

Edgar Filing: NewStar Financial, Inc. - Form SC 13D

NewStar Financial, Inc.  
Form SC 13D  
February 21, 2008

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

SCHEDULE 13D  
Under the Securities Exchange Act of 1934

NEWSTAR FINANCIAL INC  
(Name of Issuer)

COMMON  
(Title of Class of Securities)

65251F105  
(CUSIP Number)

TIMOTHY E. MORIARTY, c/o McGrath, Doyle & Phair  
150 BROADWAY - SUITE 1915  
NEW YORK, NY 10038  
TEL: 212-571-2300  
(Name, Address and Telephone Number of Person Authorized to  
Receive Notices and Communications)

02/15/2008  
(Date of Event which Requires Filing of this Statement)

1 Names of Reporting Persons.  
IRS Identification Nos. of above persons (entities only)  
TIMOTHY E. MORIARTY

2 Check the Appropriate Box if a Member of a Group (See Instructions)  
(a) ☒ X  
(b)

3 SEC Use Only

4 Source of Funds (See Instructions) PF

5 Ck if Disclosure of Legal Proceedings Is Required Pursuant to  
Items 2(d) or 2(e) N/A

6 Citizenship or Place of Organization  
USA

Number of Shares Beneficially Owned by Each Reporting Person with

7 Sole Voting Power 2,533,288 SHARES

8 Shared Voting Power

9 Sole Dispositive Power 2,533,288 SHARES

10 Shared Dispositive Power

11 Aggregate Amount Beneficially Owned by Each Reporting Person= 2,533,288 shs

12 Check if the Aggregate Amount in Row (11) Excludes Certain Shares  
(See Instructions) N/A

13 Percent of Class Represented by Amount in Row (11) 5.8330 %

14 Type of Reporting Person (See Instructions) IN

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SPECIAL INSTRUCTIONS FOR COMPLYING WITH SCHEDULE 13D

Item 1

Security and Issuer  
NEWSTAR FINANCIAL INC  
500 BOYLSTON STREET  
SUITE 1600  
BOSTON, MA 02116

Class of Securities = common

Item 2

Identity and Background

- (a) N/A
- (b) N/A
- c N/A
- (d) N/A
- (e) N/A
- (f) N/A

Item 3

Source and Amount of Funds or Other Consideration  
PERSONAL FUNDS

Item 4

Purpose of Transaction  
MATERIAL ACQUISITION FOR INVESTMENT PURPOSES

Item 5

Interest in Securities of the Issuer

- (a) 2,533,288 SHARES 5.8330 PERCENT OF CLASS
- (b) 2,533,288 SHARES
- c N/A
- (d) N/A
- (e) N/A

Item 6

Contracts, Arrangements, Understandings or Relationships with  
Respect to Securities of the Issuer = N/A

Item 7

Material to Be Filed as Exhibits  
N/A

Signature

After reasonable inquiry and to the best of my knowledge  
and belief, I certify that the information set forth in  
this statement is true, complete and correct.

Date 02/21/08

Signature TIMOTHY E MORIARTY

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Name/Title	TIMOTHY E MORIARTY
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