

Principal Real Estate Income Fund  
Form N-PX  
August 29, 2018

**UNITED STATES**

**SECURITIES AND EXCHANGE COMMISSION**

**Washington, D.C. 20549**

**FORM N-PX**

**ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED**

**MANAGEMENT INVESTMENT COMPANY**

Investment Company Act file number: 811-22742

PRINCIPAL REAL ESTATE INCOME FUND

(Exact name of registrant as specified in charter)

1290 Broadway, Suite 1100

Denver, Colorado 80203

(Address of principal executive offices) (Zip code)

Andrea E. Kuchli

Principal Real Estate Income Fund

1290 Broadway, Suite 1100

Denver, Colorado 80203

(Name and address of agent for service)

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Registrant's Telephone Number, including Area Code: (303) 623-2577

Date of fiscal year end: October 31

Date of reporting period: July 1, 2017 – June 30, 2018

**Item 1 – Proxy Voting Record.**

| <b>Institutional<br/>Account<br/>Name</b>                  | <b>Institutional<br/>Account<br/>Number</b> | <b>Company Name</b>          | <b>Ticker</b> | <b>Country</b>    | <b>Provider<br/>Security ID</b> | <b>Meeting Type</b> | <b>Meeting<br/>Date</b> | <b>Record<br/>Date</b> | <b>Prop</b> |
|--|---|------------------------------|---------------|-------------------|---------------------------------|---------------------|-------------------------|------------------------|-------------|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712  | NewRiver REIT<br>plc         | NRR           | Guernsey          | G64950101                       | Special             | 04-Jul-17               | 02-Jul-17              | Mar         |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712  | NewRiver REIT<br>plc         | NRR           | Guernsey          | G64950101                       | Special             | 04-Jul-17               | 02-Jul-17              | Mar         |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712  | NewRiver REIT<br>plc         | NRR           | Guernsey          | G64950101                       | Special             | 04-Jul-17               | 02-Jul-17              | Mar         |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712  | LondonMetric<br>Property Plc | LMP           | United<br>Kingdom | G5689W109                       | Annual              | 11-Jul-17               | 07-Jul-17              | Mar         |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712  | LondonMetric<br>Property Plc | LMP           | United<br>Kingdom | G5689W109                       | Annual              | 11-Jul-17               | 07-Jul-17              | Mar         |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712  | LondonMetric<br>Property Plc | LMP           | United<br>Kingdom | G5689W109                       | Annual              | 11-Jul-17               | 07-Jul-17              | Mar         |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712  | LondonMetric<br>Property Plc | LMP           | United<br>Kingdom | G5689W109                       | Annual              | 11-Jul-17               | 07-Jul-17              | Mar         |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712  | LondonMetric<br>Property Plc | LMP           | United<br>Kingdom | G5689W109                       | Annual              | 11-Jul-17               | 07-Jul-17              | Mar         |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712  | LondonMetric<br>Property Plc | LMP           | United<br>Kingdom | G5689W109                       | Annual              | 11-Jul-17               | 07-Jul-17              | Mar         |



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|  |      |                           |     |                |                  |           |           |     |
|--|------|---------------------------|-----|----------------|------------------|-----------|-----------|-----|
| Income Fund<br>ALPS, Inc. -<br>Principal Real Estate Income Fund | 9712 | LondonMetric Property Plc | LMP | United Kingdom | G5689W109 Annual | 11-Jul-17 | 07-Jul-17 | Mar |
| Income Fund<br>ALPS, Inc. -<br>Principal Real Estate Income Fund | 9712 | LondonMetric Property Plc | LMP | United Kingdom | G5689W109 Annual | 11-Jul-17 | 07-Jul-17 | Mar |
| Income Fund<br>ALPS, Inc. -<br>Principal Real Estate Income Fund | 9712 | LondonMetric Property Plc | LMP | United Kingdom | G5689W109 Annual | 11-Jul-17 | 07-Jul-17 | Mar |
| Income Fund<br>ALPS, Inc. -<br>Principal Real Estate Income Fund | 9712 | LondonMetric Property Plc | LMP | United Kingdom | G5689W109 Annual | 11-Jul-17 | 07-Jul-17 | Mar |
| Income Fund<br>ALPS, Inc. -<br>Principal Real Estate Income Fund | 9712 | LondonMetric Property Plc | LMP | United Kingdom | G5689W109 Annual | 11-Jul-17 | 07-Jul-17 | Mar |
| Income Fund<br>ALPS, Inc. -<br>Principal Real Estate Income Fund | 9712 | NewRiver REIT plc         | NRR | Guernsey       | G64950101 Annual | 14-Jul-17 | 12-Jul-17 | Mar |
| Income Fund<br>ALPS, Inc. -<br>Principal Real Estate Income Fund | 9712 | NewRiver REIT plc         | NRR | Guernsey       | G64950101 Annual | 14-Jul-17 | 12-Jul-17 | Mar |
| Income Fund<br>ALPS, Inc. -<br>Principal Real Estate Income Fund | 9712 | NewRiver REIT plc         | NRR | Guernsey       | G64950101 Annual | 14-Jul-17 | 12-Jul-17 | Mar |
| Income Fund<br>ALPS, Inc. -<br>Principal Real Estate Income Fund | 9712 | NewRiver REIT plc         | NRR | Guernsey       | G64950101 Annual | 14-Jul-17 | 12-Jul-17 | Mar |
| Income Fund<br>ALPS, Inc. -<br>Principal Real Estate Income Fund | 9712 | NewRiver REIT plc         | NRR | Guernsey       | G64950101 Annual | 14-Jul-17 | 12-Jul-17 | Mar |

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|  |      |                      |     |          |           |        |           |           |     |
|--|------|----------------------|-----|----------|-----------|--------|-----------|-----------|-----|
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | NewRiver REIT<br>plc | NRR | Guernsey | G64950101 | Annual | 14-Jul-17 | 12-Jul-17 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | NewRiver REIT<br>plc | NRR | Guernsey | G64950101 | Annual | 14-Jul-17 | 12-Jul-17 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | NewRiver REIT<br>plc | NRR | Guernsey | G64950101 | Annual | 14-Jul-17 | 12-Jul-17 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | NewRiver REIT<br>plc | NRR | Guernsey | G64950101 | Annual | 14-Jul-17 | 12-Jul-17 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | NewRiver REIT<br>plc | NRR | Guernsey | G64950101 | Annual | 14-Jul-17 | 12-Jul-17 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | NewRiver REIT<br>plc | NRR | Guernsey | G64950101 | Annual | 14-Jul-17 | 12-Jul-17 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | NewRiver REIT<br>plc | NRR | Guernsey | G64950101 | Annual | 14-Jul-17 | 12-Jul-17 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | NewRiver REIT<br>plc | NRR | Guernsey | G64950101 | Annual | 14-Jul-17 | 12-Jul-17 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | NewRiver REIT<br>plc | NRR | Guernsey | G64950101 | Annual | 14-Jul-17 | 12-Jul-17 | Mar |

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|  |      |  |      |                   |           |         |           |           |     |
|--|------|--|------|-------------------|-----------|---------|-----------|-----------|-----|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | NewRiver REIT<br>plc                         | NRR  | Guernsey          | G64950101 | Annual  | 14-Jul-17 | 12-Jul-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | NewRiver REIT<br>plc                         | NRR  | Guernsey          | G64950101 | Annual  | 14-Jul-17 | 12-Jul-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Empiric Student<br>Property plc              | ESP  | United<br>Kingdom | G30381100 | Special | 21-Jul-17 | 19-Jul-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Empiric Student<br>Property plc              | ESP  | United<br>Kingdom | G30381100 | Special | 21-Jul-17 | 19-Jul-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Empiric Student<br>Property plc              | ESP  | United<br>Kingdom | G30381100 | Special | 21-Jul-17 | 19-Jul-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Safestore<br>Holdings plc                    | SAFE | United<br>Kingdom | G77733106 | Special | 25-Jul-17 | 23-Jul-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Safestore<br>Holdings plc                    | SAFE | United<br>Kingdom | G77733106 | Special | 25-Jul-17 | 23-Jul-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Safestore<br>Holdings plc                    | SAFE | United<br>Kingdom | G77733106 | Special | 25-Jul-17 | 23-Jul-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Frasers Logistics<br>and Industrial<br>Trust | BUOU | Singapore         | Y26465107 | Special | 26-Jul-17 |           | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Link Real Estate<br>Investment Trust         | 823  | Hong Kong         | Y5281M111 | Annual  | 26-Jul-17 | 20-Jul-17 | Mar |
|  | 9712 |  | 823  | Hong Kong         | Y5281M111 | Annual  | 26-Jul-17 | 20-Jul-17 | Mar |

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|  |      |                                      |               |           |           |         |           |           |     |
|--|------|--------------------------------------|---------------|-----------|-----------|---------|-----------|-----------|-----|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | Link Real Estate<br>Investment Trust |               |           |           |         |           |           |     |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Link Real Estate<br>Investment Trust | 823           | Hong Kong | Y5281M111 | Annual  | 26-Jul-17 | 20-Jul-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Link Real Estate<br>Investment Trust | 823           | Hong Kong | Y5281M111 | Annual  | 26-Jul-17 | 20-Jul-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Care Capital<br>Properties, Inc.     | CCP           | USA       | 141624106 | Special | 15-Aug-17 | 29-Jun-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Care Capital<br>Properties, Inc.     | CCP           | USA       | 141624106 | Special | 15-Aug-17 | 29-Jun-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Care Capital<br>Properties, Inc.     | CCP           | USA       | 141624106 | Special | 15-Aug-17 | 29-Jun-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Croesus Retail<br>Trust              | S6NU          | Singapore | Y1780H109 | Court   | 13-Sep-17 |           | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Croesus Retail<br>Trust              | S6NU          | Singapore | Y1780H109 | Court   | 13-Sep-17 |           | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | FIBRA Prologis                       | FIBRAPL<br>14 | Mexico    | P4559M101 | Special | 20-Sep-17 | 12-Sep-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | FIBRA Prologis                       | FIBRAPL<br>14 | Mexico    | P4559M101 | Special | 20-Sep-17 | 12-Sep-17 | Mar |



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|--|------|-----------------|---------------|-------------------|-----------|---------|-----------|-----------|-----|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | FIBRA Prologis  | FIBRAPL<br>14 | Mexico            | P4559M101 | Special | 20-Sep-17 | 12-Sep-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | FIBRA Prologis  | FIBRAPL<br>14 | Mexico            | P4559M101 | Special | 20-Sep-17 | 12-Sep-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | FIBRA Prologis  | FIBRAPL<br>14 | Mexico            | P4559M101 | Special | 20-Sep-17 | 12-Sep-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | FIBRA Prologis  | FIBRAPL<br>14 | Mexico            | P4559M101 | Special | 20-Sep-17 | 12-Sep-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | FIBRA Prologis  | FIBRAPL<br>14 | Mexico            | P4559M101 | Special | 20-Sep-17 | 12-Sep-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | SOHO China Ltd. | 410           | Cayman<br>Islands | G82600100 | Special | 27-Sep-17 | 21-Sep-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Dexus           | DXS           | Australia         | Q3190P134 | Annual  | 24-Oct-17 | 22-Oct-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Dexus           | DXS           | Australia         | Q3190P134 | Annual  | 24-Oct-17 | 22-Oct-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Dexus           | DXS           | Australia         | Q3190P134 | Annual  | 24-Oct-17 | 22-Oct-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate                   | 9712 | Dexus           | DXS           | Australia         | Q3190P134 | Annual  | 24-Oct-17 | 22-Oct-17 | Mar |



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|--|------|-----------------------|-----|-----------|--------------------------|-----------|-----------|-----|
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Propertylink<br>Group | PLG | Australia | Q7773M103 Annual         | 27-Oct-17 | 25-Oct-17 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Propertylink<br>Group | PLG | Australia | Q7773M103 Annual         | 27-Oct-17 | 25-Oct-17 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Propertylink<br>Group | PLG | Australia | Q7773M103 Annual         | 27-Oct-17 | 25-Oct-17 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Propertylink<br>Group | PLG | Australia | Q7773M103 Annual         | 27-Oct-17 | 25-Oct-17 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Propertylink<br>Group | PLG | Australia | Q7773M103 Annual         | 27-Oct-17 | 25-Oct-17 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Charter Hall<br>Group | CHC | Australia | Q2308A138 Annual/Special | 09-Nov-17 | 07-Nov-17 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Charter Hall<br>Group | CHC | Australia | Q2308A138 Annual/Special | 09-Nov-17 | 07-Nov-17 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Charter Hall<br>Group | CHC | Australia | Q2308A138 Annual/Special | 09-Nov-17 | 07-Nov-17 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Charter Hall<br>Group | CHC | Australia | Q2308A138 Annual/Special | 09-Nov-17 | 07-Nov-17 | Mar |

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|--|------|--|------|-----------|-----------|----------------|-----------|-----------|------|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Charter Hall<br>Group                  | CHC  | Australia | Q2308A138 | Annual/Special | 09-Nov-17 | 07-Nov-17 | Mar  |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Spring Real Estate<br>Investment Trust | 1426 | Hong Kong | Y81293105 | Special        | 10-Nov-17 | 06-Nov-17 | Shar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Spring Real Estate<br>Investment Trust | 1426 | Hong Kong | Y81293105 | Special        | 10-Nov-17 | 06-Nov-17 | Shar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Starwood<br>Waypoint Homes             | SFR  | USA       | 85572F105 | Special        | 14-Nov-17 | 13-Oct-17 | Mar  |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Starwood<br>Waypoint Homes             | SFR  | USA       | 85572F105 | Special        | 14-Nov-17 | 13-Oct-17 | Mar  |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Starwood<br>Waypoint Homes             | SFR  | USA       | 85572F105 | Special        | 14-Nov-17 | 13-Oct-17 | Mar  |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Arena REIT                             | ARF  | Australia | Q0457C152 | Annual         | 15-Nov-17 | 13-Nov-17 | Mar  |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Arena REIT                             | ARF  | Australia | Q0457C152 | Annual         | 15-Nov-17 | 13-Nov-17 | Mar  |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Arena REIT                             | ARF  | Australia | Q0457C152 | Annual         | 15-Nov-17 | 13-Nov-17 | Mar  |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Arena REIT                             | ARF  | Australia | Q0457C152 | Annual         | 15-Nov-17 | 13-Nov-17 | Mar  |

