#### Edgar Filing: Thomas Peter T - Form 4

Form 4												
November <b>FORM</b>	ЛЛ		<b>CECE</b>								APPROVAL	
	UNITED	STATES				AND EX n, D.C. 2			OMMISSION	OMB Number:	3235-0287	
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). Statement OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								Expires: January 31 2005 Estimated average burden hours per response 0.5 n				
(Print or Type	e Responses)											
Thomas Peter T Syn						nd Ticker o	or Trac	ling	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
	(First) COCORPORATIOND BOULEVAR		3. Date (Month) 11/15/	/Day/Ye		Transaction	1		Director Difficer (give t below)	10	% Owner her (specify	
MAYFIEL	(Street) LD HEIGHTS, OF	H 44124	4. If An Filed(M			Date Origin ear)	al		6. Individual or Jo Applicable Line) _X_ Form filed by O Form filed by M Person	one Reporting F	Person	
(City)	(State)	(Zip)	Ta	ble I - N	lon	-Derivativ	e Secu	irities Acqu	uired, Disposed of	, or Beneficia	ally Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution I any (Month/Day	Date, if	Code (Instr.	8)	4. Securit our Dispos (Instr. 3, 4 Amount	ed of (		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	11/15/2017			М		25,000	А	\$ 17.26	374,158	D		
Common Stock	11/15/2017			S		22,182	D	\$ 22.8295 (1)	351,976	D		
Common Stock									1,174.2753	I	Investment Savings Plan	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	etionDe Se S) Ac or (D (In	Number of 6. Date Exercisabl erivative Expiration Date ecurities (Month/Day/Year) cquired (A) Disposed of D) nstr. 3, 4, nd 5)			7. Title and Amo Underlying Secu (Instr. 3 and 4)	
				Code	V (A	.) (D)	Date Exercisable	Expiration Date	Title	An Nu Sh
Stock Option (Right to Buy)	\$ 17.26	11/15/2017		М		25,000	02/28/2009(2)	02/28/2018	Common Stock	
Performance Share Unit	\$ 0						(3)	12/31/2017	Common Stock	
Performance Share Unit	\$ 0						(3)	12/31/2018	Common Stock	
Performance Share Unit	\$ 0						(3)	12/31/2019	Common Stock	
Phantom Shares	<u>(4)</u>						(4)	<u>(4)</u>	Common Stock	10
Restricted Share Unit	\$ 0						02/23/2016(5)	02/23/2016	Common Stock	
Restricted Share Unit	\$ 0						04/24/2016(5)	04/24/2016	Common Stock	
Restricted Share Unit	\$ 0						02/20/2017(5)	02/20/2017	Common Stock	
Restricted Share Unit	\$ 0						12/29/2017	12/29/2017	Common Stock	
Restricted Share Unit	\$ 0						02/18/2018(5)	02/18/2018	Common Stock	
Restricted Share Unit	\$ 0						02/17/2019(5)	02/17/2019	Common Stock	
Restricted Share Unit	\$ 0						02/15/2020(5)	02/15/2020	Common Stock	
Stock Options (Right to	\$ 15.16						02/24/2012	02/24/2021	Common Stock	

Buy)				
Stock Options (Right to Buy)	\$ 14.27	02/15/2018	02/15/2027	Common Stock
Stock Options (Right to Buy)	\$ 13.09	02/20/2015	02/20/2024	Common Stock
Stock Options (Right to Buy)	\$ 12.33	02/18/2016	02/18/2025	Common Stock
Stock Options (Right to Buy)	\$ 9.6	02/17/2017	02/17/2026	Common Stock
Stock Options (Right to Buy)	\$ 8.25	02/25/2011	02/25/2020	Common Stock
Stock Options (Right to Buy)	\$ 7.02	04/24/2014	04/24/2023	Common Stock
Stock Options (Right to Buy)	\$ 6.84	02/23/2013	02/23/2022	Common Stock
Stock Options (Right to Buy)	\$ 5.29	02/21/2014	02/21/2023	Common Stock
Stock Options (Right to Buy)	\$ 1.37	02/25/2010	02/25/2019	Common Stock

# **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
Thomas Peter T			Chairman, President and CEO				
C/O FERRO CORPORATION							

#### 6060 PARKLAND BOULEVARD MAYFIELD HEIGHTS, OH 44124

### Signatures

/s/ Richard Shuttie, Treasurer, by Power of Attorney

11/16/2017

<u>\*\*</u>Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Price reported constitutes the average weighted price of shares sold. Shares were sold at varying prices in the range of \$22.76-\$22.87. The
  (1) reporting person hereby undertakes, upon request of the Commission, the issuer or a security holder of the issuer, to provide full information regarding the number of shares sold at each separate price.
- (2) Vests 6,250 share(s) on 28-Feb-2009, 6,250 share(s) on 28-Feb-2010, 6,250 share(s) on 28-Feb-2011, 457 share(s) on 28-Feb-2012
- Performance Share Units granted as a performance award, vesting based upon degree of acheivement of performance goal. At the end of (3) the performance period, 50% of award is paid in common shares free of restrictions, and 50% is paid in cash. If the final amount is less than 100% of the share units, the balance is forfeited to the company.
- (4) Represent phantom shares awarded under the Company's Supplemental Defined Contribution Plan for Executive Employees.
- (5) The date reflected above as the Date Exercisable is the scheduled vesting date of the Restricted Share Units. Once vested, settlement of the Restricted Share Units and delivery of common shares is subject to an additional two-year holding period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.