

ARCH COAL INC
Form 3
March 05, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|---|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â Kelley Allen R. | | (Month/Day/Year) | ARCH COAL INC [ACI] | |
| (Last) | (First) | 03/03/2014 | | |
| | | | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| ONE CITYPLACE | | | (Check all applicable) | |
| DRIVE,Â SUITE 300 | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) | |
| (Street) | | | 6. Individual or Joint/Group Filing(Check Applicable Line) | |
| ST. LOUIS,Â MOÂ 63141 | | | <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person | |
| (City) | (State) | (Zip) | | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 400 | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) Title | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|---|--|---|--|
|---|---|---|--|---|--|

Edgar Filing: ARCH COAL INC - Form 3

| | Date Exercisable | Expiration Date | | Amount or Number of Shares | | or Indirect (I) (Instr. 5) | |
|------------------------|------------------|-----------------|------------------------|----------------------------|----------|----------------------------|---|
| Employee Stock Options | Â (1) | 02/22/2017 | Employee Stock Options | 4,150 | \$ 32.99 | D | Â |
| Employee Stock Options | Â (2) | 02/21/2018 | Employee Stock Options | 2,250 | \$ 52.69 | D | Â |
| Employee Stock Options | Â (3) | 02/19/2019 | Employee Stock Options | 3,750 | \$ 14.05 | D | Â |
| Employee Stock Options | Â (4) | 02/18/2020 | Employee Stock Options | 2,800 | \$ 22.65 | D | Â |
| Employee Stock Options | Â (5) | 02/24/2021 | Employee Stock Options | 2,300 | \$ 32.49 | D | Â |
| Employee Stock Options | Â (6) | 02/23/2022 | Employee Stock Options | 3,750 | \$ 13.93 | D | Â |
| Employee Stock Options | Â (7) | 02/28/2023 | Employee Stock Options | 7,400 | \$ 5.23 | D | Â |
| Restricted Stock Units | Â (8) | Â (9) | Restricted Stock Units | 1,500 | \$ 13.93 | D | Â |
| Restricted Stock Units | Â (10) | Â (9) | Restricted Stock Units | 3,600 | \$ 5.23 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Kelley Allen R. ONE CITYPLACE DRIVE SUITE 300 ST. LOUIS, MO 63141 | Â | Â | Â VP - Human Resources | Â |

Signatures

/s/ Jon S. Ploetz,
Attorney-in-Fact

03/05/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person received 4,150 stock options on February 22, 2007. All the stock options vested on February 22, 2010.
- (2) The reporting person received 2,250 stock options on February 21, 2008. All the stock options vested on February 21, 2011.
- (3) The reporting person received 3,750 stock options on February 19, 2009. All the stock options vested on February 19, 2013.
- (4) The reporting person received 2,800 stock options on February 18, 2010. All stock options vested on February 18, 2014.
- (5) The reporting person received 2,300 stock options on February 24, 2011. All the stock options vested on February 24, 2014.
- (6) The reporting person received 3,750 stock options on February 23, 2012. The stock options vest ratably over 3 years as follows: 1,250 shares vested on February 23, 2013; 1,250 shares vested on February 23, 2014; and 1,250 shares will vest on February 23, 2015.
- (7) The reporting person received 7,400 stock options on February 28, 2013. The options vest ratably over 3 years as follows: 2,467 shares vested on February 28, 2014; 2,467 shares will vest on February 28, 2015; and 2,466 shares will vest on February 28, 2016.
- (8) The reporting person received 1,500 restricted stock units on February 23, 2012. All of these restricted stock units vest on February 23, 2015.
- (9) The restricted stock units do not expire.
- (10) The reporting person received 3,600 restricted stock units on February 28, 2013. All of these restricted stock units vest on February 28, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.