

ARGAN INC
Form 4
January 16, 2015

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
TRUDEL ARTHUR F

(Last) (First) (Middle)
117 ROGER SMITH
(Street)

WILLIAMSBURG, VA 23185

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ARGAN INC [AGX]

3. Date of Earliest Transaction (Month/Day/Year)
01/14/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
____ Officer (give title below) Other (specify below)
Former Senior VP and CFO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) | Price | | |
| Common Stock | 01/14/2015 | | S | 2,296 | D \$ 31.45 | 14,587 | D |
| Common Stock | 01/14/2015 | | S | 100 | D \$ 31.47 | 14,487 | D |
| Common Stock | 01/14/2015 | | S | 3,000 | D \$ 31.52 | 11,487 | D |
| Common Stock | 01/14/2015 | | S | 300 | D \$ 31.53 | 11,187 | D |
| Common Stock | 01/14/2015 | | S | 170 | D \$ 31.57 | 11,017 | D |

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| | | | | | | | |
|--------------|------------|---|-------|---|----------|--------|---|
| Common Stock | 01/14/2015 | S | 200 | D | \$ 31.58 | 10,817 | D |
| Common Stock | 01/14/2015 | S | 200 | D | \$ 31.59 | 10,617 | D |
| Common Stock | 01/14/2015 | S | 617 | D | \$ 31.51 | 10,000 | D |
| Common Stock | 01/15/2015 | X | 5,000 | A | \$ 12.21 | 15,000 | D |
| Common Stock | 01/15/2015 | X | 5,000 | A | \$ 13.64 | 20,000 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|--|--|---|-------------------------------|
| Stock Option to purchase Common Stock | \$ 12.21 | 01/15/2015 | | X | 5,000 | 02/25/2010 02/25/2019 | Common Stock | 5,000 |
| Stock Option to purchase Common Stock | \$ 13.64 | 01/15/2015 | | X | 5,000 | 04/06/2011 04/06/2020 | Common Stock | 5,000 |

Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

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Director 10% Owner Officer Other

TRUDEL ARTHUR F
117 ROGER SMITH
WILLIAMSBURG, VA 23185

Former Senior VP and CFO

Signatures

/s/ Arthur F.
Trudel

01/16/2015

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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