Duke Energy CORP Form 3 April 13, 2006

#### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

Estimated average burden hours per

response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

Common Stock (1)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Duke Energy CORP [DUK] Trent Keith (Month/Day/Year) 04/03/2006 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 526 S. CHURCH STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) CHARLOTTE, NCÂ 28202 Form filed by More than One Grp Exec & Chief Dvlmpt Offr Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) By Trustee, Retirement Savings

3,838

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

I

Plan

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Title	Security	Direct (D)	

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
LTIP Phantom Stock Grant Feb 2004 (1)	(2)	(3)	Common Stock	2,412	\$ <u>(4)</u>	D	Â
LTIP Phantom Stock Grant Feb 2005 (1)	(2)	(3)	Common Stock	6,968	\$ (4)	D	Â
Phantom Stock ESP I (1)	(5)	(3)	Common Stock	1,642	\$ <u>(4)</u>	I	By Trustee, Executive Savings Plan I
Phantom Stock ESP II (1)	(6)	(3)	Common Stock	4,250	\$ <u>(4)</u>	I	By Trustee, Executive Savings Plan II
Stock Option (Right to Buy) (1)	(7)	07/01/2012	Common Stock	12,700	\$ 31.1	D	Â
Stock Option (Right to Buy) (1)	(8)	02/25/2013	Common Stock	13,200	\$ 13.77	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Trent Keith 526 S. CHURCH STREET CHARLOTTE, NC 28202	Â	Â	Grp Exec & Chief Dvlmpt Offr	Â	

## **Signatures**

By: Judy Z. Mayo, Attorney-in-fact for 04/12/2006

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On April 3, 2006, Duke Power Company, LLC, formerly known as Duke Energy Corporation (Predecessor), merged into a wholly-owned (1) subsidiary of Duke Energy Corporation, formerly known as Duke Energy Holding Corp. (Issuer). In connection with the merger, each share of Predecessor's common stock was converted into the right to receive one share of Issuer's common stock.
- (2) The phantom stock vests in 5 equal annual installments beginning on the first anniversary of the grant date.
- (3) Expiration date not applicable.
- (4) Converts to Common Stock on a 1-for-1 basis.
- (5) Payable upon termination of employment or occurrence of other events as specified in the Executive Savings Plan I.
- (6) Payable upon termination of employment or occurrence of other events as specified in the Executive Savings Plan II, subject to holding periods required by law.
- (7) Final installment of one-fourth of the original grant vests on July 1, 2006; remainder fully vested as of July 1, 2005.

Reporting Owners 2

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Note: File three copies of this Form,	one of which must	be manually	y signed. If space is insuffi	der fully vested as of February 25, 2006. icient, <i>See</i> Instruction 6 for procedure. e not required to respond unless the form displ	ays