

Edgar Filing: Clough Global Allocation Fund - Form NSAR-A

Clough Global Allocation Fund
Form NSAR-A
November 26, 2014

PAGE 1
000 A000000 09/30/2014
000 C000000 0001288795
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 CLOUGH GLOBAL ALLOCATION FUND
001 B000000 811-21583
001 C000000 8772568445
002 A000000 1290 BROADWAY, SUITE 1100
002 B000000 DENVER
002 C000000 CO
002 D010000 80203
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
008 A000001 CLOUGH CAPITAL PARTNERS
008 B000001 A
008 C000001 801-63142
008 D010001 BOSTON
008 D020001 MA
008 D030001 02109
010 A000001 ALPS FUND SERVICES, INC.
010 B000001 84-5730
010 C010001 DENVER
010 C020001 CO
010 C030001 80203
011 A000001 MERRILL LYNCH
011 B000001 8-0114235
011 C010001 NEW YORK
011 C020001 NY
011 C030001 10080
012 A000001 DST Systems, Inc.
012 B000001 84-1963
012 C010001 Kansas City
012 C020001 MO
012 C030001 64105
013 A000001 COHEN FUND AUDIT SERVICES, LTD.
013 B010001 WESTLAKE
013 B020001 OH
013 B030001 44145
015 A000001 Deutsche Bank AG
015 B000001 S
015 C010001 Eschborn
015 D010001 Germany
PAGE 2
015 E040001 X
015 A000002 Deutsche Bank AG
015 B000002 S
015 C010002 Kuala Lumpur
015 D010002 Malaysia
015 D020002 50250

Edgar Filing: Clough Global Allocation Fund - Form NSAR-A

015 E040002 X
015 A000003 HSBC Shanghai
015 B000003 S
015 C010003 Shanghai
015 D010003 China
015 D020003 200120
015 E040003 X
015 A000004 Deutsche Bank AG
015 B000004 S
015 C010004 Milan
015 D010004 Italy
015 D020004 20121
015 E040004 X
015 A000005 Royal Bank of Canada
015 B000005 S
015 C010005 Toronto
015 D010005 ON Canada
015 D020005 M5V 3L3
015 E040005 X
015 A000006 Citibank N.A., Brazilian Branch
015 B000006 S
015 C010006 Sao Paulo
015 D010006 Brazil
015 D020006 01311
015 E040006 X
015 A000007 Citibank N.A.
015 B000007 S
015 C010007 Singapore
015 D010007 Singapore
015 D020007 486026
015 E040007 X
015 A000008 Deutsche Bank Sociedad Anonima ESPA
015 B000008 S
015 C010008 Madrid
015 D010008 Spain
015 D020008 28020
015 E040008 X
015 A000009 Deutsche Bank AG
015 B000009 S
015 C010009 Seoul
015 D010009 Korea
015 D020009 110-752
015 E040009 X
015 A000010 Deutsche Bank AG
015 B000010 S
PAGE 3
015 C010010 Moscow
015 D010010 Russia
015 D020010 115035
015 E040010 X
015 A000011 Standard Chartered Bank (Thailand) PLC
015 B000011 S
015 C010011 Bangkok
015 D010011 Thailand
015 D020011 10500
015 E040011 X
015 A000012 BNP Paribas Securities Services
015 B000012 S
015 C010012 Athens
015 D010012 Greece
015 E040012 X
015 A000013 Deutsche Bank AG

Edgar Filing: Clough Global Allocation Fund - Form NSAR-A

015 B000013 S
015 C010013 Jakarta
015 D010013 Indonesia
015 D020013 10310
015 E040013 X
015 A000014 Standard Chartered Bank (Taiwan) Limited
015 B000014 S
015 C010014 Taipei
015 D010014 Taiwan
015 E040014 X
015 A000015 Standard Chartered Bank (Hong Kong) Limited
015 B000015 S
015 C010015 Kwun Tong
015 D010015 Hong Kong
015 E040015 X
015 A000016 Firststrand Bank Limited
015 B000016 S
015 C010016 Johannesburg
015 D010016 South Africa
015 E040016 X
015 A000017 Hongkong & Shanghai Banking Corporation Ltd.
015 B000017 S
015 C010017 Auckland
015 D010017 New Zealand
015 D020017 1010
015 E040017 X
015 A000018 Deutsche Bank AG
015 B000018 S
015 C010018 Makati City
015 D010018 Phillipines
015 D020018 1226
015 E040018 X
015 A000019 Nordea Bank AB
015 B000019 S
015 C010019 Stockholm
PAGE 4
015 D010019 Sweden
015 E040019 X
015 A000020 Deutsche Bank AG
015 B000020 S
015 C010020 Amsterdam
015 D010020 The Netherlands
015 E040020 X
015 A000021 State Street Bank and Trust Company
015 B000021 S
015 C010021 Edinburgh
015 D010021 Scotland
015 D020021 EH5 2AW
015 E040021 X
015 A000022 Credit Suisse AG
015 B000022 S
015 C010022 Zurich
015 D010022 Switzerland
015 D020022 8070
015 E040022 X
015 A000023 Nordea Bank Finland PLC
015 B000023 S
015 C010023 Helsinki
015 D010023 Finland
015 E040023 X
015 A000024 HSBC Sydney
015 B000024 S

