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INTERNATIONAL BUSINESS MACHINES CORP

Form 4

August 03, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

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response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * KELLY JOHN E III

2. Issuer Name and Ticker or Trading

Symbol

INTERNATIONAL BUSINESS

5. Relationship of Reporting Person(s) to

Issuer

MACHINES CORP [IBM]

Director 10% Owner

Senior Vice President

(Check all applicable)

Officer (give title below)

Other (specify

IBM CORPORATION, P.O. BOX

(First)

(Middle)

218

4. If Amendment, Date Original

3. Date of Earliest Transaction

Filed(Month/Day/Year)

(Month/Day/Year)

08/02/2016

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

YORKTOWN HEIGHTS, NY 10598

(Street)

| (City) | (State) | (Zip) Tal | ble I - Non | -Derivati | ve Sec | urities Acquii | red, Disposed of, | or Beneficiall | y Owned |
|--------------------------------------|---|---|--|-------------------------|-------------------------------|----------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactic Code (Instr. 8) | omr Dispo (Instr. 3, | esed of 4 and (A) or | ` ' | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 08/02/2016 | | M | 6,698 | A | \$ 102.8 | 33,576.4351 | D | |
| Common Stock | 08/02/2016 | | S | 1,312 | D | \$ 160.88 | 32,264.4351 | D | |
| Common Stock | 08/02/2016 | | S | 3,718 | D | \$ 160.8879 | 28,546.4351 | D | |
| Common Stock | 08/02/2016 | | S | 419 | D | \$ 160.89 | 28,127.4351 | D | |
| Common Stock | 08/02/2016 | | S | 1,249 | D | \$ 160.91 | 26,878.4351 | D | |

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| Common Stock | 65 | I (1) | son |
|-----------------|-----------|-------|---------|
| Common Stock | 24,168.76 | I (1) | trust 1 |
| Common Stock | 13,000 | I (1) | trust 2 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number coof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisab Expiration Date (Month/Day/Year | | 7. Title and A Underlying S (Instr. 3 and | Securities |
|---|---|--------------------------------------|---|---|---|---|--------------------|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Emp. Stock Option (right to buy) | \$ 102.8 | 08/02/2016 | | M | 6,698 | 05/08/2010(2) | 05/07/2017 | Common Stock | 6,698 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|----------------------------------|---------------|-----------|-----------------------|-------|--|--|--|
| Reporting Owner France, Francess | Director | 10% Owner | Officer | Other | | | |
| KELLY JOHN E III | | | | | | | |
| IBM CORPORATION | | | Canian Vias Dussidant | | | | |
| P.O. BOX 218 | | | Senior Vice President | | | | |
| YORKTOWN HEIGHTS, NY 10598 | | | | | | | |

Signatures

A. Gomes da Silva on behalf of J. E. Kelly III 08/03/2016

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**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person disclaims beneficial ownership of the securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.
- (2) This grant vested in one installment on the date shown above.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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