FitzGerald Christopher Form 3 April 02, 2018

## FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Mimecast Ltd [MIME] A FitzGerald Christopher (Month/Day/Year) 04/01/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O MIMECAST NORTH (Check all applicable) AMERICA, INC., Â 191 SPRING STREET 10% Owner \_X\_ Director (Street) Officer \_ Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person LEXINGTON. MAÂ 02421 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Restricted Share Units (1)  $5,426 \stackrel{(2)}{=}$ D Â **Ordinary Shares** 26,395 D **Ordinary Shares** 90,000 I By Spouse (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: FitzGerald Christopher - Form 3

1. Title of Derivative Security (Instr. 4)

**Expiration Date** (Month/Day/Year)

**Expiration Title** 

Date

2. Date Exercisable and 3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

Conversion or Exercise Price of Derivative Security

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5. Ownership Form of Derivative Security: Direct (D)

or Indirect

(I) (Instr. 5)

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date

Exercisable

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Amount or

Number of

Shares

Director 10% Owner Officer Other

FitzGerald Christopher C/O MIMECAST NORTH AMERICA, INC. 191 SPRING STREET LEXINGTON, MAÂ 02421

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**Signatures** 

/s/ Lauren Schwarzenholzer, Attorney-in-Fact

04/02/2018

ÂX

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted share unit represents a contingent right to receive one share of the Issuer's ordinary shares.
- These restricted share units were granted on August 3, 2017 and vest in full on the one year anniversary of the date of grant, provided that **(2)** the Reporting Person remains a director of the Issuer on such vesting date.
- Shares held by Reporting Person's spouse. The Reporting Person disclaims beneficial ownership of these reported securities, and (3) therefore this report shall not be deemed an admission that the Reporting Person is the beneficial owner of such securities for the purpose of Section 16 or for any other purpose.

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#### **Remarks:**

Exhibit 24 Power of Attorney (filed herewith)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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