Mallinckrodt plc Form 4 January 05, 2016

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b).

(Middle)

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \* Phillips Gary M.

(Street)

(Ctata)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First)

Mallinckrodt plc [MNK] 3. Date of Earliest Transaction

Director 10% Owner

675 MCDONNELL BLVD.

(Month/Day/Year) 01/02/2016

\_X\_\_ Officer (give title \_ Other (specify below)

(Check all applicable)

Sr. VP, Chief Strategy Officer 6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

HAZELWOOD, MO 63042

| (City)                               | (State)                              | Tabl  | e I - Non-D                            | Perivative                       | Secui                        | rities Acq  | uired, Disposed o  | f, or Beneficial   | ly Owned  |
|--------------------------------------|--------------------------------------|---|--|----------------------------------|------------------------------|-------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securi on(A) or Di (Instr. 3, | ispose<br>4 and<br>(A)<br>or | d of (D)    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Ordinary<br>Shares                   | 01/02/2016                           |   | F                                      | 421                              | D                            | \$<br>74.63 | 7,307  | D  |   |
| Ordinary<br>Shares                   | 01/04/2016                           |   | A                                      | 4,270<br>(1)                     | A                            | \$ 0        | 11,577   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) |  |        | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount Underlying Securition (Instr. 3 and 4) |                              |
|---|---|--------------------------------------|--|--------|--|--|--------------------|--|------------------------------|
|   |   |                                      |  | Code V | (A) (D)  | Date Exercisable   | Expiration<br>Date | Title  | Amou<br>or<br>Numb<br>of Sha |
| Employee<br>Share<br>Options<br>(Right to<br>Buy)   | \$ 72.61  | 01/04/2016                           |  | A      | 27,905   | 01/04/2017(2)  | 01/04/2026         | Ordinary<br>Shares   | 27,90                        |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Phillips Gary M.

675 MCDONNELL BLVD. Sr. VP, Chief Strategy Officer

HAZELWOOD, MO 63042

## **Signatures**

/s/Kenneth L. Wagner, Attorney-in-Fact 01/05/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents restricted stock units that vest in four equal annual installments beginning January 4, 2017.
- (2) The options vest in four equal annual installments beginning January 4, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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