TREVENA INC Form 3 January 30, 2014

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Lark Michael W.

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

01/30/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

TREVENA INC [TRVN]

(Check all applicable)

Sr. VP, Research & CSO

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O TREVENA, INC., 1018 WEST 8TH AVENUE, SUITE A

(Street)

Director _X__ Officer (give title below) (specify below) 6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting

Person Form filed by More than One

Reporting Person

KING OF PRUSSIA. PAÂ 19406

(City) (State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

10% Owner

Other

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership

Form:

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Common Stock

1. Title of Security

(Instr. 4)

80,645

D

SEC 1473 (7-02)

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

> Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

Conversion or Exercise

Price of

Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Title

Derivative Security: Direct (D)

Security

1

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	(1)	06/17/2023	Common Stock	123,512	\$ 2.232	D	Â
Employee Stock Option (Right to Buy)	(2)	09/10/2020	Common Stock	61,177	\$ 0.682	D	Â
Employee Stock Option (Right to Buy)	(3)	06/23/2021	Common Stock	1,222	\$ 0.682	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
FG	Director	10% Owner	Officer	Other	
Lark Michael W. C/O TREVENA, INC. 1018 WEST 8TH AVENUE, SUITE A KING OF PRUSSIA, PA 19406	Â	Â	Sr. VP, Research & CSO	Â	

Signatures

/s/Derek Colla, Attorney-in-Fact for Michael W. Lark

01/30/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) One-sixteenth of these shares vested on August 3, 2013 and one-sixteenth vest quarterly thereafter.
- As of January 30, 2014, 55,443 of the shares underlying this option shall be vested. On April 8, 2014 and July 8, 2014, subject to the reporting person's continuous service to the issuer through such date, 4,536 and 1,198 shares underlying this option shall become vested, respectively.
- As of January 30, 2014, 1,120 of the shares underlying this option shall be vested. On April 8, 2014 and July 8, 2014, subject to the reporting person's continuous service to the issuer, through such date, 80 and 22 shares underlying this option shall become vested, respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2