Edgar Filing: True Drinks Holdings, Inc. - Form 4

	s Holdings, Inc.								
Form 4	2014								
October 16								PPROVAL	
FOR	VI 4 _{UNITED}	STATES SECU	RITIES A	AND EX	CHANGE	COMMISSION		FFNOVAL	
			ashington				Number:	3235-0287	
	this box						Expires:	January 31,	
if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF							Estimated	2005 average	
Section 16. SECURITIES Form 4 or								urs per	
Form 4 Form 5		rsuant to Section	16(a) of the	ne Securit	ies Excha	nge Act of 1934	response	. 0.5	
obligat	ions Section 17	(a) of the Public U				-	on		
may co See Ins	truction	30(h) of the I	•	•	- ·				
1(b).				_					
(Print or Type	e Responses)								
1. Name and	Address of Reporting	Person [*] 2. Issu	er Name an	d Ticker or	Trading	5. Relationship of	of Reporting Per	rson(s) to	
Kerker Da	niel R.	Symbol		• • • • • •	inding	Issuer			
		True D	rinks Hol	dings, Inc	. [TRUU]]	ock all applicabl	a)	
(Last)	(First) ((Middle) 3. Date	of Earliest T	ransaction		(Check all applicable)			
		(Month/Day/Year)			Director 10% Owner				
	CARTHUR	10/15/	10/15/2014			Officer (give title Other (specify below) below)			
BLVD, SU	JITE 325					CHIEF F	INANCIAL OF	FICER	
	(Street)	4. If Am	4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check			
		Filed(M	onth/Day/Yea	ur)		Applicable Line) _X_ Form filed by	One Perceting P	arcon	
IRVINE, O	⁻ A 92612						More than One R		
	211 92012					Person			
(City)	(State)	(Zip) Tal	ble I - Non-l	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	lly Owned	
1.Title of	2. Transaction Date		3.	4. Securiti			6. Ownership	7. Nature of	
Security (Instr. 3)	(Month/Day/Year)	Execution Date, if any	TransactionAcquired (A) or Code Disposed of (D)				Form: Direct (D) or Indirect	Indirect Beneficial	
(1150.5)		(Month/Day/Year)	(Instr. 8)	•		•	(I)	Ownership	
							(Instr. 4)	(Instr. 4)	
					(A)	Reported Transaction(s)			
			Code V	Amount	or (D) Price	(Instr. 3 and 4)			
Reminder: R	eport on a separate line	e for each class of sec	curities bene	•	•	•			
						spond to the colle tained in this form		SEC 1474 (9-02)	
				requir	ed to resp	ond unless the fo	rm	() ())	
				displa		ntly valid OMB co	ntrol		

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amou
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	orDerivative Securities	Expiration Date	Underlying Secur
Security	or Exercise		any	Code	Acquired (A) or	(Month/Day/Year)	(Instr. 3 and 4)

Edgar Filing: True Drinks Holdings, Inc. - Form 4

(Instr. 3)	Price of Derivative		(Month/Day/Year)	(Instr. 8	8)	Disposed of (D) (Instr. 3, 4, and 5)					
	Security		Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Am Nur Sha	
Stock Option	\$ 0.61	10/15/2014		D			430,043	<u>(1)</u>	03/01/2015	Common Stock	43
Stock Option	\$ 0.38	10/15/2014		А		430,043		(3)	10/15/2021	Common Stock	43

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Kerker Daniel R. 18552 MACARTHUR BLVD SUITE 325 IRVINE, CA 92612			CHIEF FINANCIAL OFFICER					

Signatures

/s/ Dan Kerker **Signature of

10/16/2014

Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Of the shares issuable pursuant to this stock option, 61,435 shares vested on the grant date, 122,870 shares vested on each of March 1, (1) 2013 and 2014, respectively, and the final 122,868 shares are scheduled to vest on March 1, 2015.

The Reporting Person agreed to cancellation of the stock option granted to him on March 1, 2012, in exchange for a new option issued on (2) October 15, 2014 pursuant to the Issuer's 2013 Stock Incentive Plan, which option is exercisable for the same number of shares and subject to the same vesting schedule, but with a longer term and lower exercise price.

Of the shares issuable pursuant to this stock option, 307,175 are currently vested. The remaining 122,868 shares are scheduled to vest on (3)March 1, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.