TD AMERITRADE HOLDING CORP

Form 4 May 27, 2011

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

OMB APPROVAL

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

10% Owner

_ Other (specify

0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

TD AMERITRADE HOLDING

3. Date of Earliest Transaction

Symbol

(Middle)

CORP [AMTD]

(Month/Day/Year)

burden hours per

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

X Director

Officer (give title _

1(b).

(Last)

(Print or Type Responses)

RICKETTS J PETER

1. Name and Address of Reporting Person *

(First)

•		•	05/25/2011				below)	below)	•
			endment, Date Original nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State) (Zip)) Table	e I - Non-D	erivative Se	curiti	es Acqı	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	an	xecution Date, if	3. Transactio Code (Instr. 8)	4. Securitie n(A) or Disp (Instr. 3, 4	osed o	of (D)	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	05/25/2011		<u>J(1)</u>	190,216	A	\$ 0	678,381	D	
Common Stock	05/25/2011		J <u>(1)</u>	190,216	D	\$0	570,649	I	By annuity trust (3)
Common Stock	05/25/2011		J <u>(2)</u>	190,216	D	\$0	380,433	I	By annuity trust (3)
Common Stock	05/25/2011		J(2)	190,216	D	\$ 0	190,217	I	By annuity trust (3)

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Common Stock	05/25/2011	J(2)	190,217	D	\$0	0	I	By annuity trust (3)
Common Stock						170,353	I	By annuity trust (4)
Common Stock						87,048	I	By his children (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	3	ate	7. Titl Amou Under Secur (Instr.	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code V	, ,	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

RICKETTS J PETER X 4211 SOUTH 102ND STREET **OMAHA, NE 68127**

Signatures

Reporting Person

/s/ J. Peter

Ricketts 05/27/2011 **Signature of Date

2 Reporting Owners

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transfer of shares, for no consideration, to Mr. Ricketts from the Marlene M. Ricketts 2004-2 Qualified Annuity Trust in connection with the termination of the trust, to which Mr. Ricketts is co-trustee and his mother is the grantor and a beneficiary.
- (2) Transfer of shares, for no consideration, to one of Mr. Ricketts siblings from the Marlene M. Ricketts 2004-2 Qualified Annuity Trust in connection with the termination of the trust, to which Mr. Ricketts is co-trustee and his mother is the grantor and a beneficiary.
- (3) Shares are held by the Marlene M. Ricketts 2004-2 Qualified Annuity Trust, for which Mr. Ricketts is co-trustee and his mother is the grantor and a beneficiary.
- (4) Shares are held by annuity trusts, for which Mr. Ricketts is the grantor and a beneficiary.
- (5) Shares are held by trusts created for Mr. Ricketts' children.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.