

FIRST TRUST SPECIALTY FINANCE & FINANCIAL OPPORTUNITIES FUND

Form NSAR-B/A

March 18, 2014

PAGE 1
000 B000000 11/30/2013
000 C000000 1392994
000 D000000 N
000 E000000 NF
000 F000000 Y
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000 I000000 6.1
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001 B000000 811-22039
001 C000000 6307658000
002 A000000 120 E. Liberty Drive, Suite 400
002 B000000 Wheaton
002 C000000 IL
002 D010000 60187
003 000000 N
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008 A000001 FIRST TRUST ADVISORS L.P.
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008 D030001 60187
008 A000002 CONFLUENCE INVESTMENT MANAGEMENT LLC
008 B000002 S
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008 D030002 63119
010 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.
010 B000001 84-1761
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010 C020001 DE
010 C030001 19809
011 A000001 A.G. EDWARDS & SONS INC.
011 B000001 8-013580
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PAGE 2
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015 A000001 THE BANK OF NEW YORK MELLON
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SIGNATURE JAMES M. DYKAS

TITLE TREASURER