Edgar Filing: Clough Global Allocation Fund - Form NSAR-A

015 C010024 Sydney
015 D010024 Australia
015 E040024 X
015 A000025 The Hongkong and Shanghai Banking Corporation
015 B000025 S
015 C010025 Tokyo
015 D010025 Japan
015 D020025 1030027
015 E040025 X
015 A000026 Nordea Bank Norge ASA
015 B000026 S
015 C010026 Oslo
015 D010026 Norway
015 E040026 X
015 A000027 Citibank NA Mexico
015 B000027 S
015 C010027 Santa Fe
015 D010027 Mexico
015 E040027 X
018 000000 Y
019 A000000 N
019 B000000 0
020 A000001 MERRILL LYNCH & CO., INC.
020 B000001 13-2740599
020 C000001 35
PAGE 5
020 A000002 CITIGROUP GLOBAL MARKETS INC.
020 B000002 11-2418191
020 C000002 35
020 A000003 BARCLAYS CAPITAL, INC.
020 B000003 06-1031656
020 C000003 33
020 A000004 STERNE, AGEE & LEACH, INC.
020 B000004 63-0463600
020 C000004 31
020 A000005 DEUTSCHE BANK SECURITIES, INC.
020 B000005 13-2730828
020 C000005 30
020 A000006 UBS FINANCIAL SERVICES, INC.
020 B000006 13-2638166
020 C000006 30
020 A000007 JEFFERIES & CO., INC.
020 B000007 95-2622900
020 C000007 27
020 A000008 MORGAN STANLEY & CO., INC.
020 B000008 13-2655998
020 C000008 27
020 A000009 STATE STREET GLOBAL MARKETS, LLC
020 B000009 04-3464336
020 C000009 21
020 A000010 CREDIT SUISSE SECURITIES (USA) LLC
020 B000010 05-0546650
020 C000010 21
021 000000 543
022 A000001 MORGAN STANLEY INSTITUTIONAL LIQUIDITY FUNDS
022 C000001 126981
022 D000001 133128
022 A000002 LEGG MASON WOOD WALKER, INC.
022 B000002 52-0902557
022 C000002 16245
022 D000002 9788
022 A000003 MORGAN STANLEY & CO., INC.

Edgar Filing: Clough Global Allocation Fund - Form NSAR-A

022 B000003 13-2655998
022 C000003 3259
022 D000003 10876
022 A000004 CREDIT SUISSE SECURITIES (USA) LLC
022 B000004 05-0546650
022 C000004 8753
022 D000004 940
022 A000005 RBC CAPITAL MARKETS CORP.
022 B000005 13-5033225
022 C000005 4262
022 D000005 0
022 A000006 STIFEL, NICOLAUS & CO., INC.
022 B000006 43-0538770
022 C000006 2971
022 D000006 0
PAGE 6
022 A000007 PIPER JAFFRAY & CO.
022 B000007 41-0953246
022 C000007 423
022 D000007 2286
022 A000008 CANTOR FITZGERALD & CO.
022 B000008 13-3680184
022 C000008 0
022 D000008 2518
022 A000009 MESIROW FINANCIAL, INC.
022 B000009 36-3194849
022 C000009 2465
022 D000009 0
022 A000010 NOMURA SECURITIES INTERNATIONAL, INC.
022 B000010 13-2642206
022 C000010 0
022 D000010 987
023 C000000 167719
023 D000000 160524
024 000000 Y
025 A000001 MORGAN STANLEY INSTITUTIONAL LIQUIDITY FUND
025 B000001 -
025 C000001 D
025 D000001 15319
025 A000002 CITIGROUP GLOBAL MARKETS, INC.
025 B000002 11-2418191
025 C000002 E
025 D000002 6821
025 A000003 MORGAN STANLEY & CO., INC.
025 B000003 13-2655998
025 C000003 E
025 D000003 3505
025 A000004 CITIGROUP GLOBAL MARKETS, INC.
025 B000004 11-2418191
025 C000004 D
025 D000004 1113
025 A000005 STIFEL, NICOLAUS & CO., INC.
025 B000005 43-0538770
025 C000005 D
025 D000005 1210
025 A000006 MORGAN STANLEY & CO., INC.
025 B000006 13-2655998
025 C000006 D
025 D000006 1292
025 A000007 BANK OF AMERICA, NA
025 B000007 94-1687665
025 C000007 E

Edgar Filing: Clough Global Allocation Fund - Form NSAR-A

025 D000007 6864
025 A000008 BANK OF AMERICA, NA
025 B000008 94-1687665
025 C000008 D
025 D000008 2797

PAGE 7

026 A000000 N
026 B000000 Y
026 C000000 Y
026 D000000 Y
026 E000000 Y
026 F000000 N
026 G010000 N
026 G020000 N
026 H000000 N
027 000000 N
045 000000 Y
046 000000 N
047 000000 Y
048 000000 0.700
048 A010000 0
048 A020000 0.000
048 B010000 0
048 B020000 0.000
048 C010000 0
048 C020000 0.000
048 D010000 0
048 D020000 0.000
048 E010000 0
048 E020000 0.000
048 F010000 0
048 F020000 0.000
048 G010000 0
048 G020000 0.000
048 H010000 0
048 H020000 0.000
048 I010000 0
048 I020000 0.000
048 J010000 0
048 J020000 0.000
048 K010000 0
048 K020000 0.000

