#### ALIGN TECHNOLOGY INC

Form 4

February 20, 2014

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Puco Christopher C.

2. Issuer Name and Ticker or Trading

Symbol

ALIGN TECHNOLOGY INC

[ALGN]

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year) 02/18/2014

C/O ALIGN TECHNOLOGY, INC., 2560 ORCHARD PARKWAY

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner Other (specify X\_ Officer (give title

below)

VP No. American Sales

(Street)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

SAN JOSE, CA 94131

(City)	(State)	(Zip) Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			d of (D)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	02/18/2014	02/18/2014	M	2,500	A	\$ 0	2,500	D	
Common Stock	02/18/2014	02/18/2014	F	940	D	\$ 55.32	1,562	D	
Common Stock	02/19/2014	02/19/2014	M	750	A	\$ 0	2,312	D	
Common Stock	02/19/2014	02/19/2014	F	282	D	\$ 53.8	2,030	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

### Edgar Filing: ALIGN TECHNOLOGY INC - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day/	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	\$ 0.0001 (1)	02/18/2014	02/18/2014	M	2,500	(2)	(2)	Common Stock	2,500
Restricted Stock Unit	\$ 0.0001 (1)	02/19/2014	02/19/2014	M	750	(3)	02/19/2014	Common Stock	750

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

02/20/2014

Puco Christopher C.

C/O ALIGN TECHNOLOGY, INC. 2560 ORCHARD PARKWAY

VP No. American Sales

SAN JOSE, CA 94131

# **Signatures**

Roger E. George Atty-in-Fact for Christopher C. Puco

\*\*Signature of Reporting Person Date

**Explanation of Responses:** 

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents par value of ALGN common stock

1/4th of the restricted stock unit granted on February 18, 2011 became vested on February 18, 2014 and shares were delivered to

(2) reporting person on such vest date. The restricted stock unit will continue to vest annually and shares will be delivered to reporting person on each vest date.

(3)

Reporting Owners 2

# Edgar Filing: ALIGN TECHNOLOGY INC - Form 4

1/4th of the restricted stock unit granted on February 19, 2010 became vested on February 19, 2014 and shares were delivered to reporting person on such vest date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.