

MainStay DefinedTerm Municipal Opportunities Fund  
 Form 4  
 May 09, 2016

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**LOFFREDO JOHN M**

2. Issuer Name and Ticker or Trading Symbol  
**MainStay DefinedTerm Municipal Opportunities Fund [MMD]**

5. Relationship of Reporting Person(s) to Issuer  
 (Check all applicable)

(Last) (First) (Middle)  
 1345 AVENUE OF THE AMERICAS  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
 05/05/2016

\_\_\_\_ Director  
 \_\_\_\_ Officer (give title below)  10% Owner  
 Other (specify below)  
 Portfolio Manager

NEW YORK, NY 10105

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				(A) or (D)	Price			
				Code	V	Amount		
Shares of beneficial interest	05/05/2016		S	1	D	\$ 20.21	0	D
Shares of beneficial interest	05/05/2016		S	200	D	\$ 20.24	0	D
Shares of beneficial interest	05/05/2016		S	100	D	\$ 20.2	0	D
Shares of	05/05/2016		S	700	D	\$	0	D

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beneficial interest						20.19		
Shares of beneficial interest	05/05/2016	S	200	D	\$ 20.18	0		D
Shares of beneficial interest	05/05/2016	S	1,400	D	\$ 20.11	0		D
Shares of beneficial interest	05/05/2016	S	2,497	D	\$ 20.15	0		D
Shares of beneficial interest	05/05/2016	S	1,241	D	\$ 20.14	0		D
Shares of beneficial interest	05/05/2016	S	802	D	\$ 20.17	0		D
Shares of beneficial interest	05/05/2016	S	1,943	D	\$ 20.16	0		D
Shares of beneficial interest	05/05/2016	S	1,500	D	\$ 20.13	0		D
Shares of beneficial interest	05/05/2016	S	300	D	\$ 20.12	0		D
Shares of beneficial interest	05/06/2016	S	54	D	\$ 20.12	0		D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans
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of (D)  
(Instr. 3,  
4, and 5)

(Instr

Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
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## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director   10% Owner   Officer   Other

LOFFREDO JOHN M  
1345 AVENUE OF THE AMERICAS  
NEW YORK, NY 10105

Portfolio Manager

## Signatures

/s/Thomas C. Humbert,  
Attorney-in-Fact

05/09/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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