049 000000 N
050 000000 N
051 000000 N
052 000000 N
053 A000000 N
054 A000000 Y
054 B000000 Y
054 C000000 Y
054 D000000 Y
054 E000000 Y
054 F000000 N
054 G000000 Y
054 H000000 Y
054 I000000 N
054 J000000 Y

PAGE 8

054 K000000 Y
054 L000000 N
054 M000000 Y
054 N000000 N

Edgar Filing: Clough Global Allocation Fund - Form NSAR-A

054	O000000	Y	
055	A000000	Y	
055	B000000	Y	
056	000000	Y	
057	000000	N	
058	A000000	N	
059	000000	Y	
060	A000000	Y	
060	B000000	Y	
061	000000		0
062	A000000	N	
062	B000000		0.0
062	C000000		0.0
062	D000000		0.0
062	E000000		0.0
062	F000000		0.0
062	G000000		0.0
062	H000000		0.0
062	I000000		0.0
062	J000000		0.0
062	K000000		0.0
062	L000000		0.0
062	M000000		0.0
062	N000000		0.0
062	O000000		0.0
062	P000000		0.0
062	Q000000		0.0
062	R000000		0.0
066	A000000	Y	
066	B000000	N	
066	C000000	N	
066	D000000	N	
066	E000000	N	
066	F000000	N	
066	G000000	Y	
067	000000	N	
068	A000000	N	
068	B000000	N	
069	000000	N	
070	A010000	Y	
070	A020000	N	
070	B010000	Y	
070	B020000	Y	
070	C010000	Y	
070	C020000	N	
070	D010000	Y	
070	D020000	N	
	PAGE	9	
070	E010000	Y	
070	E020000	N	
070	F010000	Y	
070	F020000	N	
070	G010000	Y	
070	G020000	N	
070	H010000	Y	
070	H020000	N	
070	I010000	N	
070	I020000	N	
070	J010000	Y	
070	J020000	Y	
070	K010000	Y	
070	K020000	Y	

Edgar Filing: Clough Global Allocation Fund - Form NSAR-A

070	L010000	Y	
070	L020000	Y	
070	M010000	Y	
070	M020000	Y	
070	N010000	Y	
070	N020000	Y	
070	O010000	Y	
070	O020000	Y	
070	P010000	N	
070	P020000	N	
070	Q010000	N	
070	Q020000	N	
070	R010000	Y	
070	R020000	Y	
071	A000000		197669
071	B000000		218027
071	C000000		239543
071	D000000	83	
072	A000000	6	
072	B000000		484
072	C000000		1452
072	D000000		0
072	E000000		46
072	F000000		1226
072	G000000		499
072	H000000		0
072	I000000		0
072	J000000		0
072	K000000		0
072	L000000		0
072	M000000		68
072	N000000		0
072	O000000		0
072	P000000		675
072	Q000000		0
072	R000000		0
072	S000000		8
	PAGE	10	
072	T000000		0
072	U000000		0
072	V000000		0
072	W000000		530
072	X000000		3006
072	Y000000		0
072	Z000000		-1024
072AA	000000		5094
072BB	000000		0
072CC	010000		0
072CC	020000		6001
072DD	010000		6574
072DD	020000		0
072EE	000000		0
073	A010000		0.6300
073	A020000		0.0000
073	B000000		0.0000
073	C000000		0.0000
074	A000000		11231
074	B000000		0
074	C000000		18319
074	D000000		35886
074	E000000		0
074	F000000		189940

Edgar Filing: Clough Global Allocation Fund - Form NSAR-A

074	G000000	0
074	H000000	0
074	I000000	3194
074	J000000	11201
074	K000000	0
074	L000000	611
074	M000000	74059
074	N000000	344441
074	O000000	7004
074	P000000	372
074	Q000000	0
074	R010000	0
074	R020000	69393
074	R030000	46
074	R040000	93394
074	S000000	0
074	T000000	174232
074	U010000	10435
074	U020000	0
074	V010000	16.70
074	V020000	0.00
074	W000000	0.0000
074	X000000	7
074	Y000000	177616
075	A000000	0
075	B000000	181413
076	000000	14.31

PAGE 11

077	A000000	N
077	Q020000	
078	000000	N
080	C000000	0
081	B000000	0
082	B000000	0
086	A010000	0
086	A020000	0
086	B010000	0
086	B020000	0
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	COMMON STOCK
087	A020000	18913Y103
087	A030000	GLV
088	A000000	N
088	B000000	N
088	C000000	N
088	D000000	N
SIGNATURE	Jill Kerschen	
TITLE	Assistant Treasurer	