ServisFirst Bancshares, Inc.

Form 3/A

March 09, 2017

# FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

response...

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vasnington, D.C. 20549

Number: January 31, 2005

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement ServisFirst Bancshares, Inc. [SFBS]  **BARBER KENNETH LEE** (Month/Day/Year) 02/02/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 2678 HICKORY LEVEL RD. 02/10/2015 (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) Director 10% Owner \_X\_ Form filed by One Reporting \_X\_\_ Officer Other (give title below) (specify below) VILLA RICA, GAÂ 30180 Form filed by More than One EVP, Regional CEO Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security (Instr. 4) Beneficially Owned Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) ServisFirst Bancshares, Inc. common stock I 18,172 (1) By spouse Held by joint tenancy in which ServisFirst Bancshares, Inc. common stock  $1,038 \stackrel{(2)}{=}$ I spouse is member Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security | 4. Conversion or Exercise | 5.<br>Ownership<br>Form of | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |
|--|--|--|---------------------------|----------------------------|---|
|  |  | (Instr. 4)   | Price of                  | Derivative                 | (mstr. 5)   |

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Date Expiration Title Exercisable Date

Amount or Derivative Number of Security Shares Security: Direct (D) or Indirect (I)

(I) (Instr. 5)

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer

Â

Other

BARBER KENNETH LEE 2678 HICKORY LEVEL RD. VILLA RICA, GAÂ 30180

Â EVP, Regional CEO Â

# **Signatures**

/s/ Edison K. Woodie III, attorney-in-fact

03/09/2017

\*\*Signature of Reporting Person

Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- As reported on Form 3 on 2/10/2015, Metro Bancshares, Inc. was acquired by ServisFirst Bancshares, Inc. on 1/31/2015. The reporting person's spouse received 18,172 previously unreported shares of common stock on 2/2/2015 as a result of the merger. The share amount reflects 2-for-1 stock split that occurred on 12/20/2016.
- As reported on Form 3 on 2/10/2015, Metro Bancshares, Inc. was acquired by ServisFirst Bancshares, Inc. on 1/31/2015. The reporting person's spouse is a member of a joint tenancy which received 1,038 previously unreported shares of common stock on 2/2/2015 as a result of the merger. The share amount reflects 2-for-1 stock split that occurred on 12/20/2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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