### Edgar Filing: HAMPTON ROADS BANKSHARES INC - Form 4

### HAMPTON ROADS BANKSHARES INC

Form 4

September 28, 2012

FO	RI	M	4
----	----	---	---

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

0.5

Check this box if no longer subject to

Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

**OMB APPROVAL** 

burden hours per

response...

Form 4 or Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* TCG Financial Services, L.P.

2. Issuer Name and Ticker or Trading

Symbol

5. Relationship of Reporting Person(s) to Issuer

below)

HAMPTON ROADS

BANKSHARES INC [HMPR]

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

09/27/2012

Director X\_\_ 10% Owner Other (specify Officer (give title

C/O WALKER CORPORATE SERVICES LIMITED, WALKER HOUSE, 87 MARY STREET

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

### GEORGE TOWN, GRAND CAYMAN, KY1-9001

(City)	(State)	(Zip)		

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securities a comr Disposed of (Instr. 3, 4 an	of (D) d 5) (A) or	. ,	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
C			Code V	Amount	(D)	Price	(mstr. 3 and 1)		
Common stock, par									See

16,007,143 A value 09/27/2012 P 42,398,583 Footnote (1)(2)\$0.01 per

share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form

## Edgar Filing: HAMPTON ROADS BANKSHARES INC - Form 4

# displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. onNumber of Derivative		ate	Secur	ant of rlying rities	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene
	Derivative Security				Securities Acquired			(Instr	. 3 and 4)		Owne Follo
	Ť				(A) or						Repo
					Disposed of (D)						Trans (Instr
					(Instr. 3, 4, and 5)						
					4, and 3)				<b>A4</b>		
						Date Exercisable	Expiration Date	Title	Amount or Number of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address		Relationships					
coporting of their runte, reduced	Director	10% Owner	Officer	Other			
TCG Financial Services, L.P. C/O WALKER CORPORATE SERVICES LIMITED WALKER HOUSE, 87 MARY STREET GEORGE TOWN, GRAND CAYMAN KY1-9001		X					
Carlyle Group Management L.L.C. C/O THE CARLYLE GROUP 1001 PENNSYLVANIA AVE. NW, SUITE 220S WASHINGTON, DC 20004		X					
Carlyle Group L.P. C/O THE CARLYLE GROUP 1001 PENNSYLVANIA AVE. NW, SUITE 220S WASHINGTON, DC 20004		X					
Carlyle Holdings II GP L.L.C. C/O THE CARLYLE GROUP 1001 PENNSYLVANIA AVE. NW, SUITE 220S WASHINGTON, DC 20004		X					
Carlyle Holdings II L.P. C/O THE CARLYLE GROUP 1001 PENNSYLVANIA AVE. NW, SUITE 220S WASHINGTON, DC 20004		X					
TC Group Cayman Investment Holdings, L.P. C/O WALKER CORPORATE SERVICES LIMITED		X					

Reporting Owners 2

X

X

X

WALKER HOUSE, 87 MARY STREET

GEORGE TOWN, GRAND CAYMAN KY1-9001

TC Group Cayman Investment Holdings Sub L.P.

C/O WALKER CORPORATE SERVICES LIMITED

WALKER HOUSE, 87 MARY STREET

GEORGE TOWN, GRAND CAYMAN KY1-9001

Carlyle Financial Services, Ltd.

C/O WALKER CORPORATE SERVICES LIMITED

WALKER HOUSE, 87 MARY STREET

GEORGE TOWN, GRAND CAYMAN KY1-9001

Carlyle Financial Services Harbor, L.P.

C/O THE CARLYLE GROUP

1001 PENNSYLVANIA AVE. NW, SUITE 220S

WASHINGTON, DC 20004

# **Signatures**

/s/ Ann Siebecker, attorney-in-fact for Daniel A. D?Aniello, Director of Carlyle Financial Sevices, Ltd., the general partner of TCG Financial Services, L.P.

09/28/2012

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Carlyle Financial Services Harbor, L.P. is the record holder of the securities reported herein. Carlyle Group Management L.L.C. is the general partner of The Carlyle Group L.P., which is a publicly traded entity listed on NASDAQ. The Carlyle Group L.P. is the sole member of Carlyle Holdings II GP L.L.C., which is the general partner of Carlyle Holdings II L.P., which is the general partner of TC
- (1) Group Cayman Investment Holdings, L.P., which is the general partner of TC Group Cayman Investment Holdings Sub L.P., which is the sole shareholder of Carlyle Financial Services, Ltd., which is the general partner of TCG Financial Services, L.P., which is the general partner of Carlyle Financial Services Harbor, L.P. By virtue of these relationships, each of the reporting persons may be deemed to share beneficial ownership of the securities reported herein.
- (2) (Continued from Footnote 1) Each reporting person expressly disclaims beneficial ownership of any such securities except to the extent of its pecuniary interest therein.

#### **Remarks:**

**Exhibit List:** 

#### Exhibit 99 - Joint Filer Information

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3