COLLAR GARY L

Form 4 July 02, 2018

FORM 4

OMB APPROVAL UNITED STATES SECURITIES AND EXCHANGE COMMISSION **OMB**

Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

burden hours per

response...

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Washington, D.C. 20549

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * COLLAR GARY L

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(City)

Hillenbrand, Inc. [HI]

3. Date of Earliest Transaction

4. If Amendment, Date Original

(Check all applicable)

(Month/Day/Year) 06/29/2018

Director 10% Owner Officer (give title Other (specify

below)

ONE BATESVILLE BOULEVARD

(Street)

(First)

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

BATESVILLE, IN 47006

(State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

(Middle)

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (Instr. 4) (Instr. 4)

Reported (A)

Transaction(s) or (Instr. 3 and 4)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative 2. Security (Instr. 3)

Conversion or Exercise

Price of

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if (Month/Day/Year)

5. 4. TransactionNumber Code of (Instr. 8) Derivative

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of 8 **Underlying Securities** (Instr. 3 and 4)

1

Edgar Filing: COLLAR GARY L - Form 4

	Derivative Security				Secu Acqu (A) o Disp of (D (Instr 4, an	or osed O) r. 3,				
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units (Deferred Stock Award 5/5/15)	(1)	06/29/2018	A(2)		6		(3)	(3)	Common Stock	6
Restricted Stock Units (Deferred Stock Award) 2/24/2016	(1)	06/29/2018	A(2)		17		(3)	(3)	Common Stock	17
Restricted Stock Units (Deferred Stock Award) 2/22/2017	(1)	06/29/2018	A(2)		12		<u>(3)</u>	(3)	Common Stock	12
Restricted Stock Units (Deferred Stock Award) 2/15/2018	(1)	06/29/2018	A(2)		10		(3)	(3)	Common Stock	10
RESTRICTED STOCK UNITS CUMULATIVE	<u>(1)</u>						(3)	(3)	Common Stock	45 <u>(4)</u>

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

COLLAR GARY L ONE BATESVILLE BOULEVARD BATESVILLE, IN 47006

Signatures

TOTAL

By Carol A. Roell as Attorney-in-Fact for Gary L. Collar 07/02/2018

**Signature of Reporting Person Date

Reporting Owners 2

Edgar Filing: COLLAR GARY L - Form 4

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Conversion of Exercise Price of Derivative Securities is 1-for-1.
- (2) Restricted Stock Units are entitled to dividend equivalent rights which accrue on dividend record dates.
 - These stock units vest immediately upon grant. However, delivery of these shares will not occur until the occurrence of one of the
- (3) following: a change in control of the Company, the director's death or permanent and total disability, or one day after the date the director ceases to be a director of the Company.
 - This amount represents the cumulative total of all Restricted Stock Units (deferred stock awards) granted to reporting person. This
- (4) cumulative total does not represent additional Restricted Stock Units granted to the reporting person, but is merely a total of all awards reported separately on this SEC Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.