INTERPUBLIC GROUP OF COMPANIES, INC.

Form 4 April 29, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* **ROTH MICHAEL ISOR** 

2. Issuer Name and Ticker or Trading Symbol

Issuer

5. Relationship of Reporting Person(s) to

below)

(Check all applicable)

Chairman & CEO

(Last) (First) (Middle)

COMPANIES, INC. [IPG] 3. Date of Earliest Transaction

INTERPUBLIC GROUP OF

Director X\_ Officer (give title

10% Owner Other (specify

THE INTERPUBLIC GROUP OF COMPANIES, INC., 909 THIRD

(Street)

**AVE** 

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

(Month/Day/Year)

04/27/2016

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

NEW YORK, NY 10022

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, o							y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired (A) Transactiomr Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or			<b>)</b> )	Securities Beneficially Owned Following Reported  Georgia	Ownership Ind Form: Ber Direct (D) Ow	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	04/27/2016		Code V M	Amount 250,000 (1)	(D)	Price \$ 8.655	(Instr. 3 and 4) 865,986 (2)	D	
Common Stock	04/27/2016		S	250,000 (1)	D	\$ 23.293 (3)	615,986 (2)	D	
Common Stock	04/28/2016		M	250,000 (1)	A	\$ 8.655	865,986 (2)	D	
Common Stock	04/28/2016		S	250,000 (1)	D	\$ 23.211	615,986 (2)	D	

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(4)

Common Stock 500,000 (5) I TRUST

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Disposed of (D) (Instr. 3, 4, and		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	5) (A)	(D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Stock Options	\$ 8.655	04/27/2016		M		250,000	06/15/2010	06/15/2016	Common Stock	250,000
Stock Options	\$ 8.655	04/28/2016		M		250,000	06/15/2010	06/15/2016	Common Stock	250,000

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

ROTH MICHAEL ISOR THE INTERPUBLIC GROUP OF COMPANIES, INC. 909 THIRD AVE

Chairman & CEO

NEW YORK, NY 10022

## **Signatures**

/s/Robert Dobson POA for Michael
I. Roth

04/29/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Involves the exercise of 500,000 options which were set to expire on June 15, 2016 and subsequent sale of underlying shares. The options were exercised in 2 increments consisting of 250,000 on 4/27/2016 and 250,000 on 4/28/2016.

Reporting Owners 2

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- (2) Includes restricted shares which are subjected to forfeiture under certain circumstances.
- (3) Reflects average price of multiple sales ranging from \$23.12-\$23.44. Mr. Roth undertakes to provide upon request by the Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
  - Reflects average price of multiple sales on April 28, 2016 ranging from \$23.00-\$23.42. Mr. Roth undertakes to provide upon request by
- (4) the Commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (5) Shares are held in the Michael I Roth 2015 GRAT.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.