Edgar Filing: GENERAL AMERICAN INVESTORS CO INC - Form 4

GENERAL AMERICAN INVESTORS CO INC Form 4 March 11, 2014 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading VON REIS SIRI Issuer Symbol GENERAL AMERICAN (Check all applicable) **INVESTORS CO INC [GAM]** (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner __X__Other (specify Officer (give title (Month/Day/Year) below) below) C/O OVERBROOK 03/06/2014 POA held by Director MANAGEMENT CORP, 122 E **42ND STREET SUITE 2500** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting NEW YORK, NY 10168 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 6. 7. Nature of Transaction Disposed of (D) Indirect Security (Month/Day/Year) Execution Date, if Securities Ownership (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial anv (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership or Indirect (Instr. 4) Following Reported (\mathbf{I}) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Common 03/06/2014 S \$35.132 2.075 D 287 D Stock Common 03/07/2014 S 325 D 1.750 D 35 0744 Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

| Security | or Exercise | any | Code | of | (Month/Day | /Year) | Unde | rlying | Security | Secu |
|------------|-------------|------------------|------------|----------------------------------|---------------------|--------------------|------------------|--------------------|------------|--------|
| (Instr. 3) | Price of | (Month/Day/Year) | (Instr. 8) | Derivative Securities | | | Securities | | (Instr. 5) | Bene |
| | Derivative | | | | | | (Instr. 3 and 4) | | | Owne |
| | Security | | | Acquired | iired | | | | | Follo |
| | | | | (A) or | | | | Repo | | |
| | | | | Disposed of (D) (Instr. 3, | | | | | | Trans |
| | | | | | | | | | | (Instr |
| | | | | | | | | | | |
| | | | | 4, and 5) | | | | | | |
| | | | | | | | | Amount | | ļ |
| | | | | | Date Exercisable | Expiration Date | Title | or Number of | | |
| | | | Code V | (A) (D) | | | Shares | | | |

10% Owner

Edgar Filing: GENERAL AMERICAN INVESTORS CO INC - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

4.

5.

Reporting Owners

VON REIS SIRI C/O OVERBROOK MANAGEMENT CORP 122 E 42ND STREET SUITE 2500 NEW YORK, NY 10168

Reporting Owner Name / Address

POA held by Director

6. Date Exercisable and

Expiration Date

Relationships

Officer Other

7. Title and

Amount of

8. Price of

Derivative

9. Nt

Deriv

Signatures

1. Title of 2.

Arthur G. Altschul, Jr., Attorney-in-Fact

<u>**</u>Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

3. Transaction Date 3A. Deemed

Derivative Conversion (Month/Day/Year) Execution Date, if TransactionNumber

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Director

03/11/2014

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.