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|--|------|--------------------------------------|-----|-----------|-----------|--------|-----------|-----------|-----|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Arena REIT                           | ARF | Australia | Q0457C152 | Annual | 15-Nov-17 | 13-Nov-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | New World<br>Development Co.<br>Ltd. | 17  | Hong Kong | Y63084126 | Annual | 21-Nov-17 | 13-Nov-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | New World<br>Development Co.<br>Ltd. | 17  | Hong Kong | Y63084126 | Annual | 21-Nov-17 | 13-Nov-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | New World<br>Development Co.<br>Ltd. | 17  | Hong Kong | Y63084126 | Annual | 21-Nov-17 | 13-Nov-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | New World<br>Development Co.<br>Ltd. | 17  | Hong Kong | Y63084126 | Annual | 21-Nov-17 | 13-Nov-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | New World<br>Development Co.<br>Ltd. | 17  | Hong Kong | Y63084126 | Annual | 21-Nov-17 | 13-Nov-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | New World<br>Development Co.<br>Ltd. | 17  | Hong Kong | Y63084126 | Annual | 21-Nov-17 | 13-Nov-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | New World<br>Development Co.<br>Ltd. | 17  | Hong Kong | Y63084126 | Annual | 21-Nov-17 | 13-Nov-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | New World<br>Development Co.<br>Ltd. | 17  | Hong Kong | Y63084126 | Annual | 21-Nov-17 | 13-Nov-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | New World<br>Development Co.<br>Ltd. | 17  | Hong Kong | Y63084126 | Annual | 21-Nov-17 | 13-Nov-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | New World<br>Development Co.<br>Ltd. | 17  | Hong Kong | Y63084126 | Annual | 21-Nov-17 | 13-Nov-17 | Mar |

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|--|------|--------------------------------------|------|-----------|-----------|---------|-----------|---------------|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | New World<br>Development Co.<br>Ltd. |      |           |           |         |           |               |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | New World<br>Development Co.<br>Ltd. | 17   | Hong Kong | Y63084126 | Annual  | 21-Nov-17 | 13-Nov-17 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | New World<br>Development Co.<br>Ltd. | 17   | Hong Kong | Y63084126 | Annual  | 21-Nov-17 | 13-Nov-17 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Japan Hotel REIT<br>Investment Corp  | 8985 | Japan     | J2761Q107 | Special | 22-Nov-17 | 31-Aug-17 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Japan Hotel REIT<br>Investment Corp  | 8985 | Japan     | J2761Q107 | Special | 22-Nov-17 | 31-Aug-17 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Japan Hotel REIT<br>Investment Corp  | 8985 | Japan     | J2761Q107 | Special | 22-Nov-17 | 31-Aug-17 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Japan Hotel REIT<br>Investment Corp  | 8985 | Japan     | J2761Q107 | Special | 22-Nov-17 | 31-Aug-17 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Japan Hotel REIT<br>Investment Corp  | 8985 | Japan     | J2761Q107 | Special | 22-Nov-17 | 31-Aug-17 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | TLG Immobilien<br>AG                 | TLG  | Germany   | D8T622108 | Special | 22-Nov-17 | 31-Oct-17 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | TLG Immobilien<br>AG                 | TLG  | Germany   | D8T622108 | Special | 22-Nov-17 | 31-Oct-17 Mar |

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|  |      |                               |      |           |           |                |           |           |     |
|--|------|-------------------------------|------|-----------|-----------|----------------|-----------|-----------|-----|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | TLG Immobilien<br>AG          | TLG  | Germany   | D8T622108 | Special        | 22-Nov-17 | 31-Oct-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Cromwell<br>Property Group    | CMW  | Australia | Q2995J103 | Annual/Special | 29-Nov-17 | 27-Nov-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Cromwell<br>Property Group    | CMW  | Australia | Q2995J103 | Annual/Special | 29-Nov-17 | 27-Nov-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Cromwell<br>Property Group    | CMW  | Australia | Q2995J103 | Annual/Special | 29-Nov-17 | 27-Nov-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Cromwell<br>Property Group    | CMW  | Australia | Q2995J103 | Annual/Special | 29-Nov-17 | 27-Nov-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Cromwell<br>Property Group    | CMW  | Australia | Q2995J103 | Annual/Special | 29-Nov-17 | 27-Nov-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Invesco Office<br>J-Reit Inc. | 3298 | Japan     | J2502Y104 | Special        | 10-Jan-18 | 31-Oct-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Invesco Office<br>J-Reit Inc. | 3298 | Japan     | J2502Y104 | Special        | 10-Jan-18 | 31-Oct-17 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate                   | 9712 | Invesco Office<br>J-Reit Inc. | 3298 | Japan     | J2502Y104 | Special        | 10-Jan-18 | 31-Oct-17 | Mar |

Edgar Filing: Principal Real Estate Income Fund - Form N-PX

|  |      |  |      |           |           |         |           |           |     |
|--|------|--|------|-----------|-----------|---------|-----------|-----------|-----|
| Income Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income Fund | 9712 | Invesco Office<br>J-Reit Inc.                | 3298 | Japan     | J2502Y104 | Special | 10-Jan-18 | 31-Oct-17 | Mar |
| Income Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income Fund | 9712 | Invesco Office<br>J-Reit Inc.                | 3298 | Japan     | J2502Y104 | Special | 10-Jan-18 | 31-Oct-17 | Mar |
| Income Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income Fund | 9712 | Invesco Office<br>J-Reit Inc.                | 3298 | Japan     | J2502Y104 | Special | 10-Jan-18 | 31-Oct-17 | Mar |
| Income Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income Fund | 9712 | Frasers<br>Centrepoint Trust                 | J69U | Singapore | Y2642S101 | Annual  | 23-Jan-18 |           | Mar |
| Income Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income Fund | 9712 | Frasers<br>Centrepoint Trust                 | J69U | Singapore | Y2642S101 | Annual  | 23-Jan-18 |           | Mar |
| Income Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income Fund | 9712 | Frasers<br>Centrepoint Trust                 | J69U | Singapore | Y2642S101 | Annual  | 23-Jan-18 |           | Mar |
| Income Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income Fund | 9712 | Frasers<br>Centrepoint Trust                 | J69U | Singapore | Y2642S101 | Annual  | 23-Jan-18 |           | Mar |
| Income Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income Fund | 9712 | Frasers Logistics<br>and Industrial<br>Trust | BUOU | Singapore | Y26465107 | Annual  | 25-Jan-18 |           | Mar |
| Income Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income Fund | 9712 | Frasers Logistics<br>and Industrial<br>Trust | BUOU | Singapore | Y26465107 | Annual  | 25-Jan-18 |           | Mar |
| Income Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income      | 9712 | Frasers Logistics<br>and Industrial<br>Trust | BUOU | Singapore | Y26465107 | Annual  | 25-Jan-18 |           | Mar |



Edgar Filing: Principal Real Estate Income Fund - Form N-PX

|              |      |                   |       |           |           |         |           |               |
|--------------|------|-------------------|-------|-----------|-----------|---------|-----------|---------------|
| Fund         |      |                   |       |           |           |         |           |               |
| ALPS, Inc. - |      |                   |       |           |           |         |           |               |
| Principal    |      |                   |       |           |           |         |           |               |
| Real Estate  | 9712 | Frasers Logistics | BUOU  | Singapore | Y26465107 | Annual  | 25-Jan-18 | Mar           |
| Income       |      | and Industrial    |       |           |           |         |           |               |
| Fund         |      | Trust             |       |           |           |         |           |               |
| ALPS, Inc. - |      |                   |       |           |           |         |           |               |
| Principal    |      |                   |       |           |           |         |           |               |
| Real Estate  | 9712 | Far East          | Q5T   | Singapore | Y24258108 | Special | 12-Mar-18 | Mar           |
| Income       |      | Hospitality Trust |       |           |           |         |           |               |
| Fund         |      |                   |       |           |           |         |           |               |
| ALPS, Inc. - |      |                   |       |           |           |         |           |               |
| Principal    |      |                   |       |           |           |         |           |               |
| Real Estate  | 9712 | Far East          | Q5T   | Singapore | Y24258108 | Special | 12-Mar-18 | Mar           |
| Income       |      | Hospitality Trust |       |           |           |         |           |               |
| Fund         |      |                   |       |           |           |         |           |               |
| ALPS, Inc. - |      |                   |       |           |           |         |           |               |
| Principal    |      |                   |       |           |           |         |           |               |
| Real Estate  | 9712 | Far East          | Q5T   | Singapore | Y24258108 | Special | 12-Mar-18 | Mar           |
| Income       |      | Hospitality Trust |       |           |           |         |           |               |
| Fund         |      |                   |       |           |           |         |           |               |
| ALPS, Inc. - |      |                   |       |           |           |         |           |               |
| Principal    |      |                   |       |           |           |         |           |               |
| Real Estate  | 9712 | Citycon Oyj       | CTY1S | Finland   | X1422T116 | Annual  | 20-Mar-18 | 08-Mar-18 Mar |
| Income       |      |                   |       |           |           |         |           |               |
| Fund         |      |                   |       |           |           |         |           |               |
| ALPS, Inc. - |      |                   |       |           |           |         |           |               |
| Principal    |      |                   |       |           |           |         |           |               |
| Real Estate  | 9712 | Citycon Oyj       | CTY1S | Finland   | X1422T116 | Annual  | 20-Mar-18 | 08-Mar-18 Mar |
| Income       |      |                   |       |           |           |         |           |               |
| Fund         |      |                   |       |           |           |         |           |               |
| ALPS, Inc. - |      |                   |       |           |           |         |           |               |
| Principal    |      |                   |       |           |           |         |           |               |
| Real Estate  | 9712 | Citycon Oyj       | CTY1S | Finland   | X1422T116 | Annual  | 20-Mar-18 | 08-Mar-18 Mar |
| Income       |      |                   |       |           |           |         |           |               |
| Fund         |      |                   |       |           |           |         |           |               |
| ALPS, Inc. - |      |                   |       |           |           |         |           |               |
| Principal    |      |                   |       |           |           |         |           |               |
| Real Estate  | 9712 | Citycon Oyj       | CTY1S | Finland   | X1422T116 | Annual  | 20-Mar-18 | 08-Mar-18 Mar |
| Income       |      |                   |       |           |           |         |           |               |
| Fund         |      |                   |       |           |           |         |           |               |
| ALPS, Inc. - | 9712 | Citycon Oyj       | CTY1S | Finland   | X1422T116 | Annual  | 20-Mar-18 | 08-Mar-18 Mar |
| Principal    |      |                   |       |           |           |         |           |               |
| Real Estate  |      |                   |       |           |           |         |           |               |
| Income       |      |                   |       |           |           |         |           |               |

Edgar Filing: Principal Real Estate Income Fund - Form N-PX

|  |      |             |       |         |           |        |           |           |     |
|--|------|-------------|-------|---------|-----------|--------|-----------|-----------|-----|
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Citycon Oyj | CTY1S | Finland | X1422T116 | Annual | 20-Mar-18 | 08-Mar-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Citycon Oyj | CTY1S | Finland | X1422T116 | Annual | 20-Mar-18 | 08-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund         | 9712 | Citycon Oyj | CTY1S | Finland | X1422T116 | Annual | 20-Mar-18 | 08-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund         | 9712 | Citycon Oyj | CTY1S | Finland | X1422T116 | Annual | 20-Mar-18 | 08-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund         | 9712 | Citycon Oyj | CTY1S | Finland | X1422T116 | Annual | 20-Mar-18 | 08-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund         | 9712 | Citycon Oyj | CTY1S | Finland | X1422T116 | Annual | 20-Mar-18 | 08-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund         | 9712 | Citycon Oyj | CTY1S | Finland | X1422T116 | Annual | 20-Mar-18 | 08-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund         | 9712 | Citycon Oyj | CTY1S | Finland | X1422T116 | Annual | 20-Mar-18 | 08-Mar-18 | Mar |

Edgar Filing: Principal Real Estate Income Fund - Form N-PX

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

ALPS, Inc. -  
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Real Estate  
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ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

9712

Citycon Oyj

CTY1S

Finland

X1422T116

Annual

20-Mar-18

08-Mar-18 Mar

9712

Citycon Oyj

CTY1S

Finland

X1422T116

Annual

20-Mar-18

08-Mar-18 Mar

9712

Citycon Oyj

CTY1S

Finland

X1422T116

Annual

20-Mar-18

08-Mar-18 Mar

9712

Citycon Oyj

CTY1S

Finland

X1422T116

Annual

20-Mar-18

08-Mar-18 Mar

9712

Citycon Oyj

CTY1S

Finland

X1422T116

Annual

20-Mar-18

08-Mar-18 Mar

9712

Safestore  
Holdings plc

SAFE

United  
Kingdom

G77733106

Annual

21-Mar-18

19-Mar-18 Mar

9712

Safestore  
Holdings plc

SAFE

United  
Kingdom

G77733106

Annual

21-Mar-18

19-Mar-18 Mar

9712

Safestore  
Holdings plc

SAFE

United  
Kingdom

G77733106

Annual

21-Mar-18

19-Mar-18 Mar

9712

Safestore  
Holdings plc

SAFE

United  
Kingdom

G77733106

Annual

21-Mar-18

19-Mar-18 Mar

9712

SAFE

G77733106

Annual

21-Mar-18

19-Mar-18 Mar

Edgar Filing: Principal Real Estate Income Fund - Form N-PX

|  |      |                           |      |                   |           |        |           |           |     |
|--|------|---------------------------|------|-------------------|-----------|--------|-----------|-----------|-----|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | Safestore<br>Holdings plc |      | United<br>Kingdom |           |        |           |           |     |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Safestore<br>Holdings plc | SAFE | United<br>Kingdom | G77733106 | Annual | 21-Mar-18 | 19-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Safestore<br>Holdings plc | SAFE | United<br>Kingdom | G77733106 | Annual | 21-Mar-18 | 19-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Safestore<br>Holdings plc | SAFE | United<br>Kingdom | G77733106 | Annual | 21-Mar-18 | 19-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Safestore<br>Holdings plc | SAFE | United<br>Kingdom | G77733106 | Annual | 21-Mar-18 | 19-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Safestore<br>Holdings plc | SAFE | United<br>Kingdom | G77733106 | Annual | 21-Mar-18 | 19-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Safestore<br>Holdings plc | SAFE | United<br>Kingdom | G77733106 | Annual | 21-Mar-18 | 19-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Safestore<br>Holdings plc | SAFE | United<br>Kingdom | G77733106 | Annual | 21-Mar-18 | 19-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Safestore<br>Holdings plc | SAFE | United<br>Kingdom | G77733106 | Annual | 21-Mar-18 | 19-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Safestore<br>Holdings plc | SAFE | United<br>Kingdom | G77733106 | Annual | 21-Mar-18 | 19-Mar-18 | Mar |

