Lockhart Andy Glen Form 4 February 25, 2013

Check this box

if no longer

subject to

Section 16.

Form 4 or

obligations

Form 5

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

2. Issuer Name and Ticker or Trading

OMB APPROVAL

OMB 3235-0287 Number:

January 31, Expires: 2005

0.5

Estimated average burden hours per response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Lockhart Andy Glen

Symbol

5. Relationship of Reporting Person(s) to

Issuer

(First) (Middle) (Last)

CALIX, INC [(calx)] 3. Date of Earliest Transaction

(Check all applicable)

C/O CALIX, INC., 1035 N.

(Month/Day/Year) 02/21/2013

Director 10% Owner X_ Officer (give title Other (specify

MCDOWELL BLVD.

below) below) SVP, Int'l Sales & Marketing 6. Individual or Joint/Group Filing(Check

(Street) 4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

(Instr. 4)

Person

PETALUMA, CA 94954

Security

(Instr. 3)

(City) (State) (Zip) 1. Title of 2. Transaction Date 2A. Deemed

(Month/Day/Year)

3. 4. Securities Execution Date, if TransactionAcquired (A) or

Disposed of (D)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of Securities

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I)

(Instr. 4)

(Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8)

Code

Following Reported (A) Transaction(s)

(Instr. 3 and 4) Code V Amount (D) Price

Beneficially

Owned

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 4. 5. Number of 6. Date Exercisable and Derivative Conversion (Month/Day/Year) Execution Date, if TransactionDerivative **Expiration Date** Security or Exercise Code Securities (Month/Day/Year) any

7. Title and Amount of 8

Underlying Securities

(Instr. 3 and 4)

Edgar Filing: Lockhart Andy Glen - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr.		Acquired or Dispose (D) (Instr. 3, 4 and 5)	ed of				
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 8.41	02/21/2013		A		20,000		<u>(1)</u>	02/21/2023	Common Stock	20,000

Marketing

Reporting Owners

Reporting Owner Name / Address	Relationships								
Treporting O White Charles	Director	10% Owner	Owner Officer						
Lockhart Andy Glen C/O CALIX, INC.			SVP, Int'l						
1005 N. MODOWELL DLVD		Sales &							

C/O CALIX, INC. 1035 N. MCDOWELL BLVD. PETALUMA, CA 94954

Signatures

/s/ Michael Ashby as Attorney-in-Fact for Andy
Lockhart

02/25/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Non-Qualified Stock Options ("NQs"). The shares underlying this Option shall vest in accordance to the following schedule: 1/48th of the total number of shares subject to the option shall vest on the 21st day of each month, with completion of vesting to occur on 2/21/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2