Duke Energy CORP Form 3 April 13, 2006

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Duke Energy CORP [DUK] SCHAPIRO MARY L (Month/Day/Year) 04/03/2006 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 526 S. CHURCH STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner \_X\_ Director \_X\_ Form filed by One Reporting Officer \_ Other Person CHARLOTTE, NCÂ 28202 (give title below) (specify below) Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 3,658.8302 (1) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of

currently valid OMB control number.

information contained in this form are not required to respond unless the form displays a

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	Ownership
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Duke Energy CORP - Form 3

				Shares		(I) (Instr. 5)	
Phantom Stock	(2)	(2)	Common Stock	16,582.017 (1)	\$ (3)	D	Â
Stock Option (Right to buy)	(4)	01/01/2009	Common Stock	19,500 (1)	\$ 21.875	D	Â
Stock Option (Right to buy)	(5)	12/14/2009	Common Stock	15,600 (1)	\$ 15.264	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
<b>FS</b>	Director	10% Owner	Officer	Other	
SCHAPIRO MARY L 526 S. CHURCH STREET CHARLOTTE, NC 28202	ÂX	Â	Â	Â	

## **Signatures**

By: David S. Maltz, attorney-in-fact 04/13/2006

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On April 3, 2006, Cinergy Corp. ('Issuer') merged into a wholly-owned subsidiary of Duke Energy Holding Corp. (now known as Duke Energy Corporation) ('Duke Energy'). In connection with the merger, each share of Issuer's common stock was converted into the right to receive 1.56 shares of Duke Energy's common stock and each stock option of Issuer was converted by multplying the number of Issuer shares previously covered by the option by 1.56 and dividing the prior exercise price of the option by 1.56.
- (2) Generally payable upon reporting person's termination of service.
- (3) The derivative security converts into common stock on a one-for-one basis and will be settled in stock.
- (4) The reporting person was granted 12,500 stock options on January 1, 1999. The options became exercisable in five equal annual installments.
- (5) The reporting person was granted 10,000 stock options on December 14, 1999. The options became exercisable in five equal annual installments

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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