Edgar Filing: Principal Real Estate Income Fund - Form N-PX

|  |      |                            |      |                   |           |         |           |               |
|--|------|----------------------------|------|-------------------|-----------|---------|-----------|---------------|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | Safestore<br>Holdings plc  |      | United<br>Kingdom |           |         |           |               |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Safestore<br>Holdings plc  | SAFE | United<br>Kingdom | G77733106 | Annual  | 21-Mar-18 | 19-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Safestore<br>Holdings plc  | SAFE | United<br>Kingdom | G77733106 | Annual  | 21-Mar-18 | 19-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sekisui House<br>Reit Inc. | 3309 | Japan             | J91258103 | Special | 27-Mar-18 | 09-Feb-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sekisui House<br>Reit Inc. | 3309 | Japan             | J91258103 | Special | 27-Mar-18 | 09-Feb-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sekisui House<br>Reit Inc. | 3309 | Japan             | J91258103 | Special | 27-Mar-18 | 09-Feb-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sekisui House<br>Reit Inc. | 3309 | Japan             | J91258103 | Special | 27-Mar-18 | 09-Feb-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sekisui House<br>Reit Inc. | 3309 | Japan             | J91258103 | Special | 27-Mar-18 | 09-Feb-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sekisui House<br>Reit Inc. | 3309 | Japan             | J91258103 | Special | 27-Mar-18 | 09-Feb-18 Mar |
|  | 9712 |                            | 3309 | Japan             | J91258103 | Special | 27-Mar-18 | 09-Feb-18 Mar |

Edgar Filing: Principal Real Estate Income Fund - Form N-PX

|  |      |  |      |           |           |         |           |               |
|--|------|--|------|-----------|-----------|---------|-----------|---------------|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | Sekisui House<br>Reit Inc.                     |      |           |           |         |           |               |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Cromwell<br>Property Group                     | CMW  | Australia | Q2995J103 | Special | 28-Mar-18 | 26-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Tokyo Tatemono<br>Co. Ltd.                     | 8804 | Japan     | J88333133 | Annual  | 28-Mar-18 | 31-Dec-17 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Tokyo Tatemono<br>Co. Ltd.                     | 8804 | Japan     | J88333133 | Annual  | 28-Mar-18 | 31-Dec-17 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Tokyo Tatemono<br>Co. Ltd.                     | 8804 | Japan     | J88333133 | Annual  | 28-Mar-18 | 31-Dec-17 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Hispania Activos<br>Inmobiliarios<br>SOCIMI SA | HIS  | Spain     | E6164Z114 | Annual  | 04-Apr-18 | 28-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Hispania Activos<br>Inmobiliarios<br>SOCIMI SA | HIS  | Spain     | E6164Z114 | Annual  | 04-Apr-18 | 28-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Hispania Activos<br>Inmobiliarios<br>SOCIMI SA | HIS  | Spain     | E6164Z114 | Annual  | 04-Apr-18 | 28-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Hispania Activos<br>Inmobiliarios<br>SOCIMI SA | HIS  | Spain     | E6164Z114 | Annual  | 04-Apr-18 | 28-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Hispania Activos<br>Inmobiliarios<br>SOCIMI SA | HIS  | Spain     | E6164Z114 | Annual  | 04-Apr-18 | 28-Mar-18 Mar |

Edgar Filing: Principal Real Estate Income Fund - Form N-PX

|  |      |  |     |       |           |        |           |               |
|--|------|--|-----|-------|-----------|--------|-----------|---------------|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | Hispania Activos<br>Inmobiliarios<br>SOCIMI SA |     |       |           |        |           |               |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Hispania Activos<br>Inmobiliarios<br>SOCIMI SA | HIS | Spain | E6164Z114 | Annual | 04-Apr-18 | 28-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Hispania Activos<br>Inmobiliarios<br>SOCIMI SA | HIS | Spain | E6164Z114 | Annual | 04-Apr-18 | 28-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Hispania Activos<br>Inmobiliarios<br>SOCIMI SA | HIS | Spain | E6164Z114 | Annual | 04-Apr-18 | 28-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Hispania Activos<br>Inmobiliarios<br>SOCIMI SA | HIS | Spain | E6164Z114 | Annual | 04-Apr-18 | 28-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Hispania Activos<br>Inmobiliarios<br>SOCIMI SA | HIS | Spain | E6164Z114 | Annual | 04-Apr-18 | 28-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Hispania Activos<br>Inmobiliarios<br>SOCIMI SA | HIS | Spain | E6164Z114 | Annual | 04-Apr-18 | 28-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Hispania Activos<br>Inmobiliarios<br>SOCIMI SA | HIS | Spain | E6164Z114 | Annual | 04-Apr-18 | 28-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Hispania Activos<br>Inmobiliarios<br>SOCIMI SA | HIS | Spain | E6164Z114 | Annual | 04-Apr-18 | 28-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Hispania Activos<br>Inmobiliarios<br>SOCIMI SA | HIS | Spain | E6164Z114 | Annual | 04-Apr-18 | 28-Mar-18 Mar |

Edgar Filing: Principal Real Estate Income Fund - Form N-PX

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

Hispania Activos  
Inmobiliarios  
SOCIMI SA

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

9712

Hispania Activos  
Inmobiliarios  
SOCIMI SA

HIS

Spain

E6164Z114

Annual

04-Apr-18

28-Mar-18 Mar

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

9712

Hispania Activos  
Inmobiliarios  
SOCIMI SA

HIS

Spain

E6164Z114

Annual

04-Apr-18

28-Mar-18 Mar

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

9712

Hispania Activos  
Inmobiliarios  
SOCIMI SA

HIS

Spain

E6164Z114

Annual

04-Apr-18

28-Mar-18 Mar

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

9712

Hispania Activos  
Inmobiliarios  
SOCIMI SA

HIS

Spain

E6164Z114

Annual

04-Apr-18

28-Mar-18 Mar

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

9712

Fabege AB

FABG

Sweden

W7888D108

Annual

09-Apr-18

03-Apr-18 Mar

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

9712

Fabege AB

FABG

Sweden

W7888D108

Annual

09-Apr-18

03-Apr-18 Mar

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

9712

Fabege AB

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Sweden

W7888D108

Annual

09-Apr-18

03-Apr-18 Mar

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

9712

Fabege AB

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Sweden

W7888D108

Annual

09-Apr-18

03-Apr-18 Mar

ALPS, Inc. -  
Principal  
Real Estate

9712

Fabege AB

FABG

Sweden

W7888D108

Annual

09-Apr-18

03-Apr-18 Mar



Edgar Filing: Principal Real Estate Income Fund - Form N-PX

|  |      |           |      |        |                  |           |           |     |
|--|------|-----------|------|--------|------------------|-----------|-----------|-----|
| Income Fund<br>ALPS, Inc. -<br>Principal Real Estate Income Fund | 9712 | Fabege AB | FABG | Sweden | W7888D108 Annual | 09-Apr-18 | 03-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal Real Estate Income Fund                | 9712 | Fabege AB | FABG | Sweden | W7888D108 Annual | 09-Apr-18 | 03-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal Real Estate Income Fund                | 9712 | Fabege AB | FABG | Sweden | W7888D108 Annual | 09-Apr-18 | 03-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal Real Estate Income Fund                | 9712 | Fabege AB | FABG | Sweden | W7888D108 Annual | 09-Apr-18 | 03-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal Real Estate Income Fund                | 9712 | Fabege AB | FABG | Sweden | W7888D108 Annual | 09-Apr-18 | 03-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal Real Estate Income Fund                | 9712 | Fabege AB | FABG | Sweden | W7888D108 Annual | 09-Apr-18 | 03-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal Real Estate Income Fund                | 9712 | Fabege AB | FABG | Sweden | W7888D108 Annual | 09-Apr-18 | 03-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal Real Estate Income Fund                | 9712 | Fabege AB | FABG | Sweden | W7888D108 Annual | 09-Apr-18 | 03-Apr-18 | Mar |

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Fund

|  |      |   |          |        |                  |           |           |     |
|--|------|---|----------|--------|------------------|-----------|-----------|-----|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fabege AB   | FABG     | Sweden | W7888D108 Annual | 09-Apr-18 | 03-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fabege AB   | FABG     | Sweden | W7888D108 Annual | 09-Apr-18 | 03-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fabege AB   | FABG     | Sweden | W7888D108 Annual | 09-Apr-18 | 03-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fabege AB   | FABG     | Sweden | W7888D108 Annual | 09-Apr-18 | 03-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fabege AB   | FABG     | Sweden | W7888D108 Annual | 09-Apr-18 | 03-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fabege AB   | FABG     | Sweden | W7888D108 Annual | 09-Apr-18 | 03-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fabege AB   | FABG     | Sweden | W7888D108 Annual | 09-Apr-18 | 03-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | TF<br>Administradora S.<br>de R.L. de C.V.<br>(TERRAFINA) | TERRA 13 | Mexico | P2R51T187 Annual | 11-Apr-18 | 03-Apr-18 | Mar |
|  | 9712 |   | TERRA 13 | Mexico | P2R51T187 Annual | 11-Apr-18 | 03-Apr-18 | Mar |

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|  |      |   |                |           |           |                |           |           |     |
|--|------|---|----------------|-----------|-----------|----------------|-----------|-----------|-----|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | TF<br>Administradora S.<br>de R.L. de C.V.<br>(TERRAFINA) |                |           |           |                |           |           |     |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | TF<br>Administradora S.<br>de R.L. de C.V.<br>(TERRAFINA) | TERRA 13Mexico | P2R51T187 | Annual    | 11-Apr-18      | 03-Apr-18 | Mar       |     |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | TF<br>Administradora S.<br>de R.L. de C.V.<br>(TERRAFINA) | TERRA 13Mexico | P2R51T187 | Annual    | 11-Apr-18      | 03-Apr-18 | Mar       |     |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | TF<br>Administradora S.<br>de R.L. de C.V.<br>(TERRAFINA) | TERRA 13Mexico | P2R51T187 | Annual    | 11-Apr-18      | 03-Apr-18 | Mar       |     |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | TF<br>Administradora S.<br>de R.L. de C.V.<br>(TERRAFINA) | TERRA 13Mexico | P2R51T187 | Annual    | 11-Apr-18      | 03-Apr-18 | Mar       |     |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | TF<br>Administradora S.<br>de R.L. de C.V.<br>(TERRAFINA) | TERRA 13Mexico | P2R51T187 | Annual    | 11-Apr-18      | 03-Apr-18 | Mar       |     |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | TF<br>Administradora S.<br>de R.L. de C.V.<br>(TERRAFINA) | TERRA 13Mexico | P2R51T187 | Annual    | 11-Apr-18      | 03-Apr-18 | Mar       |     |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fonciere des<br>Regions                                   | FDR            | France    | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fonciere des<br>Regions                                   | FDR            | France    | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fonciere des<br>Regions                                   | FDR            | France    | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
|  | 9712 |   | FDR            | France    | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |

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|  |      |                         |     |        |           |                |           |           |     |
|--|------|-------------------------|-----|--------|-----------|----------------|-----------|-----------|-----|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | Fonciere des<br>Regions |     |        |           |                |           |           |     |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fonciere des<br>Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fonciere des<br>Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fonciere des<br>Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fonciere des<br>Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fonciere des<br>Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fonciere des<br>Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fonciere des<br>Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fonciere des<br>Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fonciere des<br>Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |

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|  |      |                         |     |        |           |                |           |           |     |
|--|------|-------------------------|-----|--------|-----------|----------------|-----------|-----------|-----|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | Fonciere des<br>Regions |     |        |           |                |           |           |     |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fonciere des<br>Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fonciere des<br>Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fonciere des<br>Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fonciere des<br>Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fonciere des<br>Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fonciere des<br>Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fonciere des<br>Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fonciere des<br>Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fonciere des<br>Regions | FDR | France | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |

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|   |      |                         |      |                   |           |                |           |           |     |
|---|------|-------------------------|------|-------------------|-----------|----------------|-----------|-----------|-----|
| Real Estate<br>Income<br>Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fonciere des<br>Regions | FDR  | France            | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| Real Estate<br>Income<br>Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund   | 9712 | Fonciere des<br>Regions | FDR  | France            | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| Real Estate<br>Income<br>Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund   | 9712 | Fonciere des<br>Regions | FDR  | France            | F3832Y172 | Annual/Special | 19-Apr-18 | 16-Apr-18 | Mar |
| Real Estate<br>Income<br>Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund   | 9712 | SEGRO plc               | SGRO | United<br>Kingdom | G80277141 | Annual         | 19-Apr-18 | 17-Apr-18 | Mar |
| Real Estate<br>Income<br>Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund   | 9712 | SEGRO plc               | SGRO | United<br>Kingdom | G80277141 | Annual         | 19-Apr-18 | 17-Apr-18 | Mar |
| Real Estate<br>Income<br>Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund   | 9712 | SEGRO plc               | SGRO | United<br>Kingdom | G80277141 | Annual         | 19-Apr-18 | 17-Apr-18 | Mar |
| Real Estate<br>Income<br>Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund   | 9712 | SEGRO plc               | SGRO | United<br>Kingdom | G80277141 | Annual         | 19-Apr-18 | 17-Apr-18 | Mar |
| Real Estate<br>Income<br>Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund   | 9712 | SEGRO plc               | SGRO | United<br>Kingdom | G80277141 | Annual         | 19-Apr-18 | 17-Apr-18 | Mar |
| Real Estate<br>Income<br>Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund   | 9712 | SEGRO plc               | SGRO | United<br>Kingdom | G80277141 | Annual         | 19-Apr-18 | 17-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund  | 9712 | SEGRO plc               | SGRO | United<br>Kingdom | G80277141 | Annual         | 19-Apr-18 | 17-Apr-18 | Mar |



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|  |      |                                 |      |                   |           |        |           |           |     |
|--|------|---------------------------------|------|-------------------|-----------|--------|-----------|-----------|-----|
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | SEGRO plc                       | SGRO | United<br>Kingdom | G80277141 | Annual | 19-Apr-18 | 17-Apr-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | SEGRO plc                       | SGRO | United<br>Kingdom | G80277141 | Annual | 19-Apr-18 | 17-Apr-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | SEGRO plc                       | SGRO | United<br>Kingdom | G80277141 | Annual | 19-Apr-18 | 17-Apr-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | SEGRO plc                       | SGRO | United<br>Kingdom | G80277141 | Annual | 19-Apr-18 | 17-Apr-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | SEGRO plc                       | SGRO | United<br>Kingdom | G80277141 | Annual | 19-Apr-18 | 17-Apr-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | SEGRO plc                       | SGRO | United<br>Kingdom | G80277141 | Annual | 19-Apr-18 | 17-Apr-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Far East<br>Hospitality Trust   | Q5T  | Singapore         | Y24258108 | Annual | 23-Apr-18 |           | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Far East<br>Hospitality Trust   | Q5T  | Singapore         | Y24258108 | Annual | 23-Apr-18 |           | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Far East<br>Hospitality Trust   | Q5T  | Singapore         | Y24258108 | Annual | 23-Apr-18 |           | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Empiric Student<br>Property plc | ESP  | United<br>Kingdom | G30381100 | Annual | 24-Apr-18 | 20-Apr-18 | Mar |





