
Common Stock	01/18/2018	S	249,409 <u>(1)</u>	D	\$ 23.49	37,819	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title
Employee Stock Option (right to buy)	\$ 6.4	01/17/2018		M	13,262 <u>(2)</u> <u>(3)</u>	09/17/2015 <sup>(4)</sup> 12/05/2020 <sup>(5)</sup>	Common Stock
Employee Stock Option (right to buy)	\$ 12.47	01/17/2018		M	222 <sup>(2)</sup> <u>(6)</u>	10/30/2017 <sup>(4)</sup> 10/29/2023 <sup>(5)</sup>	Common Stock
Employee Stock Option (right to buy)	\$ 12.49	01/17/2018		M	20,000 <u>(2)</u> <u>(7)</u>	12/11/2016 <sup>(4)</sup> 12/10/2021 <sup>(5)</sup>	Common Stock
Employee Stock	\$ 17.29	01/17/2018		M	20,000 <u>(2)</u> <u>(8)</u>	12/10/2017 <sup>(4)</sup> 12/09/2022 <sup>(5)</sup>	Common Stock

Option (right to buy)								
Employee Stock Option (right to buy)	\$ 6.4	01/18/2018	M	35,382 <u>(2)</u>	09/17/2015 <sup>(4)</sup>	12/05/2020 <sup>(5)</sup>	Common Stock	
Employee Stock Option (right to buy)	\$ 12.47	01/18/2018	M	156,754 <u>(6)</u>	10/30/2017 <sup>(4)</sup>	10/29/2023 <sup>(5)</sup>	Common Stock	1
Employee Stock Option (right to buy)	\$ 12.49	01/18/2018	M	7,024 <sup>(2)</sup> <u>(7)</u>	12/11/2016 <sup>(4)</sup>	12/10/2021 <sup>(5)</sup>	Common Stock	7
Employee Stock Option (right to buy)	\$ 17.29	01/18/2018	M	12,429 <u>(2)</u>	12/10/2017 <sup>(4)</sup>	12/09/2022 <sup>(5)</sup>	Common Stock	

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LORES ENRIQUE 1501 PAGE MILL RD PALO ALTO, CA 94304			President, PSS	

## Signatures

/s/ Katie Colendich as Attorney-in-Fact for Enrique  
Lores  
 \*\*Signature of Reporting Person  
 Date  
 01/19/2018

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effectuated pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on 12/27/2017.
  - (2) The share amounts reported reflect the conversion of the awards upon the distribution of the Hewlett Packard Enterprise shares on November 1, 2015.
  - (3) On December 6, 2012, the reporting person was granted options to purchase a total of 281,867 shares. The stock options vest 1/3 annually on each of the anniversaries of the grant date.

## Edgar Filing: LORES ENRIQUE - Form 4

- (4) This option became exercisable beginning on this date.
- (5) This option is no longer exercisable following this date.

(6) On October 30, 2015, the reporting person was granted performance contingent options to purchase a total of 470,928 shares. The stock options vest 1/3 annually on each of the anniversaries of the grant date, in each case subject to the satisfaction of certain stock price performance conditions being met within five years following the grant date.

(7) On December 11, 2013, the reporting person was granted options to purchase a total of 81,075 shares. The stock options vest 1/3 annually on each of the anniversaries of the grant date.

(8) On December 10, 2014, the reporting person was granted options to purchase a total of 64,859 shares. The stock options vest 1/3 annually on each of the anniversaries of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.