

FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND

Form NSAR-B

January 23, 2015

PAGE 1
000 B000000 11/30/2014
000 C000000 1392994
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
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001 B000000 811-22039
001 C000000 6307658000
002 A000000 120 E. Liberty Drive, Suite 400
002 B000000 Wheaton
002 C000000 IL
002 D010000 60187
003 000000 N
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008 A000001 FIRST TRUST ADVISORS L.P.
008 B000001 A
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008 D010001 Wheaton
008 D020001 IL
008 D030001 60187
008 A000002 CONFLUENCE INVESTMENT MANAGEMENT LLC
008 B000002 S
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008 D010002 Saint Louis
008 D020002 MO
008 D030002 63119
010 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.
010 B000001 84-1761
010 C010001 WILMINGTON
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011 A000001 A.G. EDWARDS & SONS INC.
011 B000001 8-013580
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PAGE 2
013 B010001 CHICAGO
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013 B040001 4301
015 A000001 THE BANK OF NEW YORK MELLON
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PAGE 8

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SIGNATURE JAMES M. DYKAS
TITLE TREASURER