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|  |      |                              |            |                |           |        |           |               |
|--|------|------------------------------|------------|----------------|-----------|--------|-----------|---------------|
| Income Fund                                    |      |                              |            |                |           |        |           |               |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Empiric Student Property plc | ESP        | United Kingdom | G30381100 | Annual | 24-Apr-18 | 20-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Empiric Student Property plc | ESP        | United Kingdom | G30381100 | Annual | 24-Apr-18 | 20-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Empiric Student Property plc | ESP        | United Kingdom | G30381100 | Annual | 24-Apr-18 | 20-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | Empiric Student Property plc | ESP        | United Kingdom | G30381100 | Annual | 24-Apr-18 | 20-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | FIBRA Macquarie Mexico       | FIBRAMQ 12 | Mexico         | P3515D155 | Annual | 24-Apr-18 | 16-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | FIBRA Macquarie Mexico       | FIBRAMQ 12 | Mexico         | P3515D155 | Annual | 24-Apr-18 | 16-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | FIBRA Macquarie Mexico       | FIBRAMQ 12 | Mexico         | P3515D155 | Annual | 24-Apr-18 | 16-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | FIBRA Macquarie Mexico       | FIBRAMQ 12 | Mexico         | P3515D155 | Annual | 24-Apr-18 | 16-Apr-18 Mar |
| ALPS, Inc. - Principal Real Estate Income Fund | 9712 | FIBRA Macquarie Mexico       | FIBRAMQ 12 | Mexico         | P3515D155 | Annual | 24-Apr-18 | 16-Apr-18 Mar |

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|  |      |                             |               |        |           |         |           |           |     |
|--|------|-----------------------------|---------------|--------|-----------|---------|-----------|-----------|-----|
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | FIBRA Macquarie<br>Mexico   | FIBRAMQ<br>12 | Mexico | P3515D155 | Annual  | 24-Apr-18 | 16-Apr-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | FIBRA Macquarie<br>Mexico   | FIBRAMQ<br>12 | Mexico | P3515D155 | Annual  | 24-Apr-18 | 16-Apr-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | FIBRA Macquarie<br>Mexico   | FIBRAMQ<br>12 | Mexico | P3515D155 | Annual  | 24-Apr-18 | 16-Apr-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | FIBRA Macquarie<br>Mexico   | FIBRAMQ<br>12 | Mexico | P3515D155 | Special | 24-Apr-18 | 16-Apr-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | FIBRA Macquarie<br>Mexico   | FIBRAMQ<br>12 | Mexico | P3515D155 | Special | 24-Apr-18 | 16-Apr-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | FIBRA Macquarie<br>Mexico   | FIBRAMQ<br>12 | Mexico | P3515D155 | Special | 24-Apr-18 | 16-Apr-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Kimco Realty<br>Corporation | KIM           | USA    | 49446R109 | Annual  | 24-Apr-18 | 28-Feb-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Kimco Realty<br>Corporation | KIM           | USA    | 49446R109 | Annual  | 24-Apr-18 | 28-Feb-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Kimco Realty<br>Corporation | KIM           | USA    | 49446R109 | Annual  | 24-Apr-18 | 28-Feb-18 | Mar |

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|  |      |                             |     |        |           |                |           |           |     |
|--|------|-----------------------------|-----|--------|-----------|----------------|-----------|-----------|-----|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Kimco Realty<br>Corporation | KIM | USA    | 49446R109 | Annual         | 24-Apr-18 | 28-Feb-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Kimco Realty<br>Corporation | KIM | USA    | 49446R109 | Annual         | 24-Apr-18 | 28-Feb-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Kimco Realty<br>Corporation | KIM | USA    | 49446R109 | Annual         | 24-Apr-18 | 28-Feb-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Kimco Realty<br>Corporation | KIM | USA    | 49446R109 | Annual         | 24-Apr-18 | 28-Feb-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Kimco Realty<br>Corporation | KIM | USA    | 49446R109 | Annual         | 24-Apr-18 | 28-Feb-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Kimco Realty<br>Corporation | KIM | USA    | 49446R109 | Annual         | 24-Apr-18 | 28-Feb-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Kimco Realty<br>Corporation | KIM | USA    | 49446R109 | Annual         | 24-Apr-18 | 28-Feb-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Kimco Realty<br>Corporation | KIM | USA    | 49446R109 | Annual         | 24-Apr-18 | 28-Feb-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Klepierre                   | LI  | France | F5396X102 | Annual/Special | 24-Apr-18 | 19-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Klepierre                   | LI  | France | F5396X102 | Annual/Special | 24-Apr-18 | 19-Apr-18 | Mar |
|  | 9712 | Klepierre                   | LI  | France | F5396X102 | Annual/Special | 24-Apr-18 | 19-Apr-18 | Mar |

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ALPS, Inc. -  
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Income  
Fund

ALPS, Inc. -  
Principal  
Real Estate  
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Fund

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ALPS, Inc. -  
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Real Estate  
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Fund

9712

Klepierre

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Annual/Special

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ALPS, Inc. -  
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Income  
Fund

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

9712

Klepierre

LI

France

F5396X102

Annual/Special

24-Apr-18

19-Apr-18 Mar

9712

Klepierre

LI

France

F5396X102

Annual/Special

24-Apr-18

19-Apr-18 Mar

9712

Klepierre

LI

France

F5396X102

Annual/Special

24-Apr-18

19-Apr-18 Mar

9712

Klepierre

LI

France

F5396X102

Annual/Special

24-Apr-18

19-Apr-18 Mar

9712

APAC Realty  
Limited

CLN

Singapore

Y0170A104

Annual

25-Apr-18

Mar

9712

APAC Realty  
Limited

CLN

Singapore

Y0170A104

Annual

25-Apr-18

Mar

9712

APAC Realty  
Limited

CLN

Singapore

Y0170A104

Annual

25-Apr-18

Mar

9712

APAC Realty  
Limited

CLN

Singapore

Y0170A104

Annual

25-Apr-18

Mar

9712

APAC Realty  
Limited

CLN

Singapore

Y0170A104

Annual

25-Apr-18

Mar

9712

CLN

Singapore

Y0170A104

Annual

25-Apr-18

Mar

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|  |      |                                  |      |           |                  |  |           |     |
|--|------|----------------------------------|------|-----------|------------------|--|-----------|-----|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | APAC Realty<br>Limited           |      |           |                  |  |           |     |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | APAC Realty<br>Limited           | CLN  | Singapore | Y0170A104 Annual |  | 25-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | APAC Realty<br>Limited           | CLN  | Singapore | Y0170A104 Annual |  | 25-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | APAC Realty<br>Limited           | CLN  | Singapore | Y0170A104 Annual |  | 25-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | APAC Realty<br>Limited           | CLN  | Singapore | Y0170A104 Annual |  | 25-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | APAC Realty<br>Limited           | CLN  | Singapore | Y0170A104 Annual |  | 25-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | CapitaLand Retail<br>China Trust | AU8U | Singapore | Y1092E109 Annual |  | 25-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | CapitaLand Retail<br>China Trust | AU8U | Singapore | Y1092E109 Annual |  | 25-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | CapitaLand Retail<br>China Trust | AU8U | Singapore | Y1092E109 Annual |  | 25-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | CapitaLand Retail<br>China Trust | AU8U | Singapore | Y1092E109 Annual |  | 25-Apr-18 | Mar |
|  | 9712 |                                  | AU8U | Singapore | Y1092E109 Annual |  | 25-Apr-18 | Mar |

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|  |      |                                  |      |           |           |        |           |           |     |
|--|------|----------------------------------|------|-----------|-----------|--------|-----------|-----------|-----|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | CapitaLand Retail<br>China Trust |      |           |           |        |           |           |     |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | CapitaLand Retail<br>China Trust | AU8U | Singapore | Y1092E109 | Annual | 25-Apr-18 |           | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Echo Investment<br>S.A.          | ECH  | Poland    | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Echo Investment<br>S.A.          | ECH  | Poland    | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Echo Investment<br>S.A.          | ECH  | Poland    | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Echo Investment<br>S.A.          | ECH  | Poland    | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Echo Investment<br>S.A.          | ECH  | Poland    | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Echo Investment<br>S.A.          | ECH  | Poland    | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Echo Investment<br>S.A.          | ECH  | Poland    | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Echo Investment<br>S.A.          | ECH  | Poland    | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |



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|  |      |                         |     |        |           |        |           |               |
|--|------|-------------------------|-----|--------|-----------|--------|-----------|---------------|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | Echo Investment<br>S.A. |     |        |           |        |           |               |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Echo Investment<br>S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Echo Investment<br>S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Echo Investment<br>S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Echo Investment<br>S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Echo Investment<br>S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Echo Investment<br>S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Echo Investment<br>S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Echo Investment<br>S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Echo Investment<br>S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 Mar |

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|  |      |                         |     |        |           |        |           |           |     |
|--|------|-------------------------|-----|--------|-----------|--------|-----------|-----------|-----|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Echo Investment<br>S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Echo Investment<br>S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Echo Investment<br>S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Echo Investment<br>S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Echo Investment<br>S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Echo Investment<br>S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Echo Investment<br>S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Echo Investment<br>S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Echo Investment<br>S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Echo Investment<br>S.A. | ECH | Poland | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 | Mar |

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|  |      |                           |     |         |           |        |           |               |
|--|------|---------------------------|-----|---------|-----------|--------|-----------|---------------|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | Echo Investment<br>S.A.   |     |         |           |        |           |               |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Echo Investment<br>S.A.   | ECH | Poland  | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Echo Investment<br>S.A.   | ECH | Poland  | X1896T105 | Annual | 25-Apr-18 | 09-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | alstria office<br>REIT-AG | AOX | Germany | D0378R100 | Annual | 26-Apr-18 | 04-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | alstria office<br>REIT-AG | AOX | Germany | D0378R100 | Annual | 26-Apr-18 | 04-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | alstria office<br>REIT-AG | AOX | Germany | D0378R100 | Annual | 26-Apr-18 | 04-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | alstria office<br>REIT-AG | AOX | Germany | D0378R100 | Annual | 26-Apr-18 | 04-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | alstria office<br>REIT-AG | AOX | Germany | D0378R100 | Annual | 26-Apr-18 | 04-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | alstria office<br>REIT-AG | AOX | Germany | D0378R100 | Annual | 26-Apr-18 | 04-Apr-18 Mar |

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|  |      |                                  |     |          |           |        |           |           |     |
|--|------|----------------------------------|-----|----------|-----------|--------|-----------|-----------|-----|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | alstria office<br>REIT-AG        | AOX | Germany  | D0378R100 | Annual | 26-Apr-18 | 04-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Land & Houses<br>Public Co. Ltd. | LH  | Thailand | Y5172C198 | Annual | 26-Apr-18 | 15-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Land & Houses<br>Public Co. Ltd. | LH  | Thailand | Y5172C198 | Annual | 26-Apr-18 | 15-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Land & Houses<br>Public Co. Ltd. | LH  | Thailand | Y5172C198 | Annual | 26-Apr-18 | 15-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Land & Houses<br>Public Co. Ltd. | LH  | Thailand | Y5172C198 | Annual | 26-Apr-18 | 15-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Land & Houses<br>Public Co. Ltd. | LH  | Thailand | Y5172C198 | Annual | 26-Apr-18 | 15-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Land & Houses<br>Public Co. Ltd. | LH  | Thailand | Y5172C198 | Annual | 26-Apr-18 | 15-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Land & Houses<br>Public Co. Ltd. | LH  | Thailand | Y5172C198 | Annual | 26-Apr-18 | 15-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Land & Houses<br>Public Co. Ltd. | LH  | Thailand | Y5172C198 | Annual | 26-Apr-18 | 15-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Land & Houses<br>Public Co. Ltd. | LH  | Thailand | Y5172C198 | Annual | 26-Apr-18 | 15-Mar-18 | Mar |

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|  |      |  |      |           |           |        |           |           |     |
|--|------|--|------|-----------|-----------|--------|-----------|-----------|-----|
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Land & Houses<br>Public Co. Ltd.           | LH   | Thailand  | Y5172C198 | Annual | 26-Apr-18 | 15-Mar-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Land & Houses<br>Public Co. Ltd.           | LH   | Thailand  | Y5172C198 | Annual | 26-Apr-18 | 15-Mar-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Land & Houses<br>Public Co. Ltd.           | LH   | Thailand  | Y5172C198 | Annual | 26-Apr-18 | 15-Mar-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fortune Real<br>Estate Investment<br>Trust | F25U | Singapore | Y2616W104 | Annual | 27-Apr-18 | 19-Apr-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fortune Real<br>Estate Investment<br>Trust | F25U | Singapore | Y2616W104 | Annual | 27-Apr-18 | 19-Apr-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fortune Real<br>Estate Investment<br>Trust | F25U | Singapore | Y2616W104 | Annual | 27-Apr-18 | 19-Apr-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fortune Real<br>Estate Investment<br>Trust | F25U | Singapore | Y2616W104 | Annual | 27-Apr-18 | 19-Apr-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fortune Real<br>Estate Investment<br>Trust | F25U | Singapore | Y2616W104 | Annual | 27-Apr-18 | 19-Apr-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fortune Real<br>Estate Investment<br>Trust | F25U | Singapore | Y2616W104 | Annual | 27-Apr-18 | 19-Apr-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fortune Real<br>Estate Investment<br>Trust | F25U | Singapore | Y2616W104 | Annual | 27-Apr-18 | 19-Apr-18 | Mar |

