

Traner Carrie L
Form 3
December 05, 2011

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Traner Carrie L

(Last) (First) (Middle)

C/O JONES SODA CO., 1000
1ST AVENUE S., SUITE 100

(Street)

SEATTLE, WA 98134

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

12/01/2011

3. Issuer Name and Ticker or Trading Symbol
JONES SODA CO [JSDA]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner

☒ Officer ☐ Other
(give title below) (specify below)

Vice President of Finance

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

18,652 ⁽¹⁾

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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		Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	09/16/2009 ⁽²⁾	03/16/2019	Common Stock	15,000	\$ 0.8	D	Â
Stock Option (Right to Buy)	10/12/2010 ⁽³⁾	04/12/2020	Common Stock	10,000	\$ 0.81	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Traner Carrie L C/O JONES SODA CO. 1000 1ST AVENUE S., SUITE 100 SEATTLE, WA 98134	Â	Â	Â Vice President of Finance	Â

Signatures

/s/ Carrie L.
Traner

12/05/2011

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes a restricted stock grant of 12,500 shares granted September 8, 2011 which will cliff vest on March 8, 2013.
- (2) One-seventh of this option grant vested on September 16, 2009, and an additional one-seventh vests at the end of each six month period thereafter until September 16, 2012.
- (3) One-seventh of this option grant vested on October 12, 2010, and an additional one-seventh vests at the end of each six month period thereafter until October 12, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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