

GERWITZ HERMAN MARK

Form 5

February 13, 2018

FORM 5**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**
Washington, D.C. 20549Check this box if
no longer subject
to Section 16.Form 4 or Form
5 obligations
may continue.See Instruction
1(b).Form 3 Holdings
Reported

Form 4

Transactions

Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0362Expires: January 31,
2005Estimated average
burden hours per
response... 1.01. Name and Address of Reporting Person *
GERWITZ HERMAN MARK

(Last) (First) (Middle)

C/O NOCOPI TECHNOLOGIES,
INC., 480 SHOEMAKER ROAD,
SUITE 104

(Street)

2. Issuer Name and Ticker or Trading
Symbol
NOCOPI TECHNOLOGIES
INC/MD/ [NNUP]3. Statement for Issuer's Fiscal Year Ended
(Month/Day/Year)
12/31/20175. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

KING OF PRUSSIA, PA 19406

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	Amount	Price	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common stock, \$.01 par value	Â	Â	Â	Â	Â	Â	Â	6,000	I	IRA
Common stock,	06/07/2017	Â	P	130,000	A	\$ 0.048	375,049	D	Â	

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\$.01 par
value

Common
stock,
\$.01 par
value

11/27/2017

^

P

100,000 A

\$
0.0395

475,049

D

^

Common
stock,
\$.01 par
value

^

^

^

^

^

^

72,500

I

Daughter

Common
stock,
\$.01 par
value

^

^

^

^

^

^

50,000

I

M.
Gerwitz
UGMA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
					(A) (D)	Date Exercisable Expiration Date	Title Amount Number Shares
Convertible debenture	\$ 0.025	^	^	^	^ ^	07/08/2018 07/08/2018	Common stock 213,32
Common stock warrants	\$ 0.02	^	^	^	^ ^	07/08/2016 07/08/2021	Common stock 26,66

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

GERWITZ HERMAN MARK
C/O NOCOPI TECHNOLOGIES, INC.,
480 SHOEMAKER ROAD, SUITE 104

^ X ^ ^ ^

KING OF PRUSSIA, PA 19406

Signatures

Herman M.

02/13/2018

Gerwitz

Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.