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|  |      |  |      |           |                  |           |           |     |
|--|------|--|------|-----------|------------------|-----------|-----------|-----|
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fortune Real<br>Estate Investment<br>Trust | F25U | Singapore | Y2616W104Special | 27-Apr-18 | 19-Apr-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fortune Real<br>Estate Investment<br>Trust | F25U | Singapore | Y2616W104Special | 27-Apr-18 | 19-Apr-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fortune Real<br>Estate Investment<br>Trust | F25U | Singapore | Y2616W104Special | 27-Apr-18 | 19-Apr-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Fortune Real<br>Estate Investment<br>Trust | F25U | Singapore | Y2616W104Special | 27-Apr-18 | 19-Apr-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Park Hotels &<br>Resorts Inc.              | PK   | USA       | 700517105 Annual | 27-Apr-18 | 15-Mar-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Park Hotels &<br>Resorts Inc.              | PK   | USA       | 700517105 Annual | 27-Apr-18 | 15-Mar-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Park Hotels &<br>Resorts Inc.              | PK   | USA       | 700517105 Annual | 27-Apr-18 | 15-Mar-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Park Hotels &<br>Resorts Inc.              | PK   | USA       | 700517105 Annual | 27-Apr-18 | 15-Mar-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income         | 9712 | Park Hotels &<br>Resorts Inc.              | PK   | USA       | 700517105 Annual | 27-Apr-18 | 15-Mar-18 | Mar |

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|  |      |                               |      |     |           |        |           |           |     |
|--|------|-------------------------------|------|-----|-----------|--------|-----------|-----------|-----|
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Park Hotels &<br>Resorts Inc. | PK   | USA | 700517105 | Annual | 27-Apr-18 | 15-Mar-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Park Hotels &<br>Resorts Inc. | PK   | USA | 700517105 | Annual | 27-Apr-18 | 15-Mar-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Park Hotels &<br>Resorts Inc. | PK   | USA | 700517105 | Annual | 27-Apr-18 | 15-Mar-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Park Hotels &<br>Resorts Inc. | PK   | USA | 700517105 | Annual | 27-Apr-18 | 15-Mar-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Park Hotels &<br>Resorts Inc. | PK   | USA | 700517105 | Annual | 27-Apr-18 | 15-Mar-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | STAG Industrial,<br>Inc.      | STAG | USA | 85254J102 | Annual | 30-Apr-18 | 12-Mar-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | STAG Industrial,<br>Inc.      | STAG | USA | 85254J102 | Annual | 30-Apr-18 | 12-Mar-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | STAG Industrial,<br>Inc.      | STAG | USA | 85254J102 | Annual | 30-Apr-18 | 12-Mar-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | STAG Industrial,<br>Inc.      | STAG | USA | 85254J102 | Annual | 30-Apr-18 | 12-Mar-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | STAG Industrial,<br>Inc.      | STAG | USA | 85254J102 | Annual | 30-Apr-18 | 12-Mar-18 | Mar |

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|  |      |   |      |     |           |        |           |           |     |
|--|------|---|------|-----|-----------|--------|-----------|-----------|-----|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | STAG Industrial,<br>Inc.                                | STAG | USA | 85254J102 | Annual | 30-Apr-18 | 12-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | STAG Industrial,<br>Inc.                                | STAG | USA | 85254J102 | Annual | 30-Apr-18 | 12-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | STAG Industrial,<br>Inc.                                | STAG | USA | 85254J102 | Annual | 30-Apr-18 | 12-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | STAG Industrial,<br>Inc.                                | STAG | USA | 85254J102 | Annual | 30-Apr-18 | 12-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | STAG Industrial,<br>Inc.                                | STAG | USA | 85254J102 | Annual | 30-Apr-18 | 12-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | STAG Industrial,<br>Inc.                                | STAG | USA | 85254J102 | Annual | 30-Apr-18 | 12-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | STAG Industrial,<br>Inc.                                | STAG | USA | 85254J102 | Annual | 30-Apr-18 | 12-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | STAG Industrial,<br>Inc.                                | STAG | USA | 85254J102 | Annual | 30-Apr-18 | 12-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | APARTMENT<br>INVESTMENT<br>AND<br>MANAGEMENT<br>COMPANY | AIV  | USA | 03748R101 | Annual | 01-May-18 | 20-Feb-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | APARTMENT<br>INVESTMENT<br>AND<br>MANAGEMENT<br>COMPANY | AIV  | USA | 03748R101 | Annual | 01-May-18 | 20-Feb-18 | Mar |
|  | 9712 |   | AIV  | USA | 03748R101 | Annual | 01-May-18 | 20-Feb-18 | Mar |



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|--|------|---|------|-----|-----------|--------|-----------|-----------|-----|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | APARTMENT<br>INVESTMENT<br>AND<br>MANAGEMENT<br>COMPANY | AIV  | USA | 03748R101 | Annual | 01-May-18 | 20-Feb-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | APARTMENT<br>INVESTMENT<br>AND<br>MANAGEMENT<br>COMPANY | AIV  | USA | 03748R101 | Annual | 01-May-18 | 20-Feb-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | APARTMENT<br>INVESTMENT<br>AND<br>MANAGEMENT<br>COMPANY | AIV  | USA | 03748R101 | Annual | 01-May-18 | 20-Feb-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | APARTMENT<br>INVESTMENT<br>AND<br>MANAGEMENT<br>COMPANY | AIV  | USA | 03748R101 | Annual | 01-May-18 | 20-Feb-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | APARTMENT<br>INVESTMENT<br>AND<br>MANAGEMENT<br>COMPANY | AIV  | USA | 03748R101 | Annual | 01-May-18 | 20-Feb-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | APARTMENT<br>INVESTMENT<br>AND<br>MANAGEMENT<br>COMPANY | AIV  | USA | 03748R101 | Annual | 01-May-18 | 20-Feb-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | APARTMENT<br>INVESTMENT<br>AND<br>MANAGEMENT<br>COMPANY | AIV  | USA | 03748R101 | Annual | 01-May-18 | 20-Feb-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | APARTMENT<br>INVESTMENT<br>AND<br>MANAGEMENT<br>COMPANY | AIV  | USA | 03748R101 | Annual | 01-May-18 | 20-Feb-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | APARTMENT<br>INVESTMENT<br>AND<br>MANAGEMENT<br>COMPANY | TRNO | USA | 88146M101 | Annual | 01-May-18 | 01-Mar-18 | Mar |

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|  |      |                                    |      |        |           |        |           |               |
|--|------|------------------------------------|------|--------|-----------|--------|-----------|---------------|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | Terreno Realty<br>Corporation      |      |        |           |        |           |               |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Terreno Realty<br>Corporation      | TRNO | USA    | 88146M101 | Annual | 01-May-18 | 01-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Terreno Realty<br>Corporation      | TRNO | USA    | 88146M101 | Annual | 01-May-18 | 01-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Terreno Realty<br>Corporation      | TRNO | USA    | 88146M101 | Annual | 01-May-18 | 01-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Terreno Realty<br>Corporation      | TRNO | USA    | 88146M101 | Annual | 01-May-18 | 01-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Terreno Realty<br>Corporation      | TRNO | USA    | 88146M101 | Annual | 01-May-18 | 01-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Terreno Realty<br>Corporation      | TRNO | USA    | 88146M101 | Annual | 01-May-18 | 01-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Atrium European<br>Real Estate Ltd | ATRS | Jersey | G0621C113 | Annual | 02-May-18 | 30-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Atrium European<br>Real Estate Ltd | ATRS | Jersey | G0621C113 | Annual | 02-May-18 | 30-Apr-18 Mar |
|  | 9712 |                                    | ATRS | Jersey | G0621C113 | Annual | 02-May-18 | 30-Apr-18 Mar |

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|  |      |                                    |      |        |           |        |           |               |
|--|------|------------------------------------|------|--------|-----------|--------|-----------|---------------|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | Atrium European<br>Real Estate Ltd |      |        |           |        |           |               |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Atrium European<br>Real Estate Ltd | ATRS | Jersey | G0621C113 | Annual | 02-May-18 | 30-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Atrium European<br>Real Estate Ltd | ATRS | Jersey | G0621C113 | Annual | 02-May-18 | 30-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Atrium European<br>Real Estate Ltd | ATRS | Jersey | G0621C113 | Annual | 02-May-18 | 30-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Atrium European<br>Real Estate Ltd | ATRS | Jersey | G0621C113 | Annual | 02-May-18 | 30-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Atrium European<br>Real Estate Ltd | ATRS | Jersey | G0621C113 | Annual | 02-May-18 | 30-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Atrium European<br>Real Estate Ltd | ATRS | Jersey | G0621C113 | Annual | 02-May-18 | 30-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Atrium European<br>Real Estate Ltd | ATRS | Jersey | G0621C113 | Annual | 02-May-18 | 30-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Atrium European<br>Real Estate Ltd | ATRS | Jersey | G0621C113 | Annual | 02-May-18 | 30-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Prologis, Inc.                     | PLD  | USA    | 74340W103 | Annual | 02-May-18 | 06-Mar-18 Mar |

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ALPS, Inc. -  
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Prologis, Inc.

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Prologis, Inc.

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ALPS, Inc. -  
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Prologis, Inc.

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Prologis, Inc.

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74340W103 Annual

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City Office REIT,  
Inc.

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USA

178587101 Annual

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City Office REIT,  
Inc.

CIO

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178587101 Annual

03-May-18 02-Mar-18 Mar

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City Office REIT,  
Inc.

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178587101 Annual

03-May-18 02-Mar-18 Mar

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City Office REIT,  
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178587101 Annual

03-May-18 02-Mar-18 Mar

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City Office REIT,  
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178587101 Annual

03-May-18 02-Mar-18 Mar

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City Office REIT,  
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03-May-18 02-Mar-18 Mar

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03-May-18 01-Mar-18 Mar

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|--|------|----------------------------|-----|-----|-----------|--------|-----------|---------------|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | Physicians Realty<br>Trust |     |     |           |        |           |               |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Physicians Realty<br>Trust | DOC | USA | 71943U104 | Annual | 03-May-18 | 01-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Physicians Realty<br>Trust | DOC | USA | 71943U104 | Annual | 03-May-18 | 01-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Physicians Realty<br>Trust | DOC | USA | 71943U104 | Annual | 03-May-18 | 01-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Physicians Realty<br>Trust | DOC | USA | 71943U104 | Annual | 03-May-18 | 01-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Physicians Realty<br>Trust | DOC | USA | 71943U104 | Annual | 03-May-18 | 01-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Physicians Realty<br>Trust | DOC | USA | 71943U104 | Annual | 03-May-18 | 01-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Physicians Realty<br>Trust | DOC | USA | 71943U104 | Annual | 03-May-18 | 01-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Physicians Realty<br>Trust | DOC | USA | 71943U104 | Annual | 03-May-18 | 01-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Physicians Realty<br>Trust | SHO | USA | 867892101 | Annual | 03-May-18 | 13-Mar-18 Mar |

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|  |      |                                   |      |     |           |        |           |               |
|--|------|-----------------------------------|------|-----|-----------|--------|-----------|---------------|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | Sunstone Hotel<br>Investors, Inc. |      |     |           |        |           |               |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sunstone Hotel<br>Investors, Inc. | SHO  | USA | 867892101 | Annual | 03-May-18 | 13-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sunstone Hotel<br>Investors, Inc. | SHO  | USA | 867892101 | Annual | 03-May-18 | 13-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sunstone Hotel<br>Investors, Inc. | SHO  | USA | 867892101 | Annual | 03-May-18 | 13-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sunstone Hotel<br>Investors, Inc. | SHO  | USA | 867892101 | Annual | 03-May-18 | 13-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sunstone Hotel<br>Investors, Inc. | SHO  | USA | 867892101 | Annual | 03-May-18 | 13-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sunstone Hotel<br>Investors, Inc. | SHO  | USA | 867892101 | Annual | 03-May-18 | 13-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sunstone Hotel<br>Investors, Inc. | SHO  | USA | 867892101 | Annual | 03-May-18 | 13-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sunstone Hotel<br>Investors, Inc. | SHO  | USA | 867892101 | Annual | 03-May-18 | 13-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Welltower Inc.                    | WELL | USA | 95040Q104 | Annual | 03-May-18 | 06-Mar-18 Mar |

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Welltower Inc.

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Welltower Inc.

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ALPS, Inc. -  
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9712

Welltower Inc.

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USA

95040Q104

Annual

03-May-18 06-Mar-18 Mar

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Welltower Inc.

WELL

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95040Q104

Annual

03-May-18 06-Mar-18 Mar

9712

Merlin Properties  
SOCIMI, SA

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E7390Z100

Annual

06-May-18 30-Apr-18 Mar

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Merlin Properties  
SOCIMI, SA

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Spain

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Annual

06-May-18 30-Apr-18 Mar

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Merlin Properties  
SOCIMI, SA

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Annual

06-May-18 30-Apr-18 Mar

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Merlin Properties  
SOCIMI, SA

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06-May-18 30-Apr-18 Mar

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Merlin Properties  
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Merlin Properties  
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06-May-18 30-Apr-18 Mar

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Merlin Properties  
SOCIMI, SA

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Annual

06-May-18 30-Apr-18 Mar

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Spain

E7390Z100

Annual

06-May-18 30-Apr-18 Mar

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ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

Merlin Properties  
SOCIMI, SA

ALPS, Inc. -  
Principal  
Real Estate  
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Merlin Properties  
SOCIMI, SA

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Annual

06-May-18 30-Apr-18 Mar

ALPS, Inc. -  
Principal  
Real Estate  
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Merlin Properties  
SOCIMI, SA

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Annual

06-May-18 30-Apr-18 Mar

ALPS, Inc. -  
Principal  
Real Estate  
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Merlin Properties  
SOCIMI, SA

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Annual

06-May-18 30-Apr-18 Mar

ALPS, Inc. -  
Principal  
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Merlin Properties  
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Annual

06-May-18 30-Apr-18 Mar

ALPS, Inc. -  
Principal  
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Merlin Properties  
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Annual

06-May-18 30-Apr-18 Mar

ALPS, Inc. -  
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Real Estate  
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Merlin Properties  
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Annual

06-May-18 30-Apr-18 Mar

ALPS, Inc. -  
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Merlin Properties  
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Annual

06-May-18 30-Apr-18 Mar

ALPS, Inc. -  
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Merlin Properties  
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Annual

