

Trolaro Kevin Michael
 Form 3
 August 31, 2017

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
 Expires: January 31, 2005
 Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|---|--|---|--|--|---|
| 1. Name and Address of Reporting Person * Â Trolaro Kevin Michael (Last) (First) (Middle) C/O ASCENA RETAIL GROUP, INC., Â 933 MACARTHUR BOULEVARD (Street) MAHWAH, Â NJ Â 07430 (City) (State) (Zip) | 2. Date of Event Requiring Statement (Month/Day/Year) 08/25/2017 | 3. Issuer Name and Ticker or Trading Symbol Ascena Retail Group, Inc. [ASNA] | 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) Int. Principal Acct. Officer | 5. If Amendment, Date Original Filed(Month/Day/Year) | 6. Individual or Joint/Group Filing(Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person |
|---|--|---|--|--|---|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common | 5,469 | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative | 5. Ownership Form of Derivative Security: | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|---|---|--|
|---|---|--|---|---|--|

Edgar Filing: Trolaro Kevin Michael - Form 3

| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | Security | Direct (D) or Indirect (I) (Instr. 5) | |
|--|------------------|------------------|--------|----------------------------|-------------------|---------------------------------------|---|
| Restricted Stock Units ⁽¹⁾ Â ⁽²⁾ | | Â ⁽²⁾ | Common | 169 | \$ ⁽³⁾ | D | Â |
| Restricted Stock Units ⁽¹⁾ Â ⁽⁴⁾ | | Â ⁽⁴⁾ | Common | 335 | \$ ⁽³⁾ | D | Â |
| Restricted Stock Units ⁽¹⁾ Â ⁽⁵⁾ | | Â ⁽⁵⁾ | Common | 836 | \$ ⁽³⁾ | D | Â |
| Restricted Stock Units ⁽⁶⁾ Â ⁽⁷⁾ | | Â ⁽⁷⁾ | Common | 2,414 | \$ ⁽³⁾ | D | Â |
| Option To Buy ⁽¹⁾ | 06/19/2014 | 06/19/2020 | Common | 2,500 | \$ 17.67 | D | Â |
| Option To Buy ⁽¹⁾ | 09/25/2014 | 09/25/2020 | Common | 2,000 | \$ 19.91 | D | Â |
| Option To Buy ⁽¹⁾ | 09/23/2015 | 09/23/2021 | Common | 2,000 | \$ 14.12 | D | Â |
| Option To Buy ⁽¹⁾ | 09/29/2016 | 09/29/2022 | Common | 3,702 | \$ 13.48 | D | Â |
| Option To Buy ⁽⁶⁾ | 09/21/2017 | 09/21/2023 | Common | 7,396 | \$ 5.56 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|--------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Trolaro Kevin Michael C/O ASCENA RETAIL GROUP, INC. 933 MACARTHUR BOULEVARD MAHWAH, NJ 07430 | Â | Â | Â Int. Principal Acct. Officer | Â |

Signatures

Mary Beth Riley, Power of Attorney 08/31/2017

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Granted under the Company's 2010 Incentive Stock Plan.
- (2) On September 25, 2013, the reporting person was granted 667 restricted stock units, vesting in four annual installments beginning on the first anniversary of the grant date.
- (3) Restricted stock units convert into common stock on a one-for-one basis.
- (4) On September 23, 2014, the reporting person was granted 667 restricted stock units, vesting in four annual installments beginning on the first anniversary of the grant date.
- (5) On September 29, 2015, the reporting person was granted 1,253 restricted stock units, vesting in three annual installments beginning on the first anniversary of the grant date.
- (6) Granted under the Company's 2016 Omnibus Incentive Plan.
- (7) On September 21, 2016, the reporting person was granted 2,414 restricted stock units, vesting in three annual installments beginning on the first anniversary of the grant date.

^

Remarks:

EXHIBIT LIST: ^ ^ EX-24: ^ Power ^ of ^ Attorney ^ Kevin ^ Trolaro

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.