

Edgar Filing: MainStay DefinedTerm Municipal Opportunities Fund - Form NSAR-B

MainStay DefinedTerm Municipal Opportunities Fund
Form NSAR-B
July 28, 2016

PAGE 1
000 B000000 05/31/2016
000 C000000 0001518557
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 DEFINEDTERM MUNICIPAL OPPORTUNITIES FUND
001 B000000 811-22551
001 C000000 2125767000
002 A000000 51 MADISON AVENUE
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10010
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
008 A000001 NEW YORK LIFE INVESTMENT MANAGEMENT LLC
008 B000001 A
008 C000001 801-57396
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10010
008 A000002 MACKAY SHIELDS LLC
008 B000002 S
008 C000002 801-5594
008 D010002 NEW YORK
008 D020002 NY
008 D030002 10105
010 A000001 NEW YORK LIFE INVESTMENT MANAGEMENT LLC
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010 C010001 NEW YORK
010 C020001 NY
010 C030001 10010
011 A000001 BofA Merrill Lynch
011 B000001 8-00345
011 C010001 ONE BRYANT PARK
011 C020001 NY
011 C030001 10036
011 A000002 Citigroup Global Markets Inc.
011 B000002 8-15286
011 C010002 388 GREENWICH ST
011 C020002 NY
011 C030002 10013
011 A000003 Morgan Stanley & Co.
PAGE 2
011 B000003 8-104046
011 C010003 1585 BROADWAY
011 C020003 NY
011 C030003 10036
011 A000004 Wells Fargo Securities, LLC
011 B000004 8-05314

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011 C010004 375 Park Avenue
011 C020004 NY
011 C030004 10152
011 A000005 Raymond James & Associates, Inc.
011 B000005 8-04393
011 C010005 880 CARRILON PARKWAY
011 C020005 FL
011 C030005 33733
012 A000001 Computershare Trust Company, N.A.
012 B000001 85-11340
012 C010001 CANTON
012 C020001 MA
012 C030001 02021
013 A000001 PRICEWATERHOUSECOOPERS LLP
013 B010001 NEW YORK
013 B020001 NY
013 B030001 10017
014 A000001 NYLIFE SECURITIES INC.
014 B000001 8-15517
014 A000002 NYLIFE DISTRIBUTORS LLC
014 B000002 8-46655
015 A000001 STATE STREET BANK & TRUST COMPANY
015 B000001 C
015 C010001 BOSTON
015 C020001 MA
015 C030001 02111
015 C040001 2900
015 E010001 X
018 000000 Y
019 A000000 Y
019 B000000 84
019 C000000 THECOMPANY
020 A000001 JPMORGAN CHASE & CO.
020 B000001 13-3224016
020 C000001 9
021 000000 9
022 A000001 CITIGROUP, INC.
022 B000001 52-1568099
022 C000001 71531
022 D000001 51436
022 A000002 JPMORGAN CHASE & CO.
022 B000002 13-3224016
022 C000002 33812
022 D000002 19680
022 A000003 BANK OF AMERICA
PAGE 3
022 B000003 95-4035346
022 C000003 37661
022 D000003 6170
022 A000004 MESIROW FINANCIAL INC
022 C000004 12998
022 D000004 26132
022 A000005 MORGAN STANLEY & CO., INC.
022 B000005 13-2655998
022 C000005 9860
022 D000005 27330
022 A000006 PERSHING LLC
022 B000006 13-3769702
022 C000006 14869
022 D000006 9687
022 A000007 BARCLAYS BANK PLC
022 B000007 94-3231066

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| | | |
|-----|---------|---------------------------------|
| 022 | C000007 | 11591 |
| 022 | D000007 | 12934 |
| 022 | A000008 | RBC DOMINION SECURITIES |
| 022 | C000008 | 14246 |
| 022 | D000008 | 780 |
| 022 | A000009 | Cantor Fitzgerald & Co. |
| 022 | B000009 | 13-3680184 |
| 022 | C000009 | 6436 |
| 022 | D000009 | 7727 |
| 022 | A000010 | NATIONAL FINANCIAL SERVICES LLC |
| 022 | B000010 | 04-3523567 |
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| 047 | 000000 | Y |
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| 054 | L000000 | N |
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| 054 | N000000 | N |
| 054 | O000000 | N |
| 055 | A000000 | N |

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| | | | |
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| 056 | 000000 | Y | |
| 057 | 000000 | N | |
| 058 | A000000 | N | |
| 059 | 000000 | Y | |
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| 060 | B000000 | Y | |
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| 062 | F000000 | | 0.0 |
| 062 | G000000 | | 0.0 |
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| 062 | H000000 | | 0.0 |
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| 062 | K000000 | | 0.0 |
| 062 | L000000 | | 0.0 |
| 062 | M000000 | | 0.0 |
| 062 | N000000 | | 0.0 |
| 062 | O000000 | | 143.9 |
| 062 | P000000 | | 0.0 |
| 062 | Q000000 | | 0.0 |
| 062 | R000000 | | 0.0 |
| 063 | A000000 | | 0 |
| 063 | B000000 | | 20.8 |
| 064 | A000000 | Y | |
| 064 | B000000 | N | |
| 066 | A000000 | N | |
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| 070 | M010000 | Y | |
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| | | | |
|-----|----------|----|--------|
| 070 | N010000 | Y | |
| 070 | N020000 | N | |
| 070 | O010000 | Y | |
| 070 | O020000 | N | |
| 070 | P010000 | Y | |
| | PAGE | 7 | |
| 070 | P020000 | N | |
| 070 | Q010000 | Y | |
| 070 | Q020000 | N | |
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| 072 | P000000 | | 3132 |
| 072 | Q000000 | | 0 |
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| | | |
|-----|---------|---------------------------|
| 074 | J000000 | 0 |
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| 075 | B000000 | 537368 |
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| 077 | A000000 | Y |
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| 080 | A000000 | Federal Insurance Company |
| 080 | C000000 | 9050 |
| 081 | A000000 | Y |
| | PAGE | 9 |
| 081 | B000000 | 83 |
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| | | |
|-----------|-------------------|--------------|
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| 086 | F020000 | 0 |
| 087 | A010000 | Common Stock |
| 087 | A020000 | 56064K100 |
| 087 | A030000 | MMD |
| 088 | A000000 | Y |
| 088 | B000000 | N |
| 088 | C000000 | N |
| 088 | D000000 | Y |
| SIGNATURE | JACK R. BENINTEDE | |
| TITLE | PFO | |