06-May-18 30-Apr-18 Mar

ALPS, Inc. -  
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Annual

06-May-18 30-Apr-18 Mar

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Fund

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|--|------|---------------------------------|-----|-------|-----------|--------|-----------|-----------|-----|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Merlin Properties<br>SOCIMI, SA | MRL | Spain | E7390Z100 | Annual | 06-May-18 | 30-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Merlin Properties<br>SOCIMI, SA | MRL | Spain | E7390Z100 | Annual | 06-May-18 | 30-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Merlin Properties<br>SOCIMI, SA | MRL | Spain | E7390Z100 | Annual | 06-May-18 | 30-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Merlin Properties<br>SOCIMI, SA | MRL | Spain | E7390Z100 | Annual | 06-May-18 | 30-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Merlin Properties<br>SOCIMI, SA | MRL | Spain | E7390Z100 | Annual | 06-May-18 | 30-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Merlin Properties<br>SOCIMI, SA | MRL | Spain | E7390Z100 | Annual | 06-May-18 | 30-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Merlin Properties<br>SOCIMI, SA | MRL | Spain | E7390Z100 | Annual | 06-May-18 | 30-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Brixmor Property<br>Group Inc.  | BRX | USA   | 11120U105 | Annual | 08-May-18 | 05-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Brixmor Property<br>Group Inc.  | BRX | USA   | 11120U105 | Annual | 08-May-18 | 05-Mar-18 | Mar |
|  | 9712 |                                 | BRX | USA   | 11120U105 | Annual | 08-May-18 | 05-Mar-18 | Mar |

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|  |      |                                |     |     |           |        |           |               |
|--|------|--------------------------------|-----|-----|-----------|--------|-----------|---------------|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | Brixmor Property<br>Group Inc. |     |     |           |        |           |               |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Brixmor Property<br>Group Inc. | BRX | USA | 11120U105 | Annual | 08-May-18 | 05-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Brixmor Property<br>Group Inc. | BRX | USA | 11120U105 | Annual | 08-May-18 | 05-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Brixmor Property<br>Group Inc. | BRX | USA | 11120U105 | Annual | 08-May-18 | 05-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Brixmor Property<br>Group Inc. | BRX | USA | 11120U105 | Annual | 08-May-18 | 05-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Brixmor Property<br>Group Inc. | BRX | USA | 11120U105 | Annual | 08-May-18 | 05-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Brixmor Property<br>Group Inc. | BRX | USA | 11120U105 | Annual | 08-May-18 | 05-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Brixmor Property<br>Group Inc. | BRX | USA | 11120U105 | Annual | 08-May-18 | 05-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | DDR Corp.                      | DDR | USA | 23317H102 | Annual | 08-May-18 | 14-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | DDR Corp.                      | DDR | USA | 23317H102 | Annual | 08-May-18 | 14-Mar-18 Mar |
|  | 9712 | DDR Corp.                      | DDR | USA | 23317H102 | Annual | 08-May-18 | 14-Mar-18 Mar |

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ALPS, Inc. -  
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Real Estate  
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9712

DDR Corp.

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23317H102

Annual

08-May-18

14-Mar-18 Mar

9712

DDR Corp.

DDR

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23317H102

Annual

08-May-18

14-Mar-18 Mar

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DDR Corp.

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23317H102

Annual

08-May-18

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DDR Corp.

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DDR Corp.

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DDR Corp.

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9712

DDR Corp.

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23317H102

Annual

08-May-18

14-Mar-18 Mar

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ALPS, Inc. -  
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9712

Frasers Logistics  
and Industrial  
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Special

08-May-18

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Frasers Logistics  
and Industrial  
Trust

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Singapore

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Frasers Logistics  
and Industrial  
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Frasers Logistics  
and Industrial  
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Simon Property  
Group, Inc.

SPG

USA

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Annual

08-May-18

15-Mar-18 Mar

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Simon Property  
Group, Inc.

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Simon Property  
Group, Inc.

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Simon Property  
Group, Inc.

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Simon Property  
Group, Inc.

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SPG

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Annual

08-May-18

15-Mar-18 Mar

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|  |      |   |       |        |           |        |           |                |
|--|------|---|-------|--------|-----------|--------|-----------|----------------|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | Simon Property<br>Group, Inc.                     |       |        |           |        |           |                |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Simon Property<br>Group, Inc.                     | SPG   | USA    | 828806109 | Annual | 08-May-18 | 15-Mar-18 Mar  |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Simon Property<br>Group, Inc.                     | SPG   | USA    | 828806109 | Annual | 08-May-18 | 15-Mar-18 Mar  |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Simon Property<br>Group, Inc.                     | SPG   | USA    | 828806109 | Annual | 08-May-18 | 15-Mar-18 Mar  |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Simon Property<br>Group, Inc.                     | SPG   | USA    | 828806109 | Annual | 08-May-18 | 15-Mar-18 Mar  |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Simon Property<br>Group, Inc.                     | SPG   | USA    | 828806109 | Annual | 08-May-18 | 15-Mar-18 Mar  |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Simon Property<br>Group, Inc.                     | SPG   | USA    | 828806109 | Annual | 08-May-18 | 15-Mar-18 Mar  |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Simon Property<br>Group, Inc.                     | SPG   | USA    | 828806109 | Annual | 08-May-18 | 15-Mar-18 Shar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | WPT Industrial<br>Real Estate<br>Investment Trust | WIR.U | Canada | 92937G109 | Annual | 10-May-18 | 05-Apr-18 Mar  |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | WPT Industrial<br>Real Estate<br>Investment Trust | WIR.U | Canada | 92937G109 | Annual | 10-May-18 | 05-Apr-18 Mar  |
|  | 9712 |   | WIR.U | Canada | 92937G109 | Annual | 10-May-18 | 05-Apr-18 Mar  |

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|  |      |   |       |        |           |        |           |               |
|--|------|---|-------|--------|-----------|--------|-----------|---------------|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | WPT Industrial<br>Real Estate<br>Investment Trust |       |        |           |        |           |               |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | WPT Industrial<br>Real Estate<br>Investment Trust | WIR.U | Canada | 92937G109 | Annual | 10-May-18 | 05-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | WPT Industrial<br>Real Estate<br>Investment Trust | WIR.U | Canada | 92937G109 | Annual | 10-May-18 | 05-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | WPT Industrial<br>Real Estate<br>Investment Trust | WIR.U | Canada | 92937G109 | Annual | 10-May-18 | 05-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | WPT Industrial<br>Real Estate<br>Investment Trust | WIR.U | Canada | 92937G109 | Annual | 10-May-18 | 05-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | WPT Industrial<br>Real Estate<br>Investment Trust | WIR.U | Canada | 92937G109 | Annual | 10-May-18 | 05-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | CYS Investments,<br>Inc.                          | CYS   | USA    | 12673A108 | Annual | 11-May-18 | 15-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | CYS Investments,<br>Inc.                          | CYS   | USA    | 12673A108 | Annual | 11-May-18 | 15-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | CYS Investments,<br>Inc.                          | CYS   | USA    | 12673A108 | Annual | 11-May-18 | 15-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | CYS Investments,<br>Inc.                          | CYS   | USA    | 12673A108 | Annual | 11-May-18 | 15-Mar-18 Mar |
|  | 9712 |   | CYS   | USA    | 12673A108 | Annual | 11-May-18 | 15-Mar-18 Mar |



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|  |      |   |          |        |           |                |           |           |     |
|--|------|---|----------|--------|-----------|----------------|-----------|-----------|-----|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | CYS Investments,<br>Inc.                                  |          |        |           |                |           |           |     |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | CYS Investments,<br>Inc.                                  | CYS      | USA    | 12673A108 | Annual         | 11-May-18 | 15-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | CYS Investments,<br>Inc.                                  | CYS      | USA    | 12673A108 | Annual         | 11-May-18 | 15-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | CYS Investments,<br>Inc.                                  | CYS      | USA    | 12673A108 | Annual         | 11-May-18 | 15-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | CYS Investments,<br>Inc.                                  | CYS      | USA    | 12673A108 | Annual         | 11-May-18 | 15-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | TF<br>Administradora S.<br>de R.L. de C.V.<br>(TERRAFINA) | TERRA 13 | Mexico | P2R51T187 | Special        | 14-May-18 | 04-May-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | TF<br>Administradora S.<br>de R.L. de C.V.<br>(TERRAFINA) | TERRA 13 | Mexico | P2R51T187 | Special        | 14-May-18 | 04-May-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | TF<br>Administradora S.<br>de R.L. de C.V.<br>(TERRAFINA) | TERRA 13 | Mexico | P2R51T187 | Special        | 14-May-18 | 04-May-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | TF<br>Administradora S.<br>de R.L. de C.V.<br>(TERRAFINA) | TERRA 13 | Mexico | P2R51T187 | Special        | 14-May-18 | 04-May-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Altarea   | ALTA     | France | F0261X121 | Annual/Special | 15-May-18 | 10-May-18 | Mar |
|  | 9712 | Altarea   | ALTA     | France | F0261X121 | Annual/Special | 15-May-18 | 10-May-18 | Mar |

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Annual/Special

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|  |      |         |      |        |           |                |           |           |     |
|--|------|---------|------|--------|-----------|----------------|-----------|-----------|-----|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Altarea | ALTA | France | F0261X121 | Annual/Special | 15-May-18 | 10-May-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Altarea | ALTA | France | F0261X121 | Annual/Special | 15-May-18 | 10-May-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Altarea | ALTA | France | F0261X121 | Annual/Special | 15-May-18 | 10-May-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Altarea | ALTA | France | F0261X121 | Annual/Special | 15-May-18 | 10-May-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Altarea | ALTA | France | F0261X121 | Annual/Special | 15-May-18 | 10-May-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Altarea | ALTA | France | F0261X121 | Annual/Special | 15-May-18 | 10-May-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Altarea | ALTA | France | F0261X121 | Annual/Special | 15-May-18 | 10-May-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Altarea | ALTA | France | F0261X121 | Annual/Special | 15-May-18 | 10-May-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Altarea | ALTA | France | F0261X121 | Annual/Special | 15-May-18 | 10-May-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Altarea | ALTA | France | F0261X121 | Annual/Special | 15-May-18 | 10-May-18 | Mar |

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ALPS, Inc. -  
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ALPS, Inc. -  
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Annual/Special

15-May-18

10-May-18Mar

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Annual/Special

15-May-18

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ALPS, Inc. -  
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|  |      |                               |     |     |           |        |           |           |     |
|--|------|-------------------------------|-----|-----|-----------|--------|-----------|-----------|-----|
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Essex Property<br>Trust, Inc. | ESS | USA | 297178105 | Annual | 15-May-18 | 28-Feb-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Essex Property<br>Trust, Inc. | ESS | USA | 297178105 | Annual | 15-May-18 | 28-Feb-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | ESH Hospitality, Inc.         |     | USA | 30224P211 | Annual | 16-May-18 | 05-Apr-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | ESH Hospitality, Inc.         |     | USA | 30224P211 | Annual | 16-May-18 | 05-Apr-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | ESH Hospitality, Inc.         |     | USA | 30224P211 | Annual | 16-May-18 | 05-Apr-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | ESH Hospitality, Inc.         |     | USA | 30224P211 | Annual | 16-May-18 | 05-Apr-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | ESH Hospitality, Inc.         |     | USA | 30224P211 | Annual | 16-May-18 | 05-Apr-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | ESH Hospitality, Inc.         |     | USA | 30224P211 | Annual | 16-May-18 | 05-Apr-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | ESH Hospitality, Inc.         |     | USA | 30224P211 | Annual | 16-May-18 | 05-Apr-18 | Mar |

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|  |      |                                |      |     |           |        |                         |
|--|------|--------------------------------|------|-----|-----------|--------|-------------------------|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | ESH Hospitality, Inc.          |      | USA | 30224P211 | Annual | 16-May-18 05-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Extended Stay<br>America, Inc. | STAY | USA | 30224P200 | Annual | 16-May-18 05-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Extended Stay<br>America, Inc. | STAY | USA | 30224P200 | Annual | 16-May-18 05-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Extended Stay<br>America, Inc. | STAY | USA | 30224P200 | Annual | 16-May-18 05-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Extended Stay<br>America, Inc. | STAY | USA | 30224P200 | Annual | 16-May-18 05-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Extended Stay<br>America, Inc. | STAY | USA | 30224P200 | Annual | 16-May-18 05-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Extended Stay<br>America, Inc. | STAY | USA | 30224P200 | Annual | 16-May-18 05-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Extended Stay<br>America, Inc. | STAY | USA | 30224P200 | Annual | 16-May-18 05-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Extended Stay<br>America, Inc. | STAY | USA | 30224P200 | Annual | 16-May-18 05-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 |                                | CCI  | USA | 22822V101 | Annual | 17-May-18 23-Mar-18 Mar |

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|  |      |  |     |     |           |        |           |               |
|--|------|--|-----|-----|-----------|--------|-----------|---------------|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | Crown Castle<br>International<br>Corp. |     |     |           |        |           |               |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Crown Castle<br>International<br>Corp. | CCI | USA | 22822V101 | Annual | 17-May-18 | 23-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Crown Castle<br>International<br>Corp. | CCI | USA | 22822V101 | Annual | 17-May-18 | 23-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Crown Castle<br>International<br>Corp. | CCI | USA | 22822V101 | Annual | 17-May-18 | 23-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Crown Castle<br>International<br>Corp. | CCI | USA | 22822V101 | Annual | 17-May-18 | 23-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Crown Castle<br>International<br>Corp. | CCI | USA | 22822V101 | Annual | 17-May-18 | 23-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Crown Castle<br>International<br>Corp. | CCI | USA | 22822V101 | Annual | 17-May-18 | 23-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Crown Castle<br>International<br>Corp. | CCI | USA | 22822V101 | Annual | 17-May-18 | 23-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Crown Castle<br>International<br>Corp. | CCI | USA | 22822V101 | Annual | 17-May-18 | 23-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Crown Castle<br>International<br>Corp. | CCI | USA | 22822V101 | Annual | 17-May-18 | 23-Mar-18 Mar |



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|  |      |  |     |     |           |        |           |               |
|--|------|--|-----|-----|-----------|--------|-----------|---------------|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | Crown Castle<br>International<br>Corp. |     |     |           |        |           |               |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Crown Castle<br>International<br>Corp. | CCI | USA | 22822V101 | Annual | 17-May-18 | 23-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Crown Castle<br>International<br>Corp. | CCI | USA | 22822V101 | Annual | 17-May-18 | 23-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Crown Castle<br>International<br>Corp. | CCI | USA | 22822V101 | Annual | 17-May-18 | 23-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | INDEPENDENCE<br>REALTY<br>TRUST, INC.  | IRT | USA | 45378A106 | Annual | 17-May-18 | 30-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | INDEPENDENCE<br>REALTY<br>TRUST, INC.  | IRT | USA | 45378A106 | Annual | 17-May-18 | 30-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | INDEPENDENCE<br>REALTY<br>TRUST, INC.  | IRT | USA | 45378A106 | Annual | 17-May-18 | 30-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | INDEPENDENCE<br>REALTY<br>TRUST, INC.  | IRT | USA | 45378A106 | Annual | 17-May-18 | 30-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | INDEPENDENCE<br>REALTY<br>TRUST, INC.  | IRT | USA | 45378A106 | Annual | 17-May-18 | 30-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | INDEPENDENCE<br>REALTY<br>TRUST, INC.  | IRT | USA | 45378A106 | Annual | 17-May-18 | 30-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | INDEPENDENCE<br>REALTY<br>TRUST, INC.  | IRT | USA | 45378A106 | Annual | 17-May-18 | 30-Mar-18 Mar |

