GREATBATCH, INC.

Form 3

November 05, 2015

## FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement GREATBATCH, INC. [GB] Bolt Jennifer M (Month/Day/Year) 10/28/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 10000 WEHRLE DRIVE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person CLARENCE, NYÂ 14031 (give title below) (specify below) Form filed by More than One President, Electrochem Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Common Stock D Â 10,053 Common Stock 1,965 I By 401(k) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
Security	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
(Instr. 4)	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
			Derivative	Security:	

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Options	12/31/2015 <u>(1)</u>	01/05/2025	Common	3,567	\$ 48.68	D	Â
Restricted Stock Units	12/31/2015	06/04/2023	Common	772 (2)	\$ 0	D	Â
Stock Options	12/31/2010(3)	03/10/2020	Common	2,044	\$ 20.84	D	Â
Stock options	12/31/2007(3)	05/23/2017	Common	1,000	\$ 29.65	D	Â
Stock options	12/31/2008(3)	03/04/2018	Common	3,626	\$ 20.14	D	Â
Stock Options	12/31/2011(3)	01/01/2021	Common	3,586	\$ 24.15	D	Â
Stock Options	12/31/2012(3)	01/02/2022	Common	4,388	\$ 22.1	D	Â
Stock Options	12/31/2013(1)	12/31/2022	Common	5,187	\$ 23.24	D	Â
Stock Options	12/31/2014(1)	01/06/2024	Common	2,480	\$ 43.78	D	Â
Stock Options	12/31/2010(3)	10/13/2018	Common	1,527	\$ 21.88	D	Â
Stock Options	12/31/2011(3)	01/05/2019	Common	1,013	\$ 26.53	D	Â
Stock Options	12/31/2009(3)	01/05/2019	Common	3,042	\$ 26.53	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships			
<b>Fg</b>	Director	10% Owner	Officer	Other
Bolt Jennifer M 10000 WEHRLE DRIVE CLARENCE, NY 14031	Â	Â	President, Electrochem	Â

## **Signatures**

/s/ Christopher J. Thome as attorney-in-fact for Jennifer M. Bolt.

11/05/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant of non-qualified stock options which will vest in three equal installments on the last day of the company's fiscal year, beginning in the year of grant.
- (2) Each restricted stock unit represents a contingent right to receive one share of GB common stock
- (3) Grant of non-qualified options which are fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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