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|  |      |                                       |     |         |           |        |           |           |
|--|------|---------------------------------------|-----|---------|-----------|--------|-----------|-----------|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | INDEPENDENCE<br>REALTY<br>TRUST, INC. |     |         |           |        |           |           |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | INDEPENDENCE<br>REALTY<br>TRUST, INC. | IRT | USA     | 45378A106 | Annual | 17-May-18 | 30-Mar-18 |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | LEG Immobilien<br>AG                  | LEG | Germany | D4960A103 | Annual | 17-May-18 | Mar       |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | LEG Immobilien<br>AG                  | LEG | Germany | D4960A103 | Annual | 17-May-18 | Mar       |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | LEG Immobilien<br>AG                  | LEG | Germany | D4960A103 | Annual | 17-May-18 | Mar       |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | LEG Immobilien<br>AG                  | LEG | Germany | D4960A103 | Annual | 17-May-18 | Mar       |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | LEG Immobilien<br>AG                  | LEG | Germany | D4960A103 | Annual | 17-May-18 | Mar       |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | LEG Immobilien<br>AG                  | LEG | Germany | D4960A103 | Annual | 17-May-18 | Mar       |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | LEG Immobilien<br>AG                  | LEG | Germany | D4960A103 | Annual | 17-May-18 | Mar       |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | LEG Immobilien<br>AG                  | LEG | Germany | D4960A103 | Annual | 17-May-18 | Mar       |

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ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

LEG Immobilien  
AG

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

9712

LEG Immobilien  
AG

LEG

Germany

D4960A103 Annual

17-May-18

Mar

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

9712

LEG Immobilien  
AG

LEG

Germany

D4960A103 Annual

17-May-18

Mar

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

9712

LEG Immobilien  
AG

LEG

Germany

D4960A103 Annual

17-May-18

Mar

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

9712

LEG Immobilien  
AG

LEG

Germany

D4960A103 Annual

17-May-18

Mar

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

9712

LEG Immobilien  
AG

LEG

Germany

D4960A103 Annual

17-May-18

Mar

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

9712

LEG Immobilien  
AG

LEG

Germany

D4960A103 Annual

17-May-18

Mar

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

9712

Liberty Property  
Trust

LPT

USA

531172104 Annual

17-May-18 20-Feb-18 Mar

ALPS, Inc. -  
Principal  
Real Estate

9712

Liberty Property  
Trust

LPT

USA

531172104 Annual

17-May-18 20-Feb-18 Mar



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|  |      |                          |     |     |           |        |           |           |     |
|--|------|--------------------------|-----|-----|-----------|--------|-----------|-----------|-----|
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sun Communities,<br>Inc. | SUI | USA | 866674104 | Annual | 17-May-18 | 12-Mar-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sun Communities,<br>Inc. | SUI | USA | 866674104 | Annual | 17-May-18 | 12-Mar-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sun Communities,<br>Inc. | SUI | USA | 866674104 | Annual | 17-May-18 | 12-Mar-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sun Communities,<br>Inc. | SUI | USA | 866674104 | Annual | 17-May-18 | 12-Mar-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sun Communities,<br>Inc. | SUI | USA | 866674104 | Annual | 17-May-18 | 12-Mar-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sun Communities,<br>Inc. | SUI | USA | 866674104 | Annual | 17-May-18 | 12-Mar-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sun Communities,<br>Inc. | SUI | USA | 866674104 | Annual | 17-May-18 | 12-Mar-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sun Communities,<br>Inc. | SUI | USA | 866674104 | Annual | 17-May-18 | 12-Mar-18 | Mar |
| Fund<br>ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sun Communities,<br>Inc. | SUI | USA | 866674104 | Annual | 17-May-18 | 12-Mar-18 | Mar |

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|  |      |   |     |     |           |        |           |           |     |
|--|------|---|-----|-----|-----------|--------|-----------|-----------|-----|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Tanger Factory<br>Outlet Centers,<br>Inc. | SKT | USA | 875465106 | Annual | 18-May-18 | 21-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Tanger Factory<br>Outlet Centers,<br>Inc. | SKT | USA | 875465106 | Annual | 18-May-18 | 21-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Tanger Factory<br>Outlet Centers,<br>Inc. | SKT | USA | 875465106 | Annual | 18-May-18 | 21-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Tanger Factory<br>Outlet Centers,<br>Inc. | SKT | USA | 875465106 | Annual | 18-May-18 | 21-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Tanger Factory<br>Outlet Centers,<br>Inc. | SKT | USA | 875465106 | Annual | 18-May-18 | 21-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Tanger Factory<br>Outlet Centers,<br>Inc. | SKT | USA | 875465106 | Annual | 18-May-18 | 21-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Tanger Factory<br>Outlet Centers,<br>Inc. | SKT | USA | 875465106 | Annual | 18-May-18 | 21-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Tanger Factory<br>Outlet Centers,<br>Inc. | SKT | USA | 875465106 | Annual | 18-May-18 | 21-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Tanger Factory<br>Outlet Centers,<br>Inc. | SKT | USA | 875465106 | Annual | 18-May-18 | 21-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Tanger Factory<br>Outlet Centers,<br>Inc. | ARE | USA | 015271109 | Annual | 22-May-18 | 29-Mar-18 | Mar |

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|  |      |   |     |     |           |        |           |               |
|--|------|---|-----|-----|-----------|--------|-----------|---------------|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | Alexandria Real<br>Estate Equities,<br>Inc. |     |     |           |        |           |               |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Alexandria Real<br>Estate Equities,<br>Inc. | ARE | USA | 015271109 | Annual | 22-May-18 | 29-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Alexandria Real<br>Estate Equities,<br>Inc. | ARE | USA | 015271109 | Annual | 22-May-18 | 29-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Alexandria Real<br>Estate Equities,<br>Inc. | ARE | USA | 015271109 | Annual | 22-May-18 | 29-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Alexandria Real<br>Estate Equities,<br>Inc. | ARE | USA | 015271109 | Annual | 22-May-18 | 29-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Alexandria Real<br>Estate Equities,<br>Inc. | ARE | USA | 015271109 | Annual | 22-May-18 | 29-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Alexandria Real<br>Estate Equities,<br>Inc. | ARE | USA | 015271109 | Annual | 22-May-18 | 29-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Alexandria Real<br>Estate Equities,<br>Inc. | ARE | USA | 015271109 | Annual | 22-May-18 | 29-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Alexandria Real<br>Estate Equities,<br>Inc. | ARE | USA | 015271109 | Annual | 22-May-18 | 29-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Alexandria Real<br>Estate Equities,<br>Inc. | ARE | USA | 015271109 | Annual | 22-May-18 | 29-Mar-18 Mar |

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|  |      |   |      |     |           |        |           |               |
|--|------|---|------|-----|-----------|--------|-----------|---------------|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | Alexandria Real<br>Estate Equities,<br>Inc. |      |     |           |        |           |               |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Kilroy Realty<br>Corporation                | KRC  | USA | 49427F108 | Annual | 23-May-18 | 15-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Kilroy Realty<br>Corporation                | KRC  | USA | 49427F108 | Annual | 23-May-18 | 15-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Kilroy Realty<br>Corporation                | KRC  | USA | 49427F108 | Annual | 23-May-18 | 15-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Kilroy Realty<br>Corporation                | KRC  | USA | 49427F108 | Annual | 23-May-18 | 15-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Kilroy Realty<br>Corporation                | KRC  | USA | 49427F108 | Annual | 23-May-18 | 15-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Kilroy Realty<br>Corporation                | KRC  | USA | 49427F108 | Annual | 23-May-18 | 15-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Kilroy Realty<br>Corporation                | KRC  | USA | 49427F108 | Annual | 23-May-18 | 15-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Invitation Homes<br>Inc.                    | INVH | USA | 46187W107 | Annual | 24-May-18 | 03-Apr-18 Mar |
|  | 9712 |   | INVH | USA | 46187W107 | Annual | 24-May-18 | 03-Apr-18 Mar |



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|  |      |                          |      |     |           |        |                         |
|--|------|--------------------------|------|-----|-----------|--------|-------------------------|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | Invitation Homes<br>Inc. |      |     |           |        |                         |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Invitation Homes<br>Inc. | INVH | USA | 46187W107 | Annual | 24-May-18 03-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Invitation Homes<br>Inc. | INVH | USA | 46187W107 | Annual | 24-May-18 03-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Invitation Homes<br>Inc. | INVH | USA | 46187W107 | Annual | 24-May-18 03-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Invitation Homes<br>Inc. | INVH | USA | 46187W107 | Annual | 24-May-18 03-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Invitation Homes<br>Inc. | INVH | USA | 46187W107 | Annual | 24-May-18 03-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Invitation Homes<br>Inc. | INVH | USA | 46187W107 | Annual | 24-May-18 03-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Invitation Homes<br>Inc. | INVH | USA | 46187W107 | Annual | 24-May-18 03-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Invitation Homes<br>Inc. | INVH | USA | 46187W107 | Annual | 24-May-18 03-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Invitation Homes<br>Inc. | INVH | USA | 46187W107 | Annual | 24-May-18 03-Apr-18 Mar |

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|  |      |                          |     |         |           |        |           |              |
|--|------|--------------------------|-----|---------|-----------|--------|-----------|--------------|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | Invitation Homes<br>Inc. |     |         |           |        |           |              |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | TLG Immobilien<br>AG     | TLG | Germany | D8T622108 | Annual | 25-May-18 | 03-May-18Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | TLG Immobilien<br>AG     | TLG | Germany | D8T622108 | Annual | 25-May-18 | 03-May-18Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | TLG Immobilien<br>AG     | TLG | Germany | D8T622108 | Annual | 25-May-18 | 03-May-18Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | TLG Immobilien<br>AG     | TLG | Germany | D8T622108 | Annual | 25-May-18 | 03-May-18Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | TLG Immobilien<br>AG     | TLG | Germany | D8T622108 | Annual | 25-May-18 | 03-May-18Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | TLG Immobilien<br>AG     | TLG | Germany | D8T622108 | Annual | 25-May-18 | 03-May-18Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | TLG Immobilien<br>AG     | TLG | Germany | D8T622108 | Annual | 25-May-18 | 03-May-18Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | TLG Immobilien<br>AG     | TLG | Germany | D8T622108 | Annual | 25-May-18 | 03-May-18Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | TLG Immobilien<br>AG     | TLG | Germany | D8T622108 | Annual | 25-May-18 | 03-May-18Mar |

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|  |      |   |      |         |           |        |           |              |
|--|------|---|------|---------|-----------|--------|-----------|--------------|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | TLG Immobilien<br>AG                        |      |         |           |        |           |              |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Irish Residential<br>Properties REIT<br>plc | IRES | Ireland | G49456109 | Annual | 29-May-18 | 27-May-18Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Irish Residential<br>Properties REIT<br>plc | IRES | Ireland | G49456109 | Annual | 29-May-18 | 27-May-18Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Irish Residential<br>Properties REIT<br>plc | IRES | Ireland | G49456109 | Annual | 29-May-18 | 27-May-18Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Irish Residential<br>Properties REIT<br>plc | IRES | Ireland | G49456109 | Annual | 29-May-18 | 27-May-18Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Irish Residential<br>Properties REIT<br>plc | IRES | Ireland | G49456109 | Annual | 29-May-18 | 27-May-18Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Irish Residential<br>Properties REIT<br>plc | IRES | Ireland | G49456109 | Annual | 29-May-18 | 27-May-18Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Irish Residential<br>Properties REIT<br>plc | IRES | Ireland | G49456109 | Annual | 29-May-18 | 27-May-18Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Irish Residential<br>Properties REIT<br>plc | IRES | Ireland | G49456109 | Annual | 29-May-18 | 27-May-18Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Irish Residential<br>Properties REIT<br>plc | IRES | Ireland | G49456109 | Annual | 29-May-18 | 27-May-18Mar |

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|  |      |   |      |         |           |         |           |               |
|--|------|---|------|---------|-----------|---------|-----------|---------------|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | Irish Residential<br>Properties REIT<br>plc |      |         |           |         |           |               |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Irish Residential<br>Properties REIT<br>plc | IRES | Ireland | G49456109 | Annual  | 29-May-18 | 27-May-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Irish Residential<br>Properties REIT<br>plc | IRES | Ireland | G49456109 | Annual  | 29-May-18 | 27-May-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Irish Residential<br>Properties REIT<br>plc | IRES | Ireland | G49456109 | Annual  | 29-May-18 | 27-May-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Irish Residential<br>Properties REIT<br>plc | IRES | Ireland | G49456109 | Annual  | 29-May-18 | 27-May-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Irish Residential<br>Properties REIT<br>plc | IRES | Ireland | G49456109 | Annual  | 29-May-18 | 27-May-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Irish Residential<br>Properties REIT<br>plc | IRES | Ireland | G49456109 | Annual  | 29-May-18 | 27-May-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Japan Rental<br>Housing<br>Investments Inc. | 8986 | Japan   | J2753A102 | Special | 29-May-18 | 31-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Japan Rental<br>Housing<br>Investments Inc. | 8986 | Japan   | J2753A102 | Special | 29-May-18 | 31-Mar-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Japan Rental<br>Housing<br>Investments Inc. | 8986 | Japan   | J2753A102 | Special | 29-May-18 | 31-Mar-18 Mar |

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|  |      |   |      |                   |           |         |           |           |     |
|--|------|---|------|-------------------|-----------|---------|-----------|-----------|-----|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Japan Rental<br>Housing<br>Investments Inc. | 8986 | Japan             | J2753A102 | Special | 29-May-18 | 31-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Japan Rental<br>Housing<br>Investments Inc. | 8986 | Japan             | J2753A102 | Special | 29-May-18 | 31-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | SOHO China Ltd.                             | 410  | Cayman<br>Islands | G82600100 | Annual  | 29-May-18 | 23-May-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | SOHO China Ltd.                             | 410  | Cayman<br>Islands | G82600100 | Annual  | 29-May-18 | 23-May-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | SOHO China Ltd.                             | 410  | Cayman<br>Islands | G82600100 | Annual  | 29-May-18 | 23-May-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | SOHO China Ltd.                             | 410  | Cayman<br>Islands | G82600100 | Annual  | 29-May-18 | 23-May-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | SOHO China Ltd.                             | 410  | Cayman<br>Islands | G82600100 | Annual  | 29-May-18 | 23-May-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | SOHO China Ltd.                             | 410  | Cayman<br>Islands | G82600100 | Annual  | 29-May-18 | 23-May-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | SOHO China Ltd.                             | 410  | Cayman<br>Islands | G82600100 | Annual  | 29-May-18 | 23-May-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | CubeSmart                                   | CUBE | USA               | 229663109 | Annual  | 30-May-18 | 15-Mar-18 | Mar |

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ALPS, Inc. -  
Principal  
Real Estate  
Income  
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ALPS, Inc. -  
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9712

CubeSmart

CUBE

USA

229663109

Annual

30-May-18

15-Mar-18 Mar

9712

CubeSmart

CUBE

USA

229663109

Annual

30-May-18

15-Mar-18 Mar

9712

CubeSmart

CUBE

USA

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Annual

30-May-18

15-Mar-18 Mar

9712

STOR

USA

862121100

Annual

31-May-18

02-Apr-18 Mar

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|  |      |                              |      |     |           |        |           |               |
|--|------|------------------------------|------|-----|-----------|--------|-----------|---------------|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | STORE Capital<br>Corporation |      |     |           |        |           |               |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | STORE Capital<br>Corporation | STOR | USA | 862121100 | Annual | 31-May-18 | 02-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | STORE Capital<br>Corporation | STOR | USA | 862121100 | Annual | 31-May-18 | 02-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | STORE Capital<br>Corporation | STOR | USA | 862121100 | Annual | 31-May-18 | 02-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | STORE Capital<br>Corporation | STOR | USA | 862121100 | Annual | 31-May-18 | 02-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | STORE Capital<br>Corporation | STOR | USA | 862121100 | Annual | 31-May-18 | 02-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | STORE Capital<br>Corporation | STOR | USA | 862121100 | Annual | 31-May-18 | 02-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | STORE Capital<br>Corporation | STOR | USA | 862121100 | Annual | 31-May-18 | 02-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | STORE Capital<br>Corporation | STOR | USA | 862121100 | Annual | 31-May-18 | 02-Apr-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | STORE Capital<br>Corporation | STOR | USA | 862121100 | Annual | 31-May-18 | 02-Apr-18 Mar |

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|  |      |                              |      |     |           |               |           |           |      |
|--|------|------------------------------|------|-----|-----------|---------------|-----------|-----------|------|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | STORE Capital<br>Corporation |      |     |           |               |           |           |      |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | STORE Capital<br>Corporation | STOR | USA | 862121100 | Annual        | 31-May-18 | 02-Apr-18 | Mar  |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Taubman Centers,<br>Inc.     | TCO  | USA | 876664103 | Proxy Contest | 31-May-18 | 02-Apr-18 | Mar  |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Taubman Centers,<br>Inc.     | TCO  | USA | 876664103 | Proxy Contest | 31-May-18 | 02-Apr-18 | Mar  |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Taubman Centers,<br>Inc.     | TCO  | USA | 876664103 | Proxy Contest | 31-May-18 | 02-Apr-18 | Mar  |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Taubman Centers,<br>Inc.     | TCO  | USA | 876664103 | Proxy Contest | 31-May-18 | 02-Apr-18 | Mar  |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Taubman Centers,<br>Inc.     | TCO  | USA | 876664103 | Proxy Contest | 31-May-18 | 02-Apr-18 | Mar  |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Taubman Centers,<br>Inc.     | TCO  | USA | 876664103 | Proxy Contest | 31-May-18 | 02-Apr-18 | Mar  |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Taubman Centers,<br>Inc.     | TCO  | USA | 876664103 | Proxy Contest | 31-May-18 | 02-Apr-18 | Shar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Taubman Centers,<br>Inc.     | TCO  | USA | 876664103 | Proxy Contest | 31-May-18 | 02-Apr-18 | Shar |
|  | 9712 |                              | TCO  | USA | 876664103 | Proxy Contest | 31-May-18 | 02-Apr-18 | Shar |



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|--|------|--------------------------|-----|-----|-----------|---------------|-----------|-----------|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | Taubman Centers,<br>Inc. |     |     |           |               |           |           |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Taubman Centers,<br>Inc. | TCO | USA | 876664103 | Proxy Contest | 31-May-18 | 02-Apr-18 |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Taubman Centers,<br>Inc. | TCO | USA | 876664103 | Proxy Contest | 31-May-18 | 02-Apr-18 |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Taubman Centers,<br>Inc. | TCO | USA | 876664103 | Proxy Contest | 31-May-18 | 02-Apr-18 |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Taubman Centers,<br>Inc. | TCO | USA | 876664103 | Proxy Contest | 31-May-18 | 02-Apr-18 |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Taubman Centers,<br>Inc. | TCO | USA | 876664103 | Proxy Contest | 31-May-18 | 02-Apr-18 |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | EPR Properties           | EPR | USA | 26884U109 | Annual        | 01-Jun-18 | 09-Mar-18 |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | EPR Properties           | EPR | USA | 26884U109 | Annual        | 01-Jun-18 | 09-Mar-18 |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | EPR Properties           | EPR | USA | 26884U109 | Annual        | 01-Jun-18 | 09-Mar-18 |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | EPR Properties           | EPR | USA | 26884U109 | Annual        | 01-Jun-18 | 09-Mar-18 |

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ALPS, Inc. -  
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9712

Equinix, Inc.

EQIX

USA

29444U700

Annual

07-Jun-18

13-Apr-18 Mar

9712

Equinix, Inc.

EQIX

USA

29444U700

Annual

07-Jun-18

13-Apr-18 Mar

9712

Equinix, Inc.

EQIX

USA

29444U700

Annual

07-Jun-18

13-Apr-18 Mar

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Equinix, Inc.

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07-Jun-18

13-Apr-18 Mar

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13-Apr-18 Mar

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13-Apr-18 Mar

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13-Apr-18 Mar

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13-Apr-18 Mar

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Equinix, Inc.

EQIX

USA

29444U700

Annual

07-Jun-18

13-Apr-18 Mar

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ALPS, Inc. -  
Principal  
Real Estate  
Income  
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Real Estate  
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9712

Equinix, Inc.

EQIX

USA

29444U700

Annual

07-Jun-18

13-Apr-18 Shar

9712

Gramercy  
Property Trust

GPT

USA

385002308

Annual

12-Jun-18

16-Apr-18 Mar

9712

Gramercy  
Property Trust

GPT

USA

385002308

Annual

12-Jun-18

16-Apr-18 Mar

9712

Gramercy  
Property Trust

GPT

USA

385002308

Annual

12-Jun-18

16-Apr-18 Mar

9712

Gramercy  
Property Trust

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Annual

12-Jun-18

16-Apr-18 Mar

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Gramercy  
Property Trust

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Gramercy  
Property Trust

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Annual

12-Jun-18

16-Apr-18 Mar

9712

GPT

USA

385002308

Annual

12-Jun-18

16-Apr-18 Mar



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ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

Deutsche Wohnen  
SE

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

9712

Deutsche Wohnen  
SE

DWNI

Germany

D2046U176 Annual

15-Jun-18

24-May-18 Mar

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

9712

Deutsche Wohnen  
SE

DWNI

Germany

D2046U176 Annual

15-Jun-18

24-May-18 Mar

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

9712

Kenedix Retail  
REIT Corp.

3453

Japan

J3243R101 Special

15-Jun-18

31-Mar-18 Mar

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

9712

Kenedix Retail  
REIT Corp.

3453

Japan

J3243R101 Special

15-Jun-18

31-Mar-18 Mar

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

9712

Kenedix Retail  
REIT Corp.

3453

Japan

J3243R101 Special

15-Jun-18

31-Mar-18 Mar

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

9712

Kenedix Retail  
REIT Corp.

3453

Japan

J3243R101 Special

15-Jun-18

31-Mar-18 Mar

ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

9712

Kenedix Retail  
REIT Corp.

3453

Japan

J3243R101 Special

15-Jun-18

31-Mar-18 Mar

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|  |      |                                 |      |            |           |        |           |           |     |
|--|------|---------------------------------|------|------------|-----------|--------|-----------|-----------|-----|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | Kenedix Retail<br>REIT Corp.    |      |            |           |        |           |           |     |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | ADO Properties<br>S.A.          | ADJ  | Luxembourg | L0120V103 | Annual | 19-Jun-18 | 05-Jun-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | ADO Properties<br>S.A.          | ADJ  | Luxembourg | L0120V103 | Annual | 19-Jun-18 | 05-Jun-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | ADO Properties<br>S.A.          | ADJ  | Luxembourg | L0120V103 | Annual | 19-Jun-18 | 05-Jun-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | ADO Properties<br>S.A.          | ADJ  | Luxembourg | L0120V103 | Annual | 19-Jun-18 | 05-Jun-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | ADO Properties<br>S.A.          | ADJ  | Luxembourg | L0120V103 | Annual | 19-Jun-18 | 05-Jun-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | ADO Properties<br>S.A.          | ADJ  | Luxembourg | L0120V103 | Annual | 19-Jun-18 | 05-Jun-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sabra Health Care<br>REIT, Inc. | SBRA | USA        | 78573L106 | Annual | 21-Jun-18 | 16-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sabra Health Care<br>REIT, Inc. | SBRA | USA        | 78573L106 | Annual | 21-Jun-18 | 16-Apr-18 | Mar |
|  | 9712 |                                 | SBRA | USA        | 78573L106 | Annual | 21-Jun-18 | 16-Apr-18 | Mar |

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|  |      |                                 |      |     |           |        |           |           |     |
|--|------|---------------------------------|------|-----|-----------|--------|-----------|-----------|-----|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | Sabra Health Care<br>REIT, Inc. |      |     |           |        |           |           |     |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sabra Health Care<br>REIT, Inc. | SBRA | USA | 78573L106 | Annual | 21-Jun-18 | 16-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sabra Health Care<br>REIT, Inc. | SBRA | USA | 78573L106 | Annual | 21-Jun-18 | 16-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sabra Health Care<br>REIT, Inc. | SBRA | USA | 78573L106 | Annual | 21-Jun-18 | 16-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sabra Health Care<br>REIT, Inc. | SBRA | USA | 78573L106 | Annual | 21-Jun-18 | 16-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sabra Health Care<br>REIT, Inc. | SBRA | USA | 78573L106 | Annual | 21-Jun-18 | 16-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sabra Health Care<br>REIT, Inc. | SBRA | USA | 78573L106 | Annual | 21-Jun-18 | 16-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Sabra Health Care<br>REIT, Inc. | SBRA | USA | 78573L106 | Annual | 21-Jun-18 | 16-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Spirit Realty<br>Capital, Inc.  | SRC  | USA | 84860W102 | Annual | 27-Jun-18 | 20-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Spirit Realty<br>Capital, Inc.  | SRC  | USA | 84860W102 | Annual | 27-Jun-18 | 20-Apr-18 | Mar |
|  | 9712 |                                 | SRC  | USA | 84860W102 | Annual | 27-Jun-18 | 20-Apr-18 | Mar |

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|  |      |                                |      |             |           |        |           |           |     |
|--|------|--------------------------------|------|-------------|-----------|--------|-----------|-----------|-----|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | Spirit Realty<br>Capital, Inc. |      |             |           |        |           |           |     |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Spirit Realty<br>Capital, Inc. | SRC  | USA         | 84860W102 | Annual | 27-Jun-18 | 20-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Spirit Realty<br>Capital, Inc. | SRC  | USA         | 84860W102 | Annual | 27-Jun-18 | 20-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Spirit Realty<br>Capital, Inc. | SRC  | USA         | 84860W102 | Annual | 27-Jun-18 | 20-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Spirit Realty<br>Capital, Inc. | SRC  | USA         | 84860W102 | Annual | 27-Jun-18 | 20-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Spirit Realty<br>Capital, Inc. | SRC  | USA         | 84860W102 | Annual | 27-Jun-18 | 20-Apr-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Mitsui Fudosan<br>Co. Ltd.     | 8801 | Japan       | J4509L101 | Annual | 28-Jun-18 | 31-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Mitsui Fudosan<br>Co. Ltd.     | 8801 | Japan       | J4509L101 | Annual | 28-Jun-18 | 31-Mar-18 | Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | Mitsui Fudosan<br>Co. Ltd.     | 8801 | Japan       | J4509L101 | Annual | 28-Jun-18 | 31-Mar-18 | Mar |
|  | 9712 |                                | INXN | Netherlands | N47279109 | Annual | 29-Jun-18 | 01-Jun-18 | Mar |



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|  |      |                         |      |             |           |        |           |               |
|--|------|-------------------------|------|-------------|-----------|--------|-----------|---------------|
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund |      | InterXion Holding<br>NV |      |             |           |        |           |               |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | InterXion Holding<br>NV | INXN | Netherlands | N47279109 | Annual | 29-Jun-18 | 01-Jun-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | InterXion Holding<br>NV | INXN | Netherlands | N47279109 | Annual | 29-Jun-18 | 01-Jun-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | InterXion Holding<br>NV | INXN | Netherlands | N47279109 | Annual | 29-Jun-18 | 01-Jun-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | InterXion Holding<br>NV | INXN | Netherlands | N47279109 | Annual | 29-Jun-18 | 01-Jun-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | InterXion Holding<br>NV | INXN | Netherlands | N47279109 | Annual | 29-Jun-18 | 01-Jun-18 Mar |
| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | InterXion Holding<br>NV | INXN | Netherlands | N47279109 | Annual | 29-Jun-18 | 01-Jun-18 Mar |
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| ALPS, Inc. -<br>Principal<br>Real Estate<br>Income<br>Fund | 9712 | InterXion Holding<br>NV | INXN | Netherlands | N47279109 | Annual | 29-Jun-18 | 01-Jun-18 Mar |

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ALPS, Inc. -  
Principal  
Real Estate  
Income  
Fund

InterXion Holding  
NV

ALPS, Inc. -  
Principal  
Real Estate 9712  
Income  
Fund

InterXion Holding INXN  
NV

Netherlands N47279109 Annual

29-Jun-18 01-Jun-18 Mar

**SIGNATURE**

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

**Principal Real Estate  
Income Fund**

By: /s/ Jeremy Held  
**Jeremy Held**  
**President**

Date: August 29, 2018